

# Baryons in/and Lattice QCD

Chiral Dynamics 2012  
Jefferson Laboratory,  
Virginia, USA

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Lawrence Berkeley  
National Laboratory

# OUTLINE

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## ● Baryons in lattice QCD

- things I wish I had time to discuss

- nucleon matrix elements  $g_A, G_E(Q^2), G_M(Q^2)$

- light quark mass dependence of the nucleon (baryons)

## ● Baryons and lattice QCD

- electromagnetic self-energy of  $M_p - M_n$   
and isovector nucleon magnetic polarizability

- electric polarizabilities and magnetic moments of the nucleon from lattice QCD

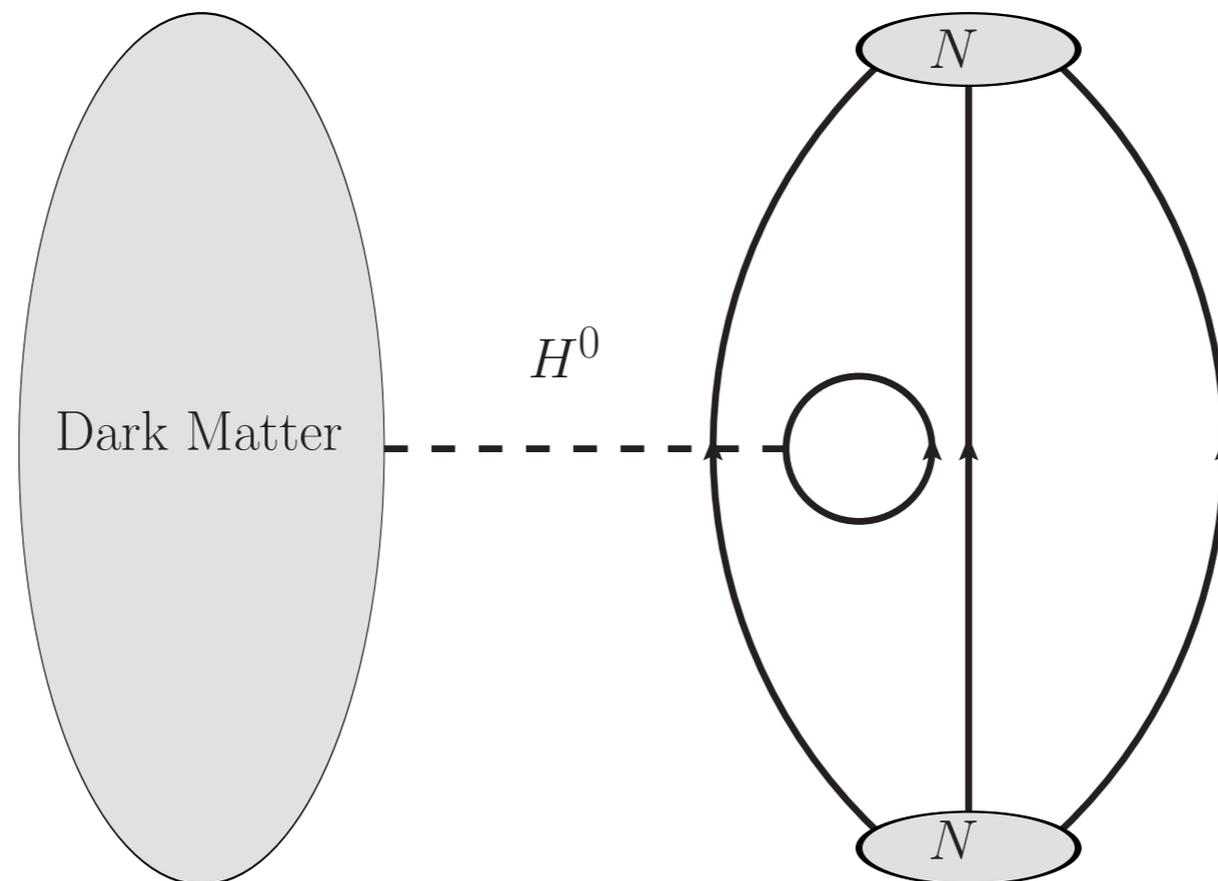


electromagnetic collaboration:  
Will Detmold, Brian Tiburzi, AWW

see talk by **Brian Tiburzi**: “Lattice QCD methods for hadronic polarizabilities”  
Monday, Hadron Structure and Meson Baryon Interactions

●  $\langle N | \bar{q}_l q_l | N \rangle$        $\langle N | \bar{s} s | N \rangle$

see talk by **Jorge Martin Camalich**:  
“Baryon Chiral Perturbation Theory and  
Connection to Lattice QCD”



- see talk by **Dru Renner**:  
“Matrix elements from lattice QCD”  
Monday, Hadron Structure and Meson Baryon Interactions

Dru gave very nice talk, with cautionary summary I agree with 100%

for baryon matrix elements, lattice calculations currently lack sufficient study of systematic effects: finite volume, excited state contamination, continuum limit, ...

➔ “Apparent conflicts with [experimental] measurements not justified”

➔ “Apparent conflicts with  $\chi^{\text{PT}}$  not compelling either”

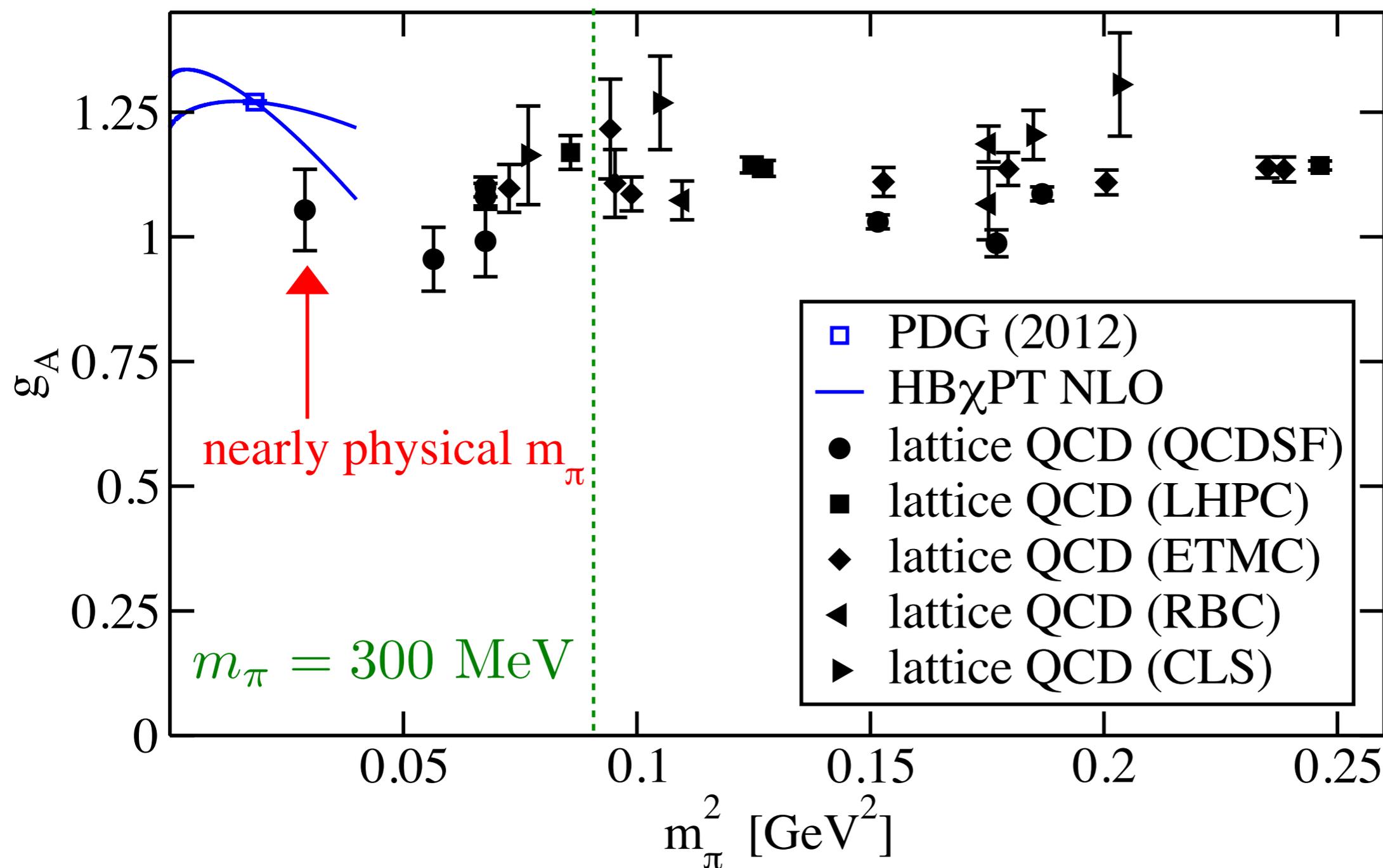
- see talk by **Dru Renner**:  
“Matrix elements from lattice QCD”  
Monday, Hadron Structure and Meson Baryon Interactions

If we don't take these cautions seriously, then we are forced to ask,

Is there something wrong with QCD?

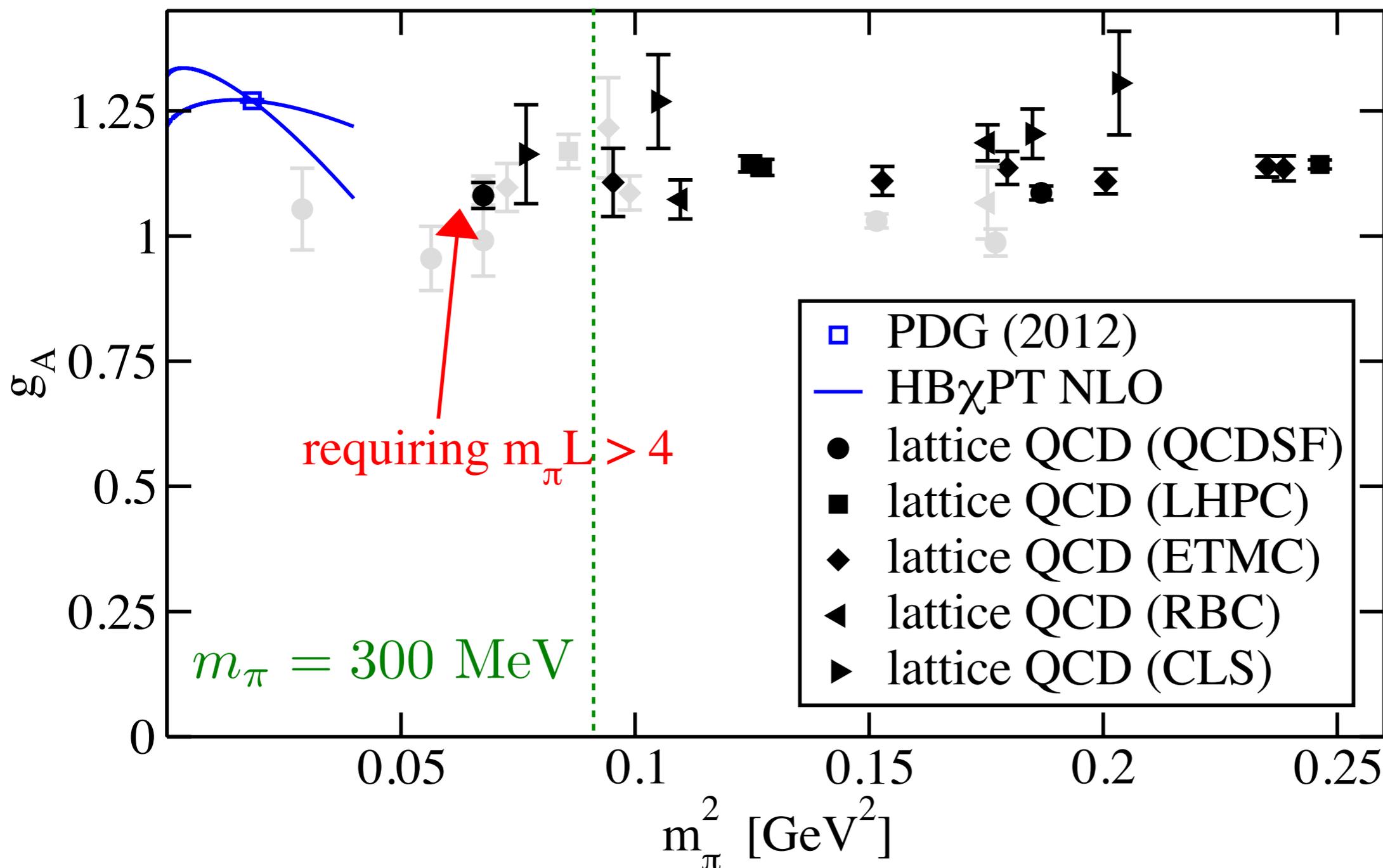
or

Is there something wrong with our lattice QCD calculations?



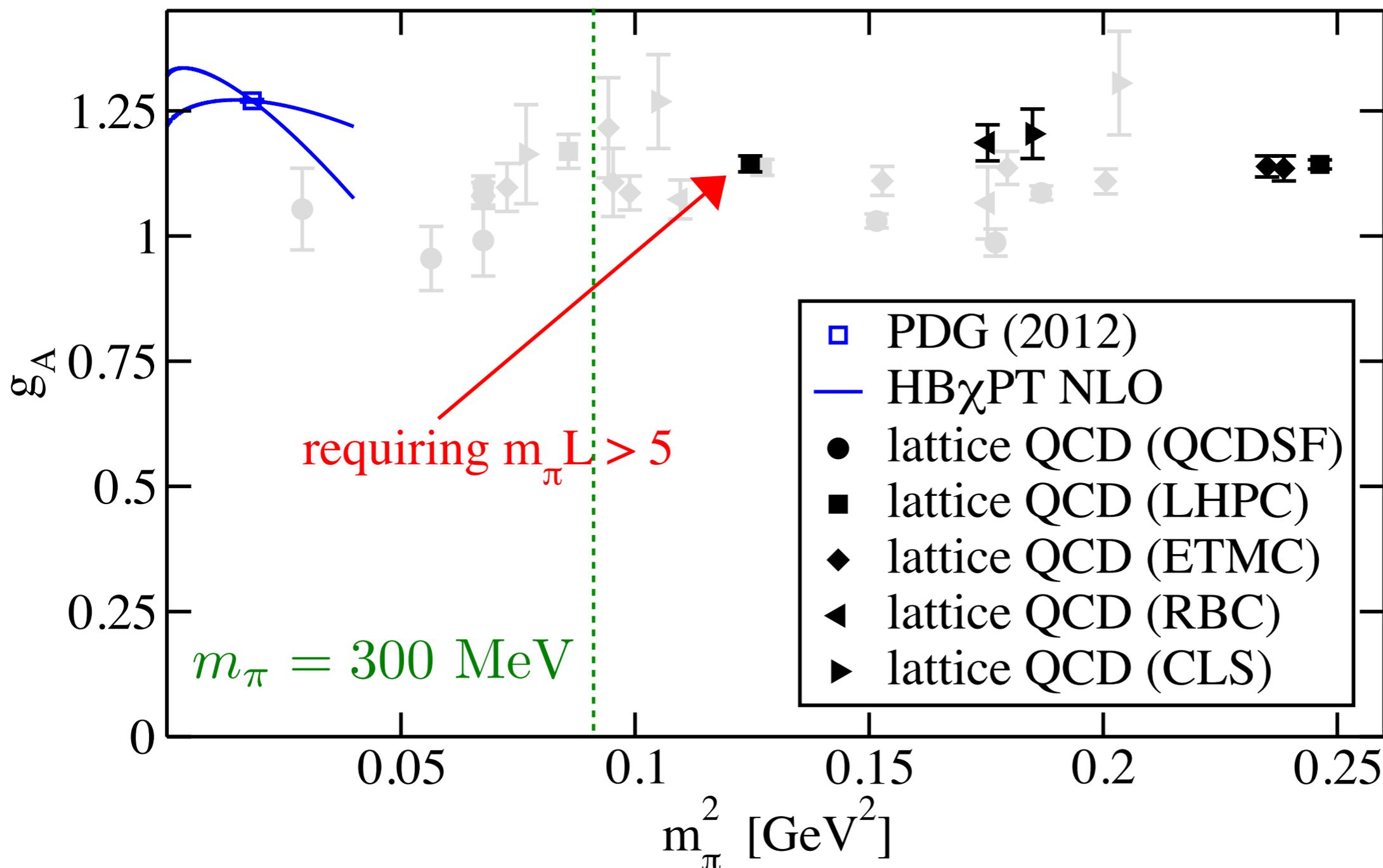
thanks to  
Dru Renner

- no discernible pion mass dependence!  
must be large cancellations between different orders  
convergence is broken



thanks to  
Dru Renner

- apply rule of thumb cut  $m_\pi L \geq 4$   
reasons to believe this may not be sufficient



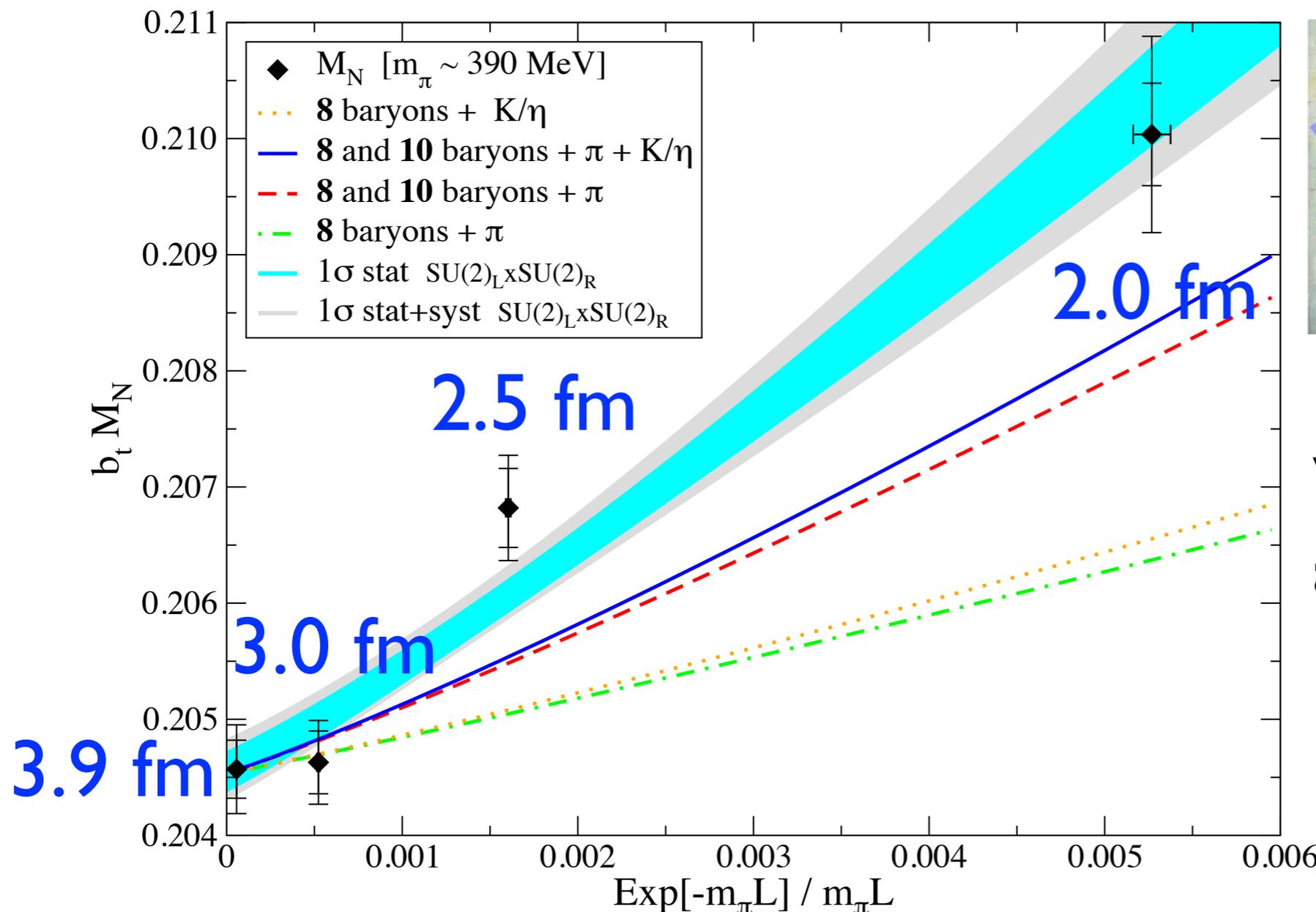
thanks to  
Dru Renner

● apply cut  $m_\pi L \geq 5$

reasons to believe this may not be sufficient

# Baryons in lattice QCD

form factors,  $g_A$ ,  $\langle x \rangle^{u-d}$



(AWL)

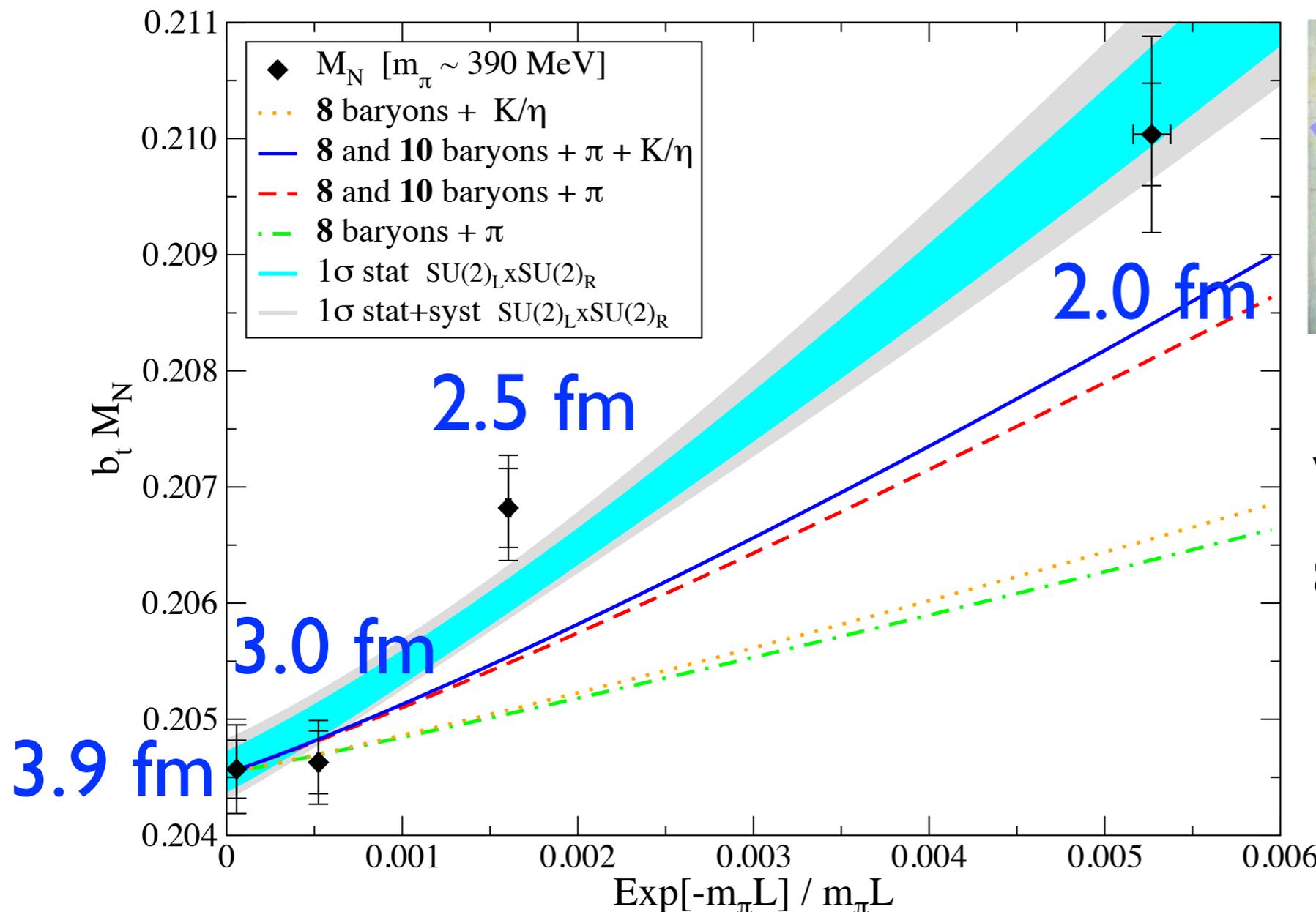
High statistics (IV):  
Volume Dependence  
arXiv:1104.4101

$m_\pi L = 7.7, 5.8, 4.8, 3.9$

● rule of thumb which works well for pion/kaon, does not work as well baryons

# Baryons in lattice QCD

form factors,  $g_A$ ,  $\langle x \rangle^{u-d}$

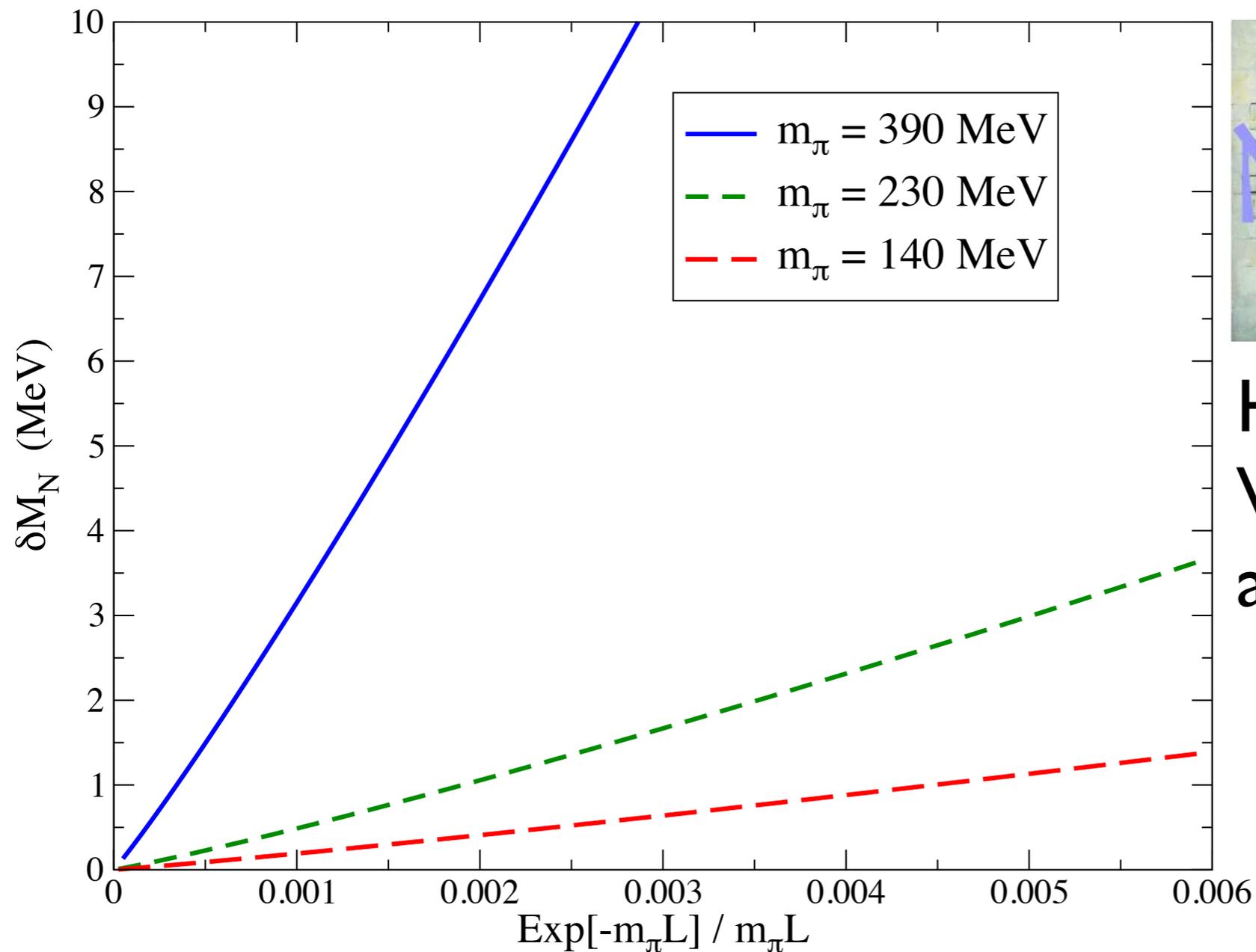


(AWL)

High statistics (IV):  
Volume Dependence  
arXiv:1104.4101

$m_\pi L = 7.7, 5.8, 4.8, 3.9$

- quantities which are small mass splittings, or derivatives
- terms from Feynman-Hellmann Theorem will be especially sensitive to these volume effects - see talk Jorge Martin Camalich



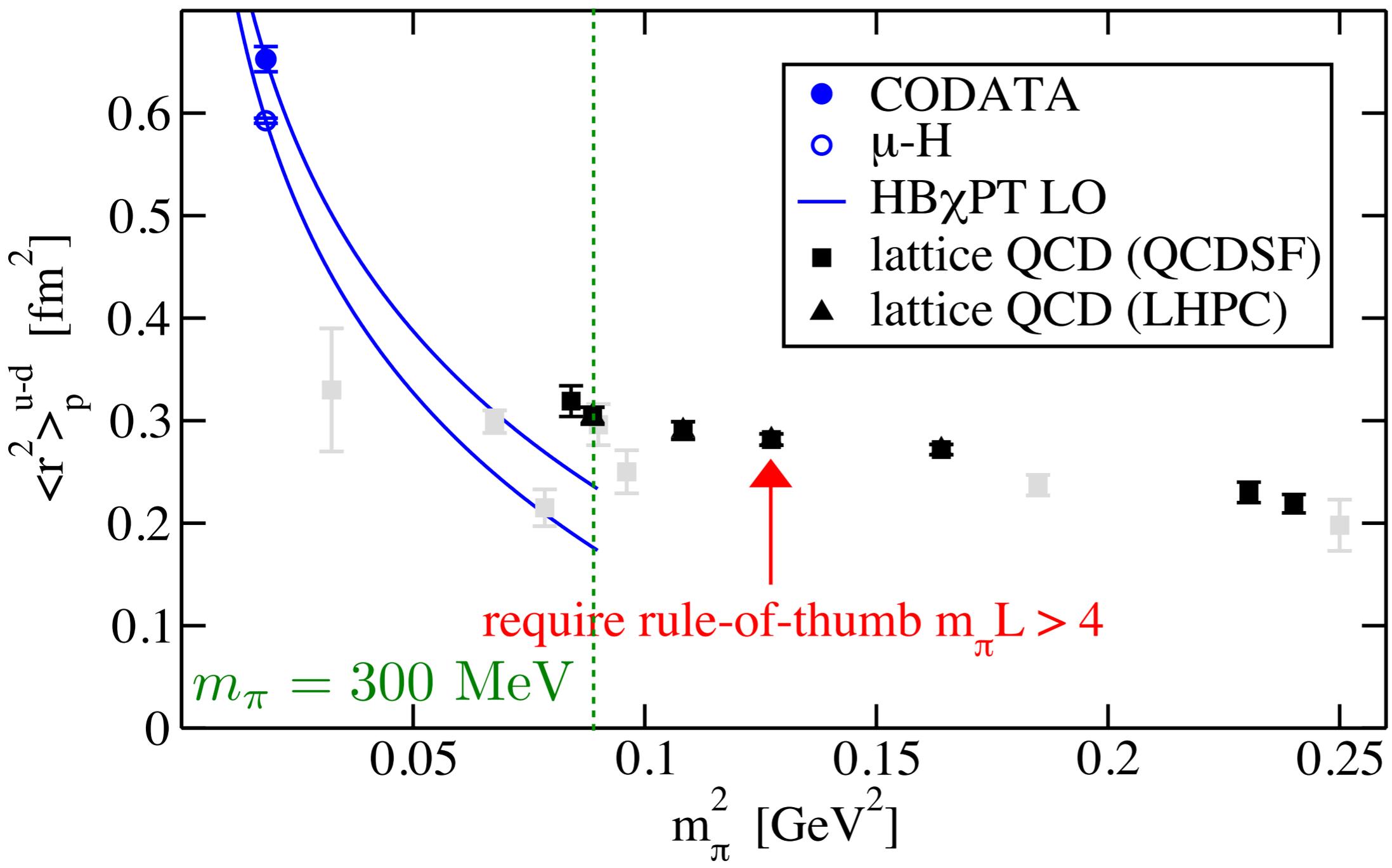
(AWL)

High statistics (IV):  
Volume Dependence  
arXiv:1104.4101

fixed  $m_\pi L$   
volume correction  
reduces as  $m_\pi^2$  for  
nucleon mass

volume correction in SU(2)

$$\Delta M_N^{FV} = \frac{3\pi g_A^2}{(4\pi f_\pi)^2} \frac{m_\pi^3}{m_\pi L} \sum_{\mathbf{n} \neq 0} \frac{e^{-|\mathbf{n}|m_\pi L}}{|\mathbf{n}|}$$



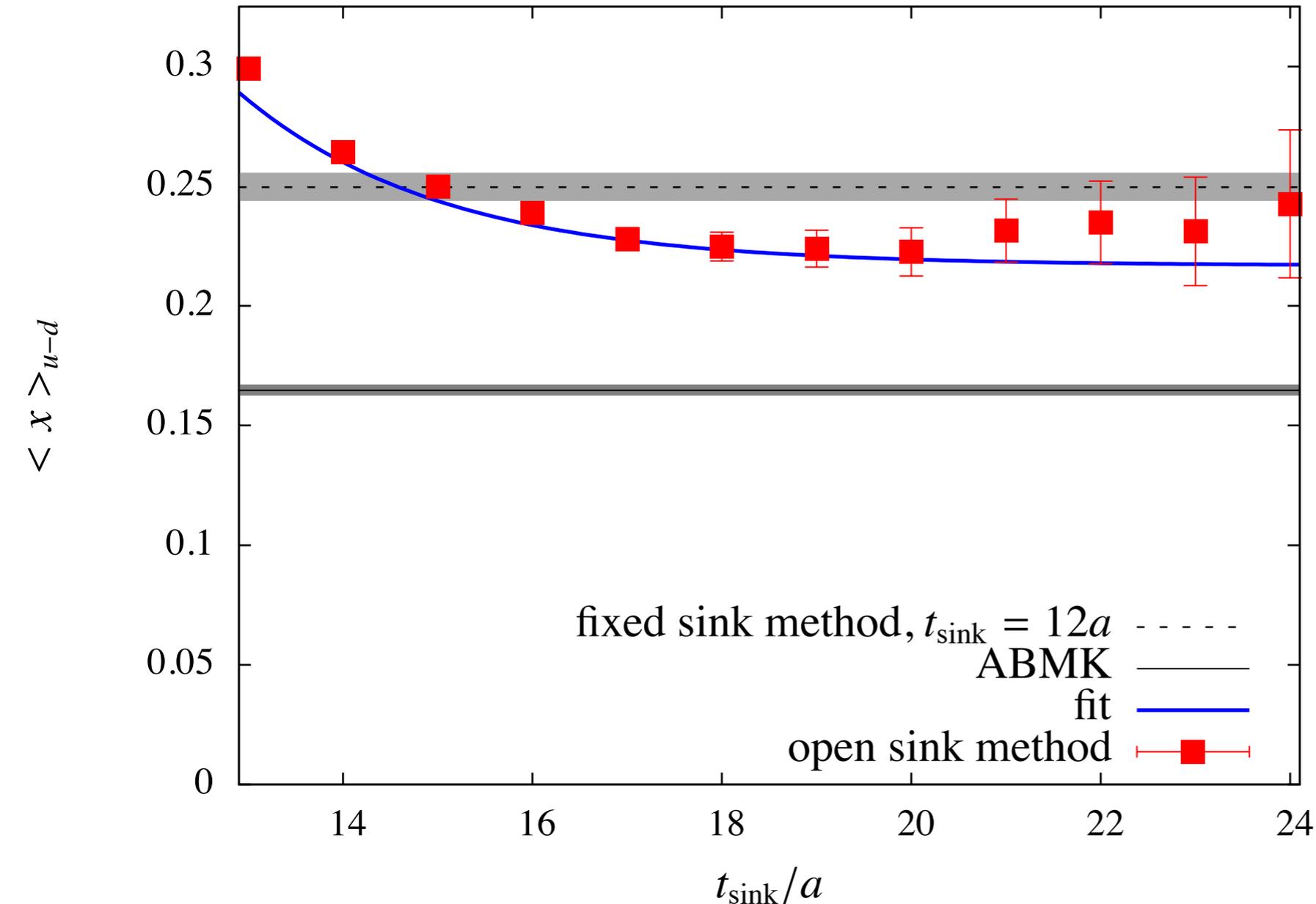
thanks to  
Dru Renner

● similar issue for the elastic form factors, charge radii and anomalous magnetic moment

## Lattice QCD Collaborations are actively exploring systematics

Dinter et al... Dru Renner:  
arXiv:1108.1076

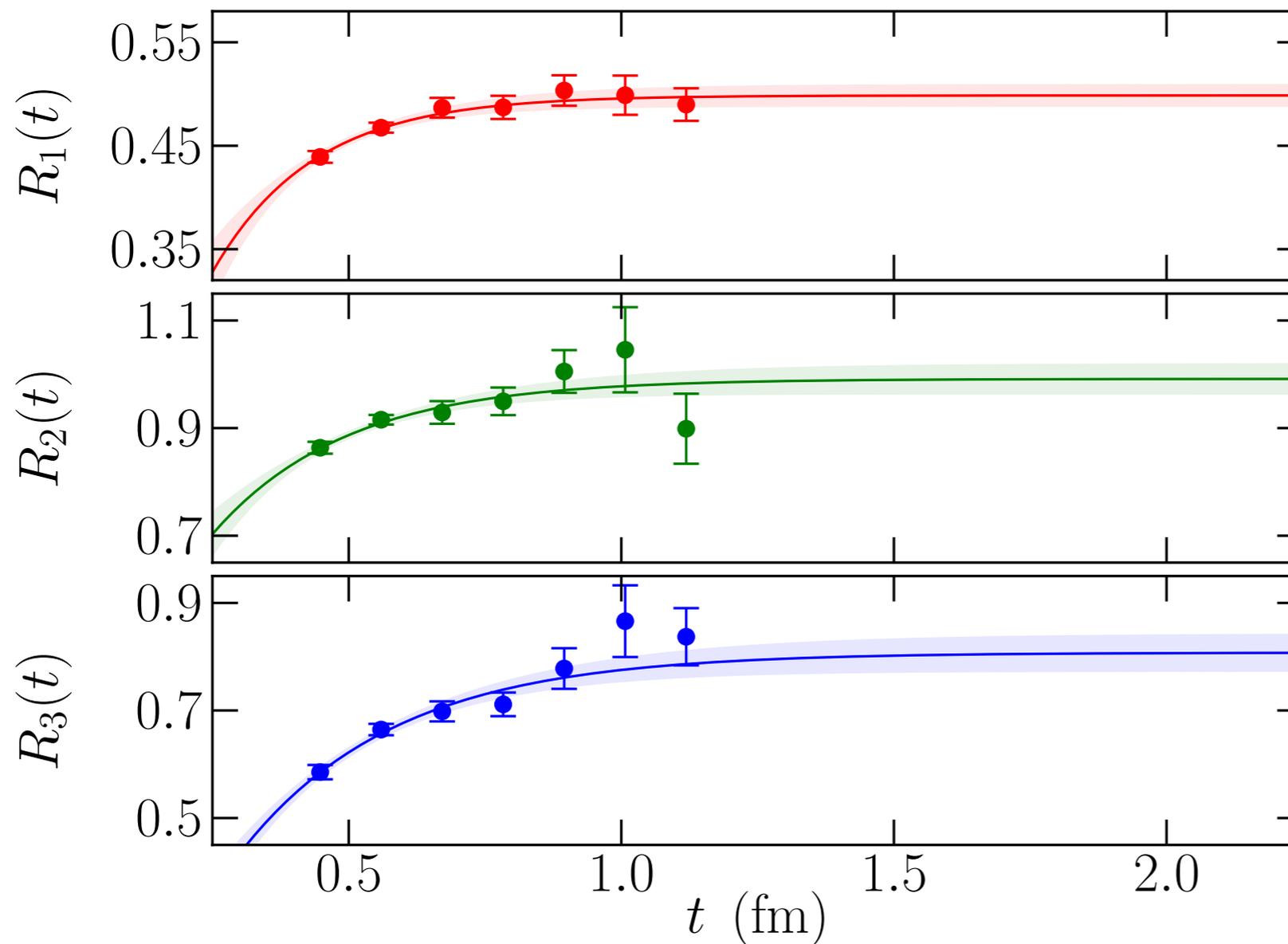
$$m_\pi \simeq 380 \text{ MeV}$$



● gray band - traditional plateau method

● red values - “open sink” method - allows for more explicit study of excited state contamination

## Lattice QCD Collaborations are actively exploring systematics



Detmold, Lin and Stefan  
Meinel: arXiv:1203.3378

$$m_\pi \simeq 245 \text{ MeV}$$

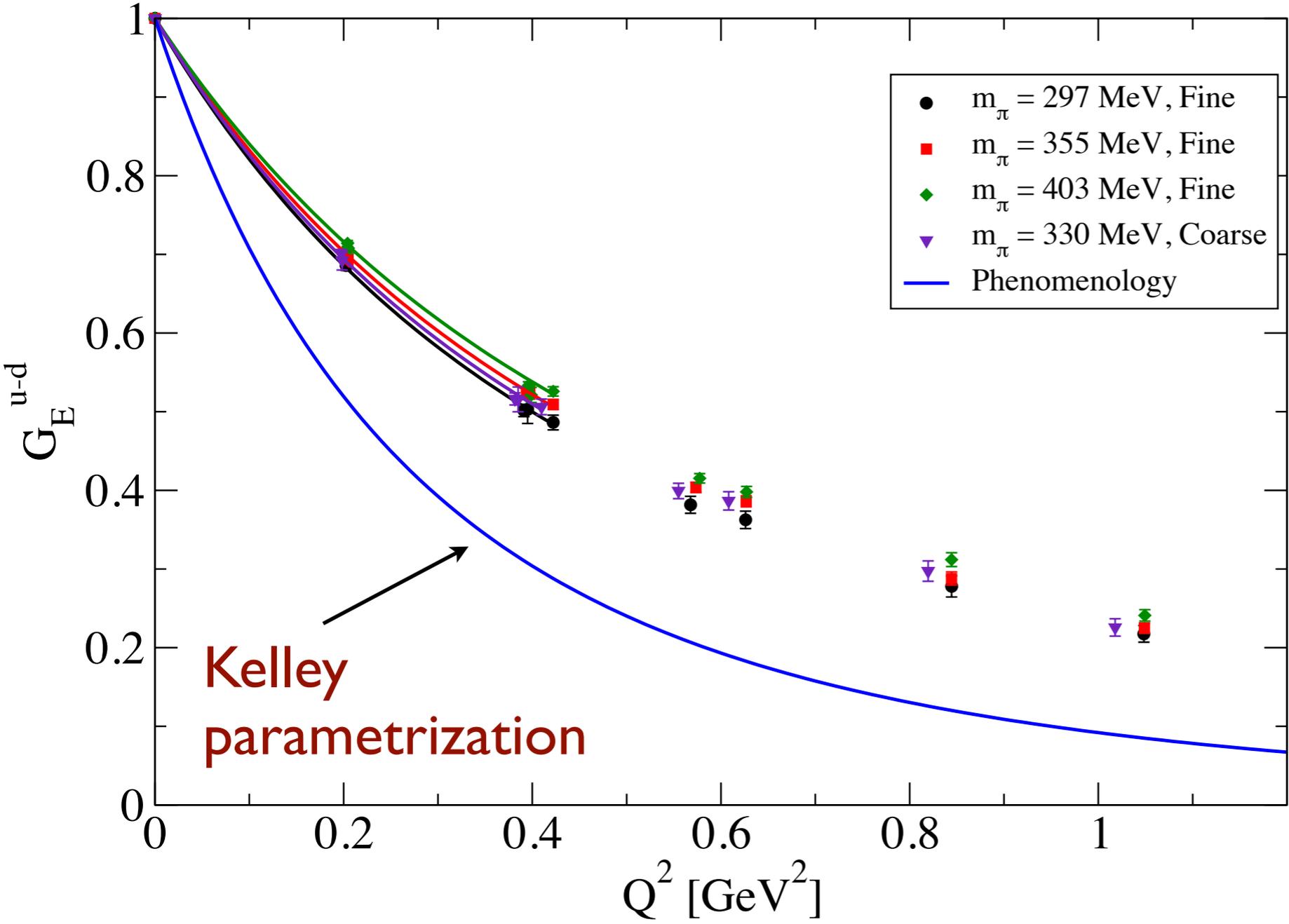
Calculation of the  
axial charged of  
heavy (b) hadrons

See talk Wed. by  
Stefan Meinel

- construct matrix elements for many source-sink separations, allowing for robust study of excited state contamination

$$R_i(t) = (g_i)_{eff} - A_i e^{-\delta_i t}$$

## Lattice QCD Collaborations are actively exploring systematics



$$G_E = F_1 - \tau F_2$$
$$G_M = F_1 + F_2$$

Typical comparison of lattice QCD with experimental form factors: **LHPC** arXiv:0907.4194

Lattice QCD Collaborations are actively exploring systematics

Just Tuesday - I received new results from the LHP Collaboration

Michael Engelhardt

Jeremy Green

Stefan Krieg

John Negele

Andrew Pochinsky

Sergey Syritsyn

Results computed on BMWc ensemble  
isotropic clover Wilson with 2-level  
HEX-smearred gauge links

$$m_\pi \simeq 150 \text{ MeV}$$

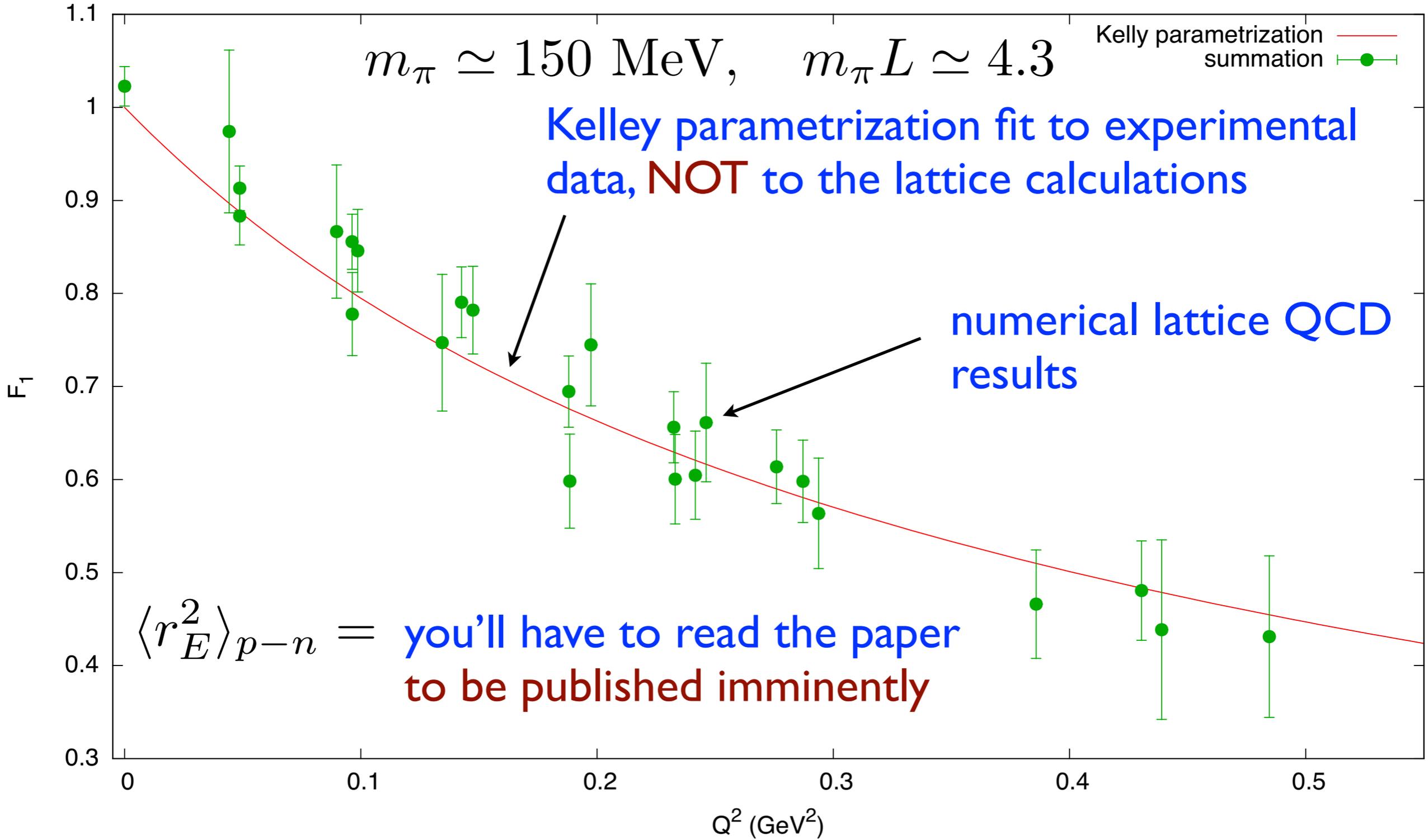
$$a \simeq 0.116 \text{ fm}$$

$$L \simeq 5.6 \text{ fm}$$

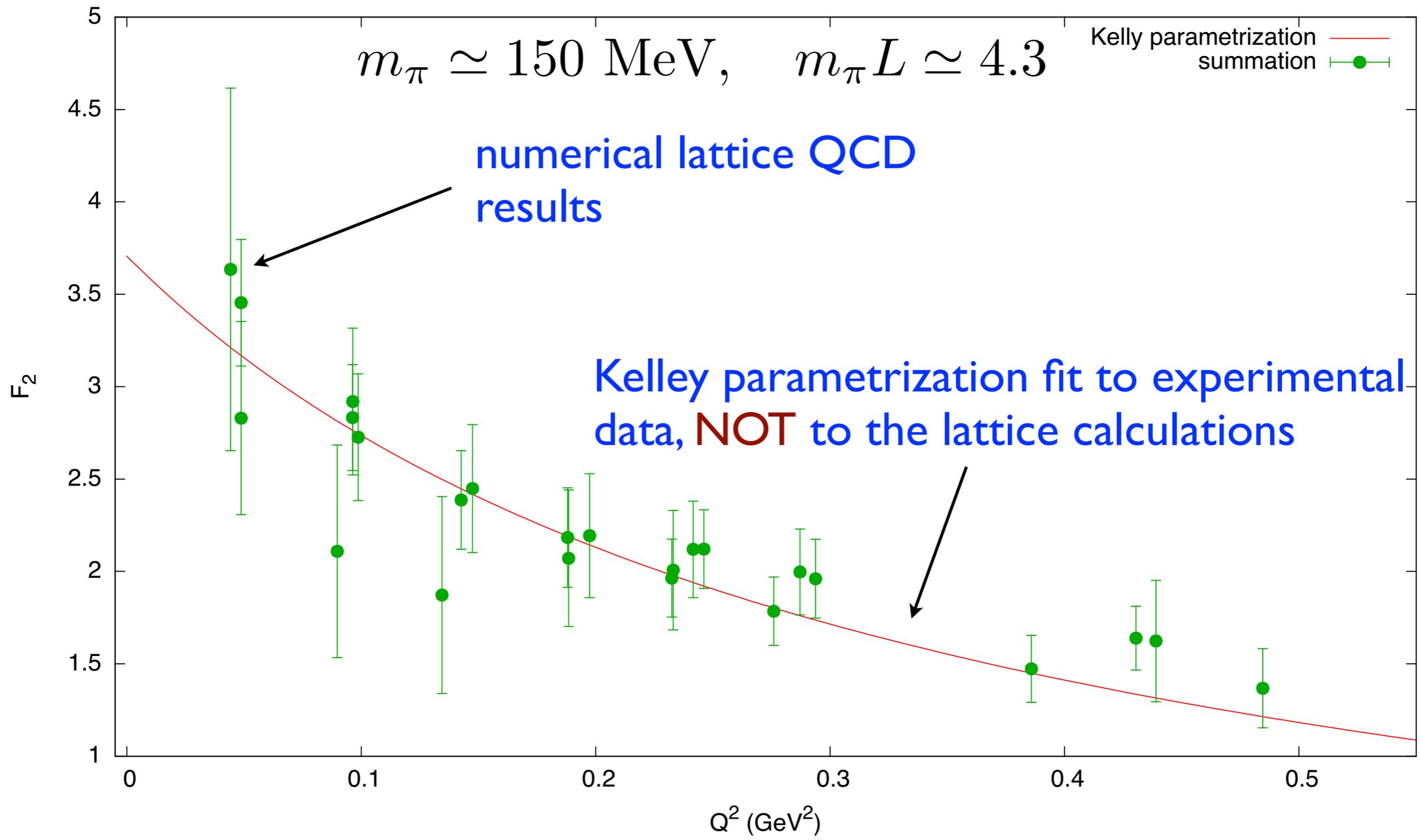
$$m_\pi L \simeq 4.3$$

$$N_{src} = 7752$$

## Lattice QCD Collaborations are actively exploring systematics

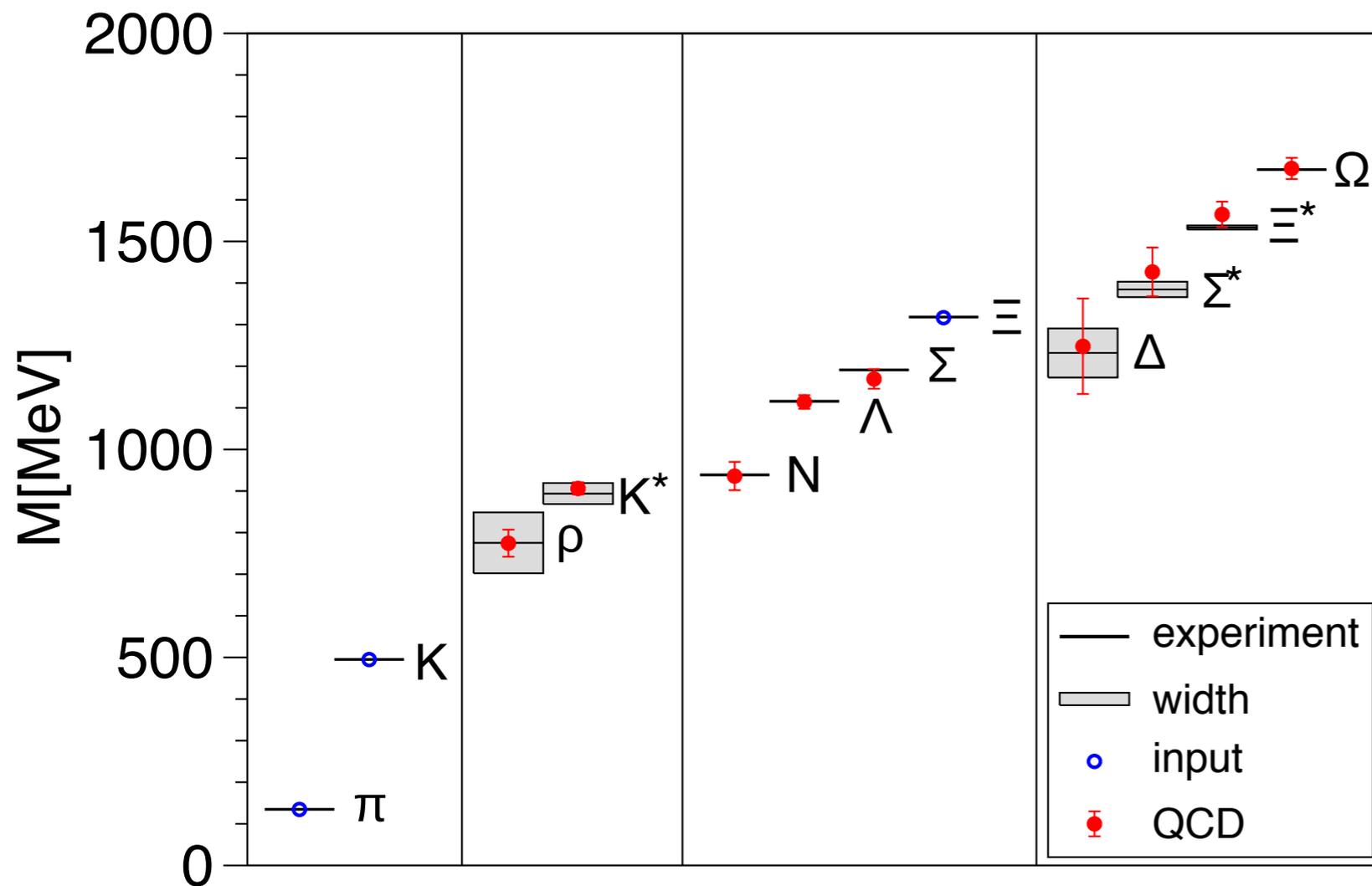


## Lattice QCD Collaborations are actively exploring systematics



# Baryons in lattice QCD: Conclusions I

- we believe lattice QCD, extrapolated to the continuum and infinite volume limits, and to the physical light quark masses, is the QCD of nature
- while the ground state baryon spectrum has been nicely reproduced by lattice QCD calculations, nucleon matrix elements have proven to be significantly more challenging, alarming some even in the lattice community about the severity of the discrepancy - most notably with the nucleon axial charge,  $g_A$
- I share **Dru Renner's** opinion that after the 2008 lattice conference, too many groups fell into the trap of racing to the physical pion mass, without carefully checking their systematics.
- sizes of physical volumes, pion masses and statistics which work for pion/kaon physics, heavy-quark physics, are typically not sufficient for computing properties of baryons
- the latest LHPC results are the most significant thing to come from lattice QCD in this area since the onset of physical pion mass calculations
- it remains for us to understand why their method works



**BMWc**

Science 21 Nov 2008

Vol. 322 no. 5905 pp. 1224

$$m_\pi \rightarrow m_l$$

$$m_K \rightarrow m_s$$

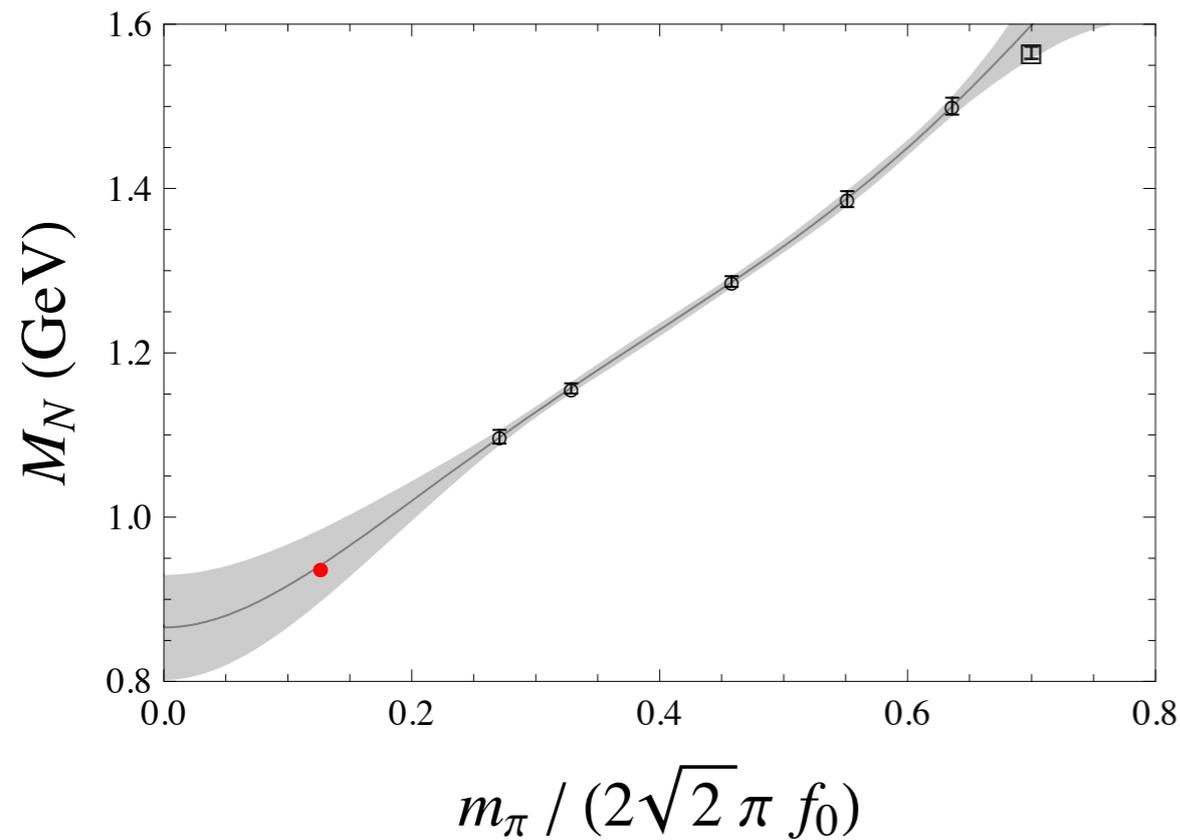
$$m_\Xi \rightarrow \text{scale}$$

At the 2008 Lattice QCD Conference (Williamsburg), **Budapest-Marseille-Wuppertal** collaboration (**BMWc**) surprised the community with calculations closer to the physical limit than the rest of us

As **Laurent Lellouch** mentioned, this heralded the paradigm change in the relation between lattice QCD and effective field theory at least for simple quantities

At the 2008 Lattice QCD Conference,  
something else unexpected happened

NNLO -  $m_\pi^4$ , with  $g_A=1.2(1)$ ,  $g_{\Delta N}=1.5(3)$



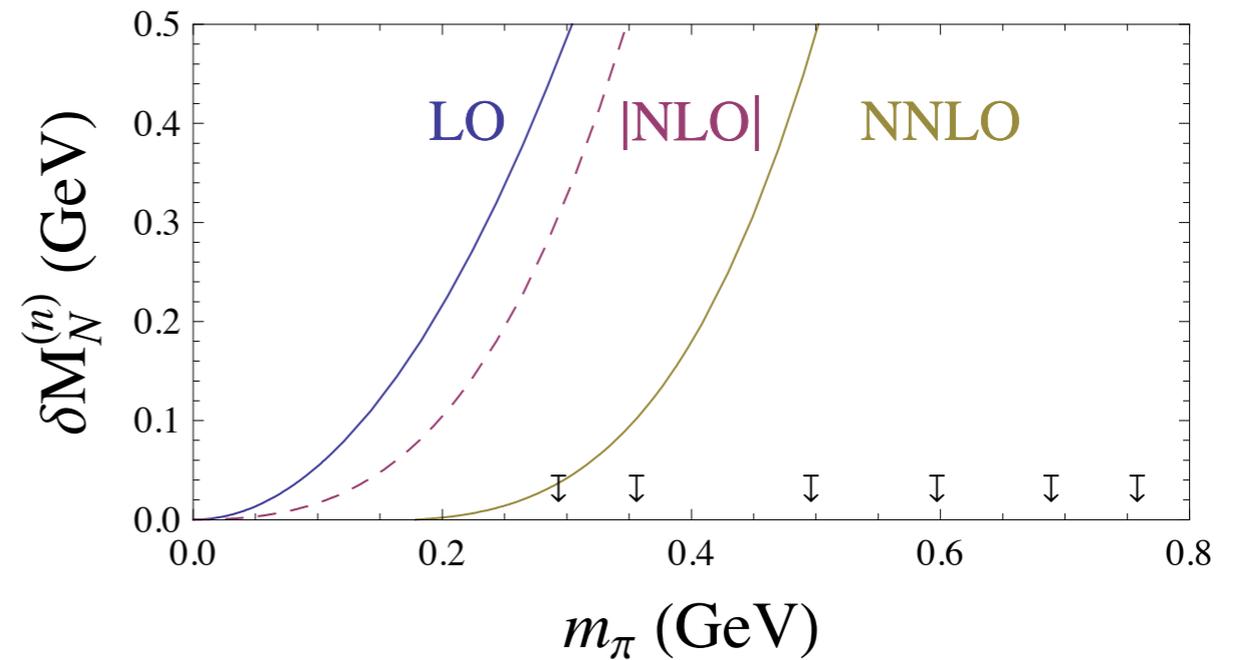
NNLO Heavy Baryon Fit

$$M_N = 954 \pm 42 \pm 20 \text{ MeV}$$

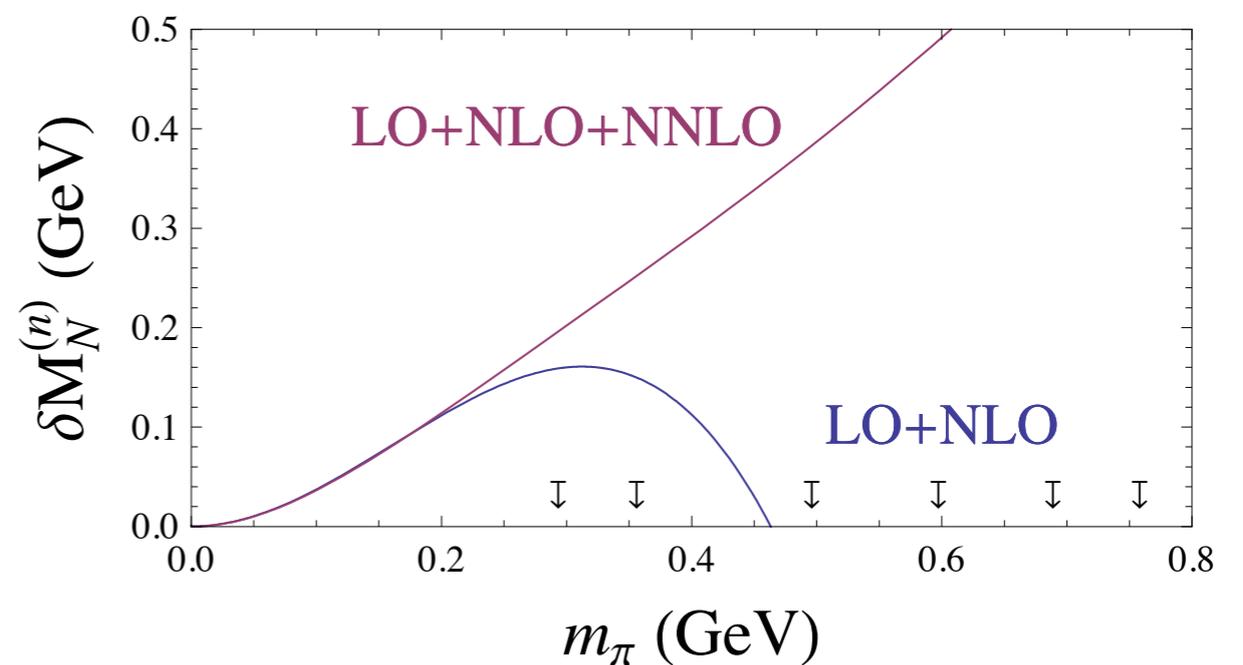
statistical

varying inputs

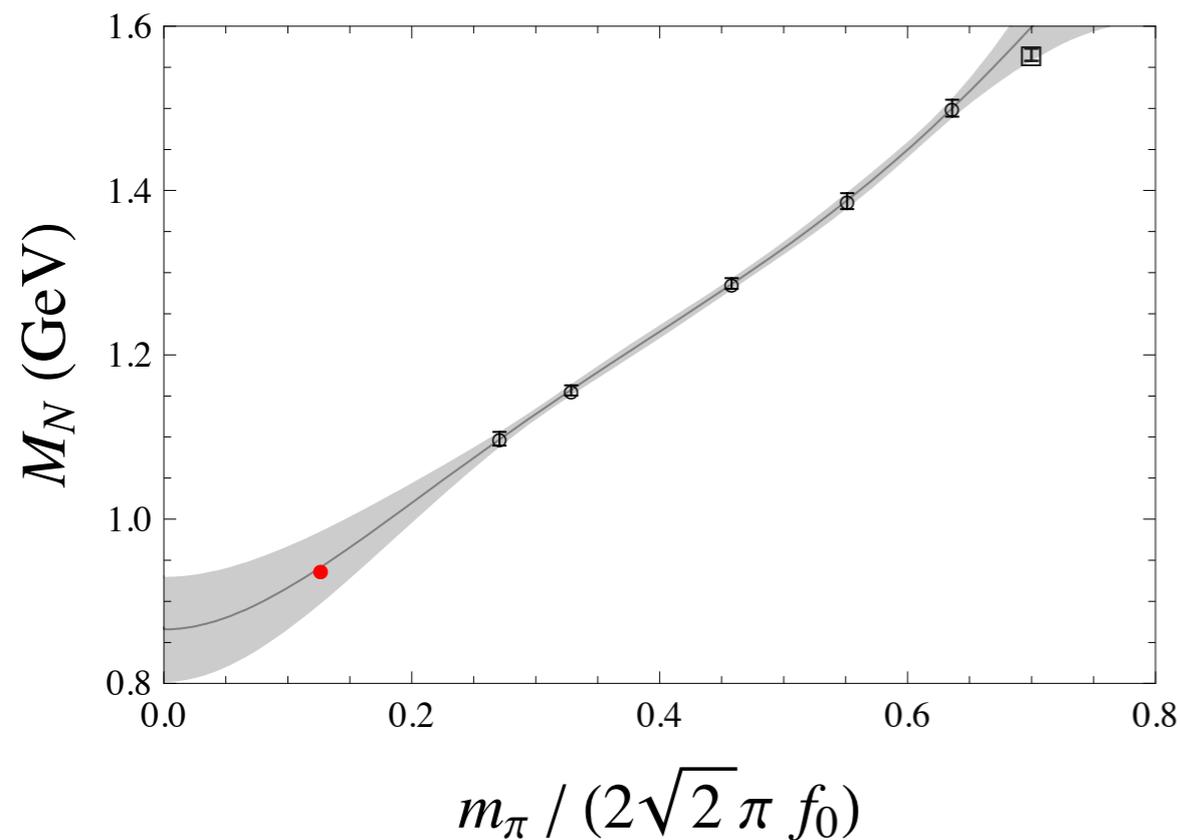
$g_A=1.2(1)$ ,  $g_{\Delta N}=1.5(3)$



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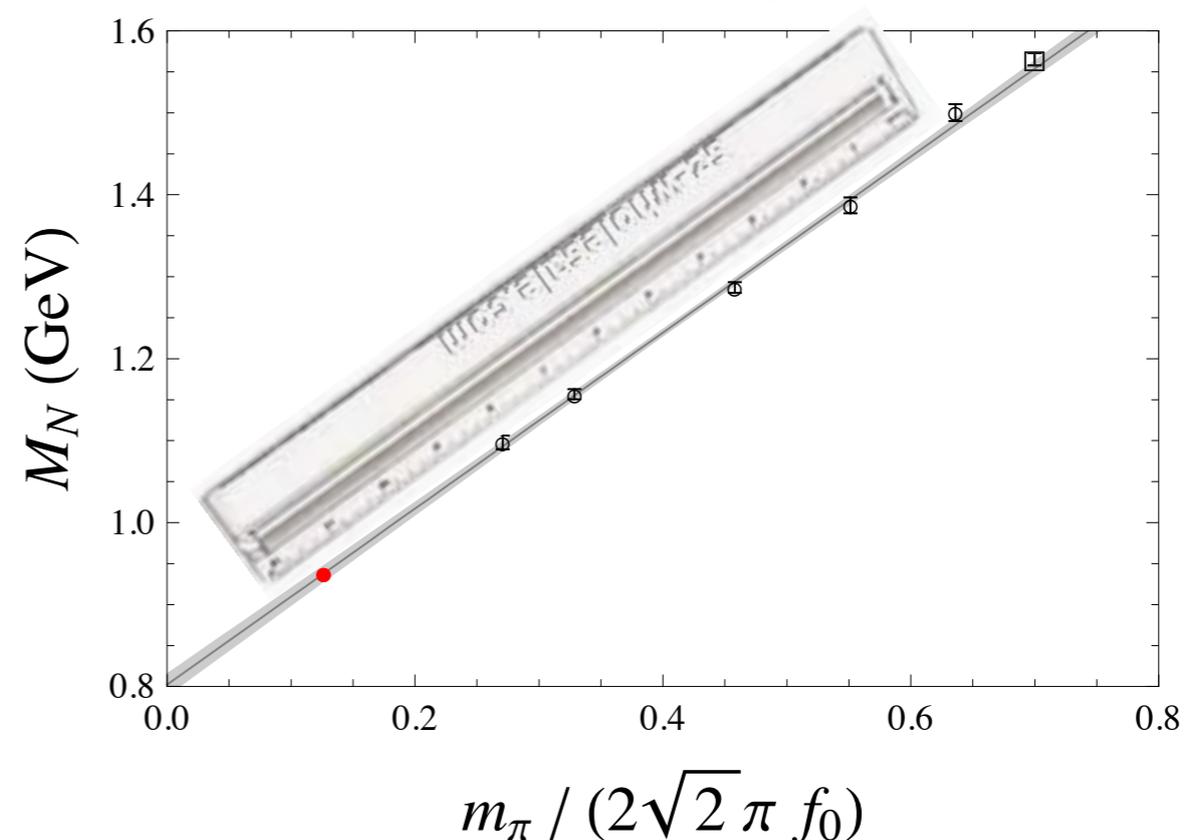
NNLO -  $m_\pi^4$ , with  $g_A=1.2(1)$ ,  $g_{\Delta N}=1.5(3)$



## NNLO Heavy Baryon Fit

$$M_N = 954 \pm 42 \pm 20 \text{ MeV}$$

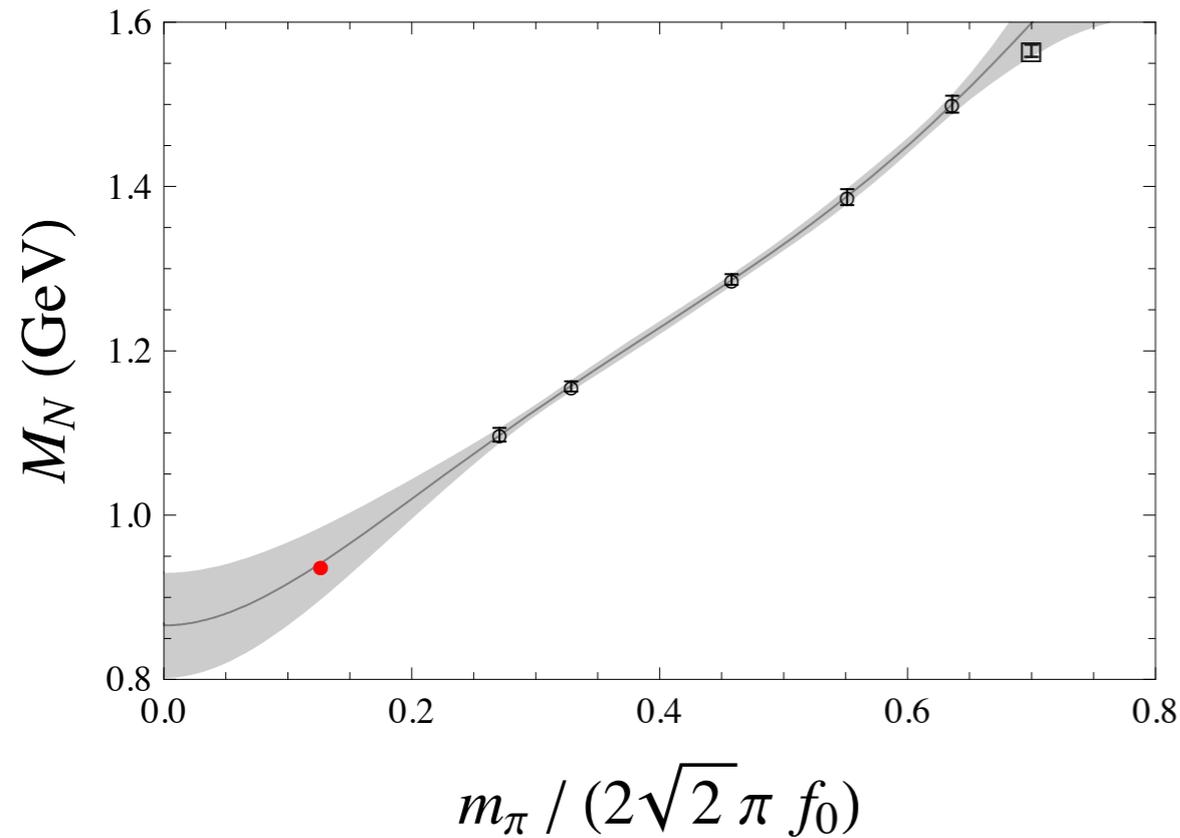
$M_N = \alpha_0^N + \alpha_1^N m_\pi$



## Ruler Approximation

$$M_N = \alpha_0^N + \alpha_1^N m_\pi$$

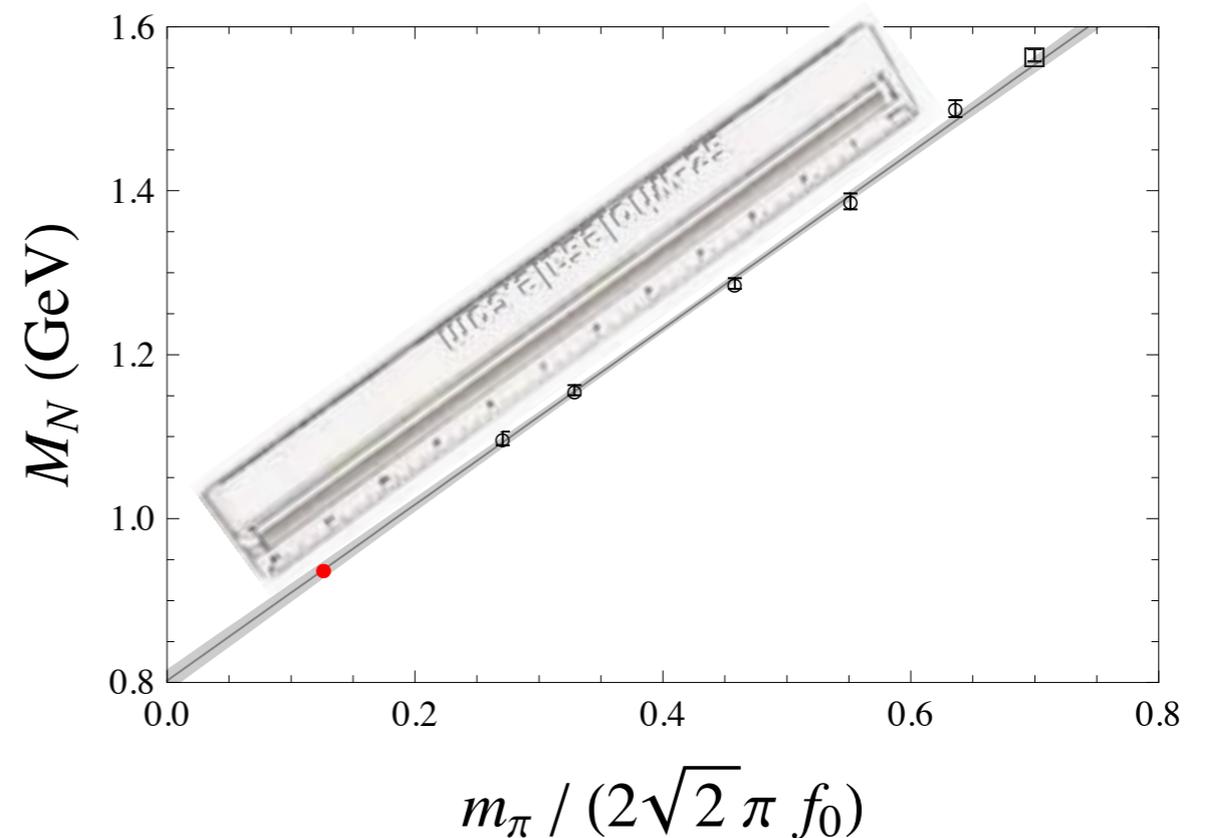
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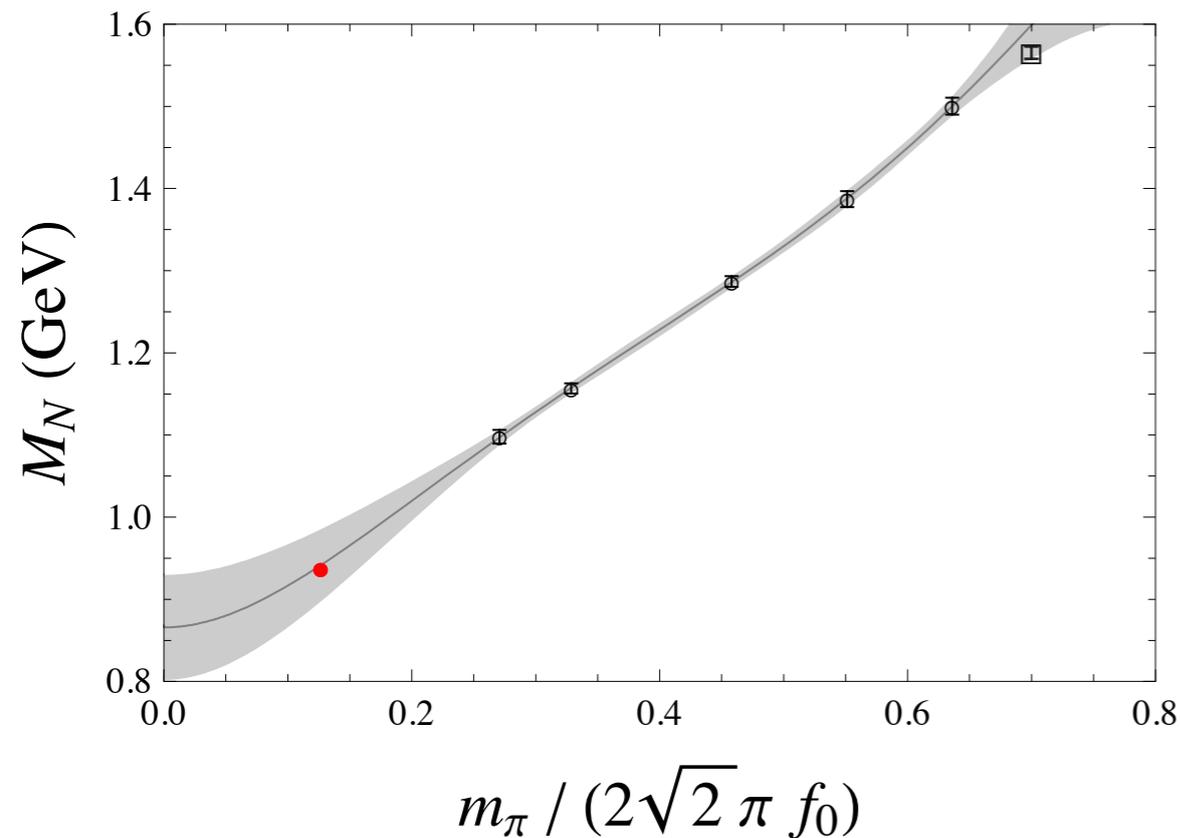
$M_N = \alpha_0^N + \alpha_1^N m_\pi$



## Ruler Approximation

$$\begin{aligned} M_N &= \alpha_0^N + \alpha_1^N m_\pi \\ &= 938 \pm 9 \text{ MeV} \end{aligned}$$

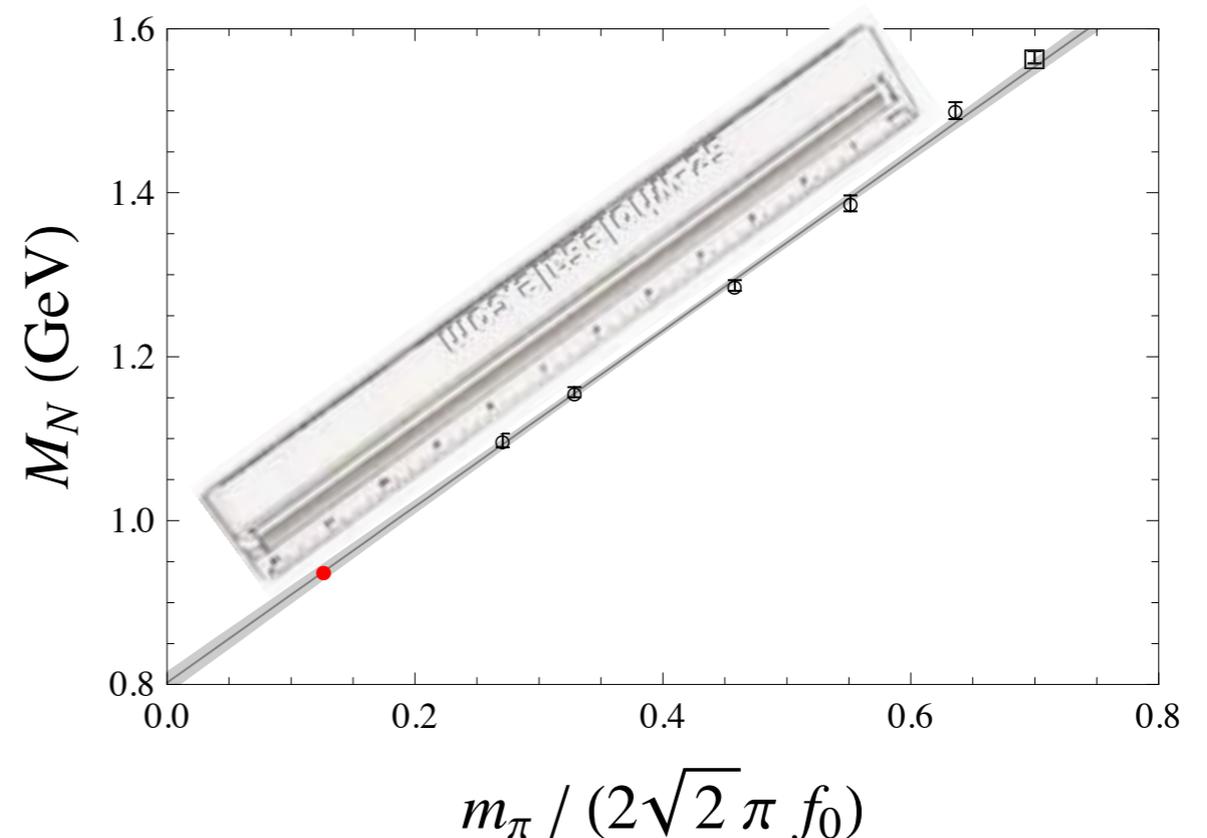
NNLO -  $m_\pi^4$ , with  $g_A=1.2(1)$ ,  $g_{\Delta N}=1.5(3)$



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$$M_N = \alpha_0^N + \alpha_1^N m_\pi$$



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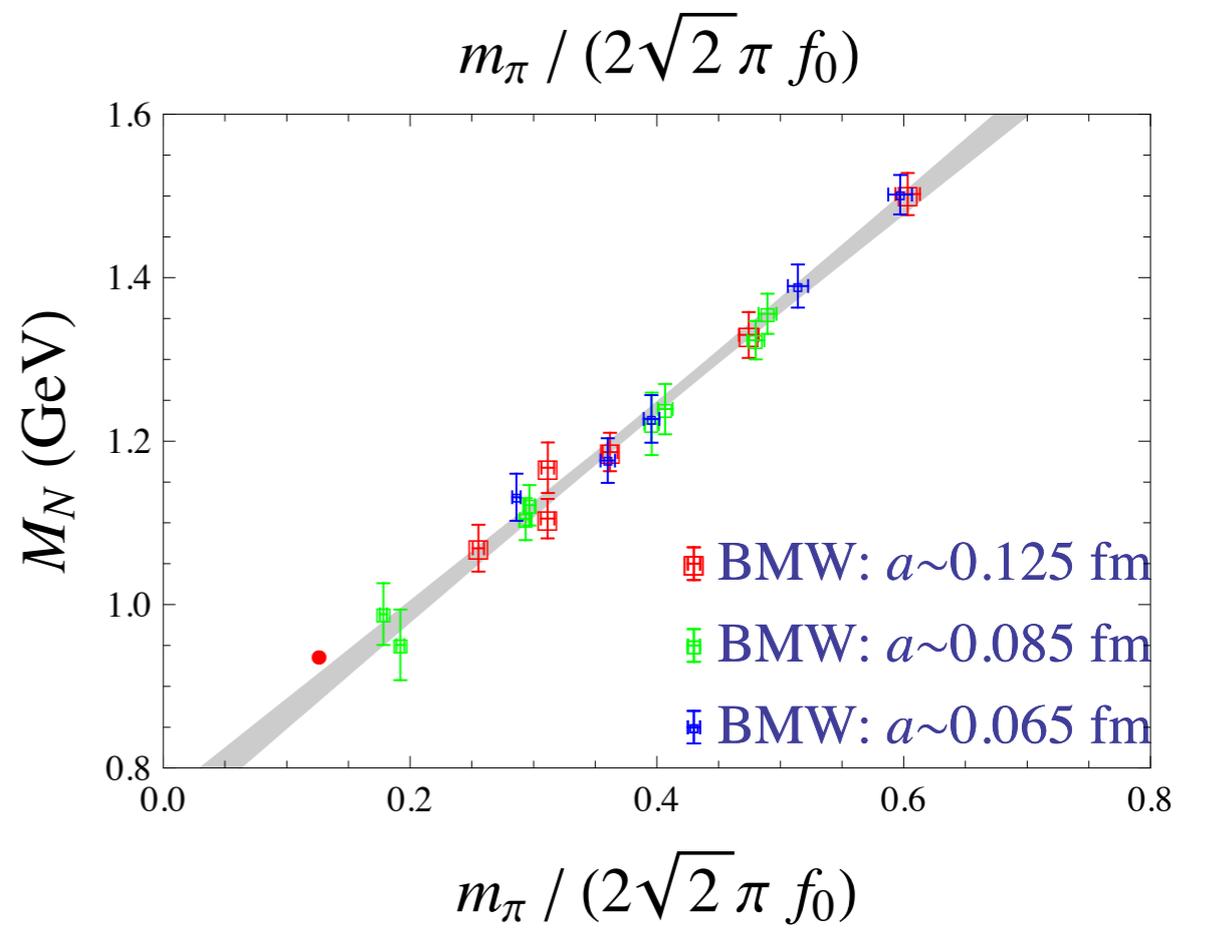
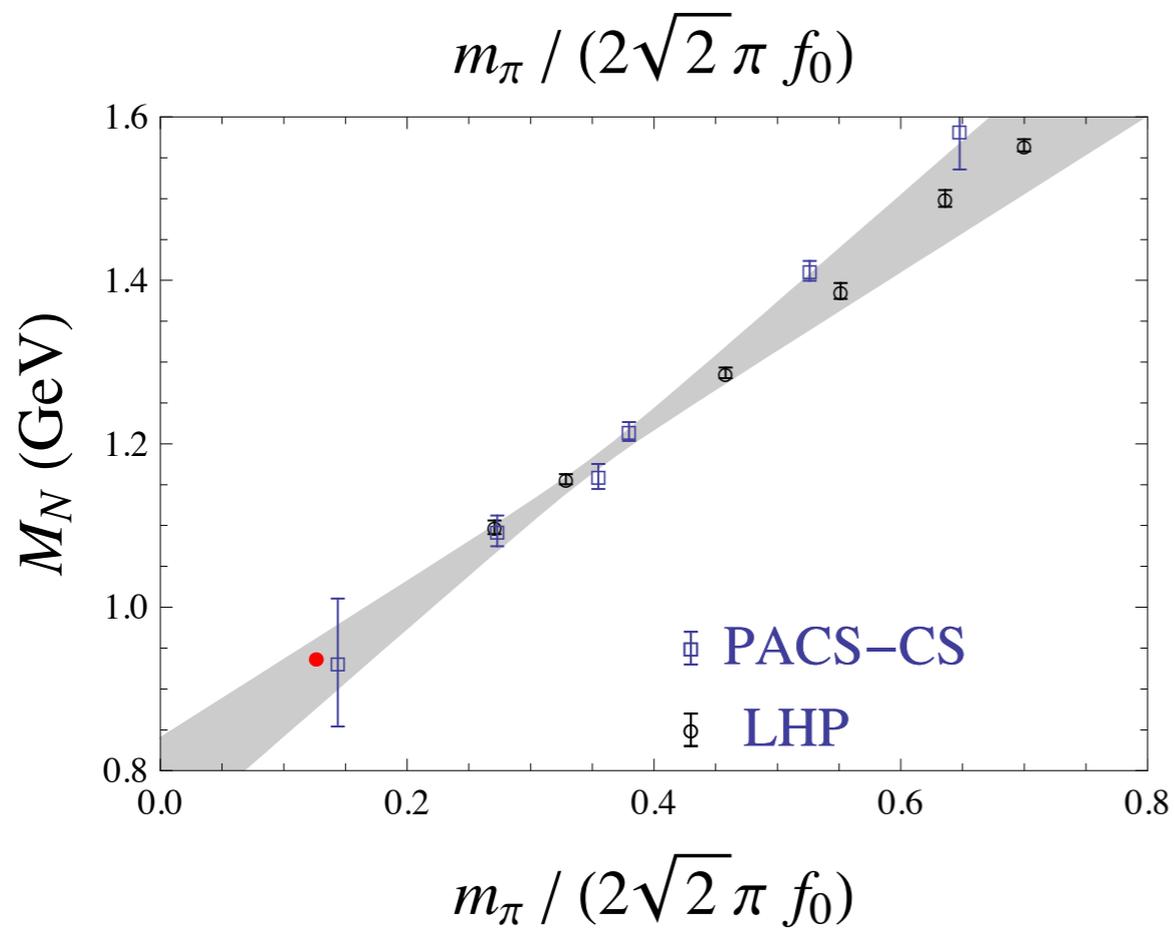
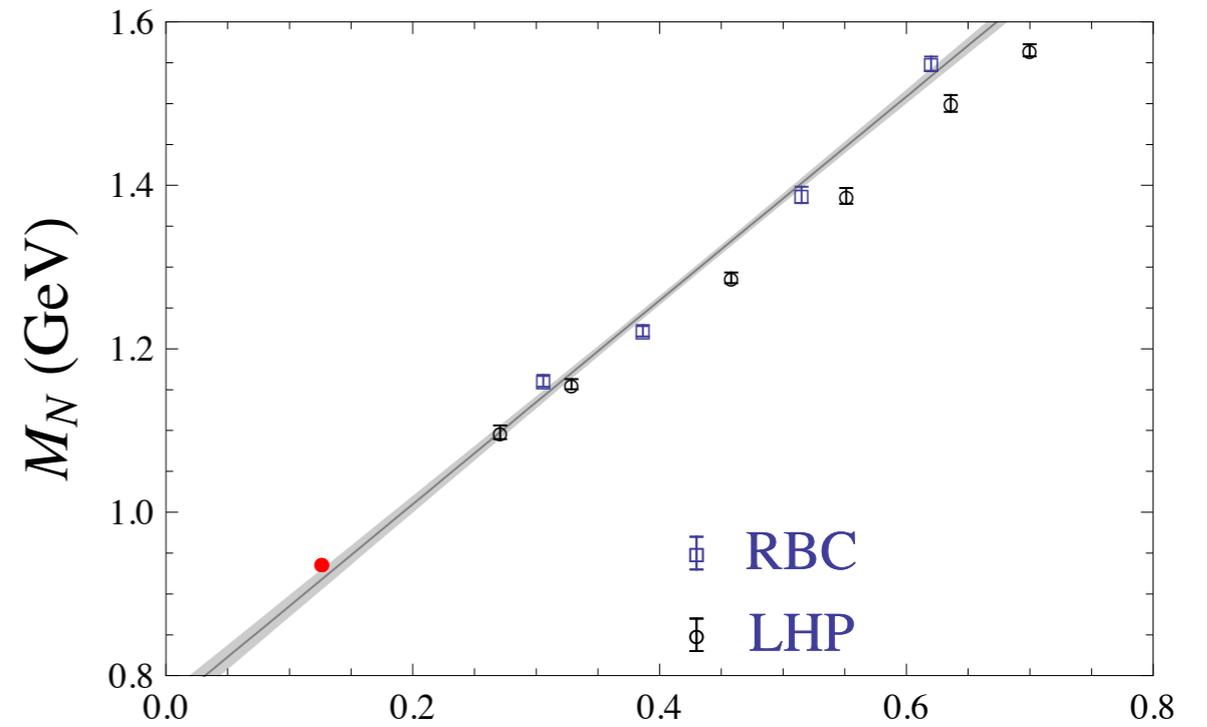
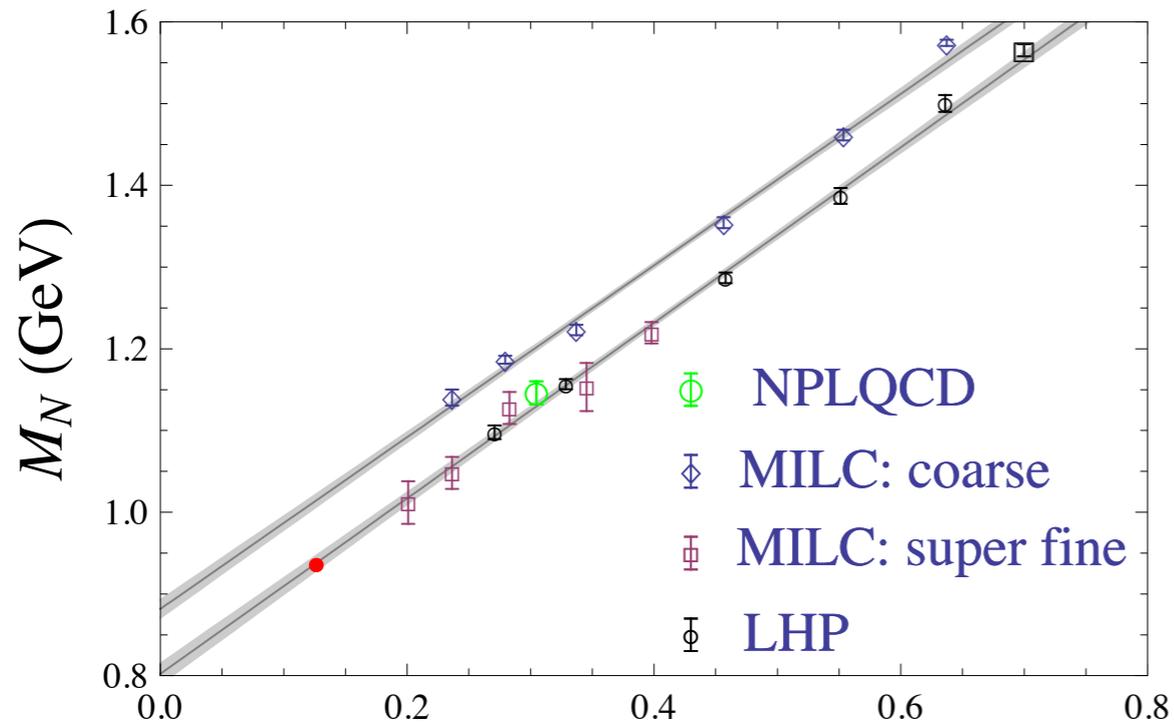
$$= 938 \pm 9 \text{ MeV}$$

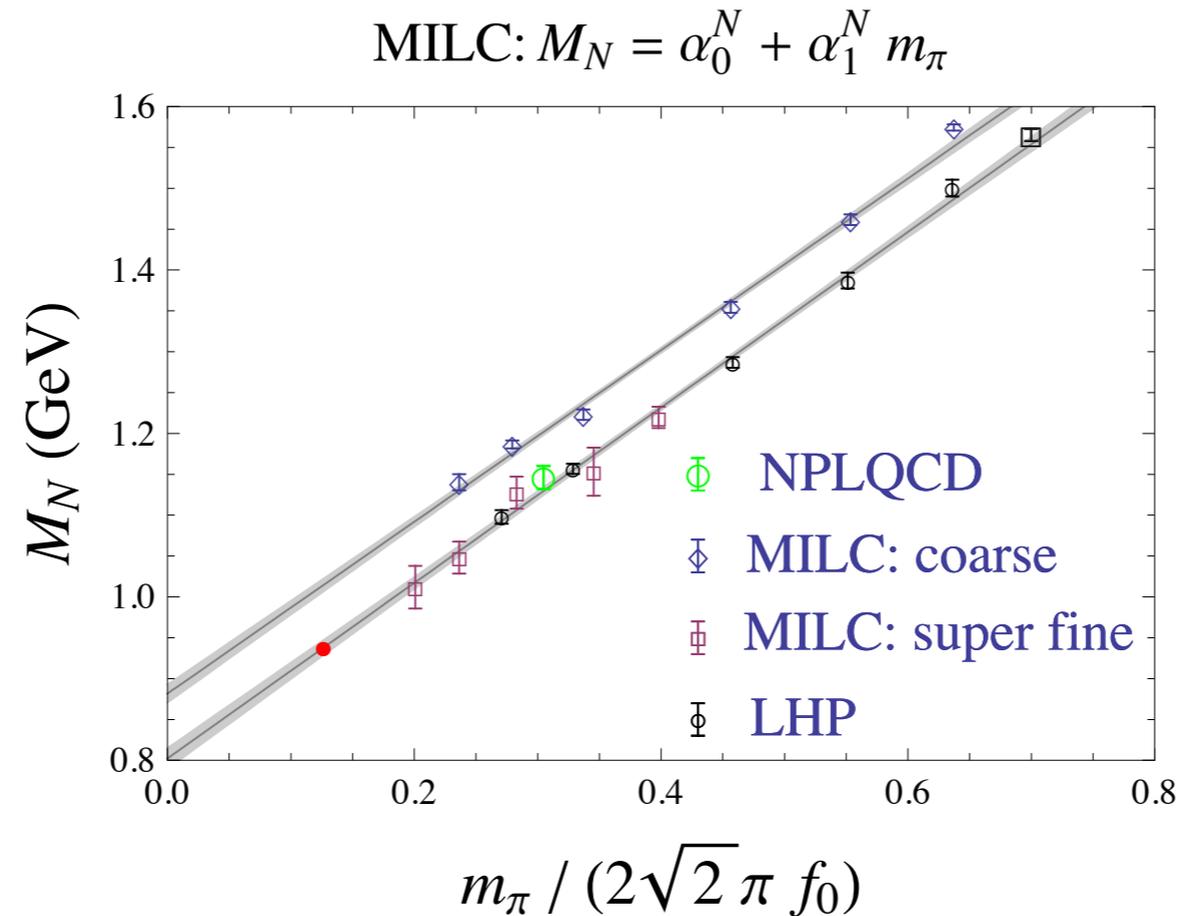
I am not advocating this as a good model for QCD!

MILC:  $M_N = \alpha_0^N + \alpha_1^N m_\pi$

Latt 2008, arXiv:0810.0663

$M_N = \alpha_0^N + \alpha_1^N m_\pi$





What does this teach us?

For these pion masses, there is a strong cancelation between LO, NLO and NNLO  $\chi^{\text{PT}}$  contributions perhaps should have been expected given poor convergence (but just not a straight line!!!)

What if we consider the octet and decuplet in the three flavor theory?

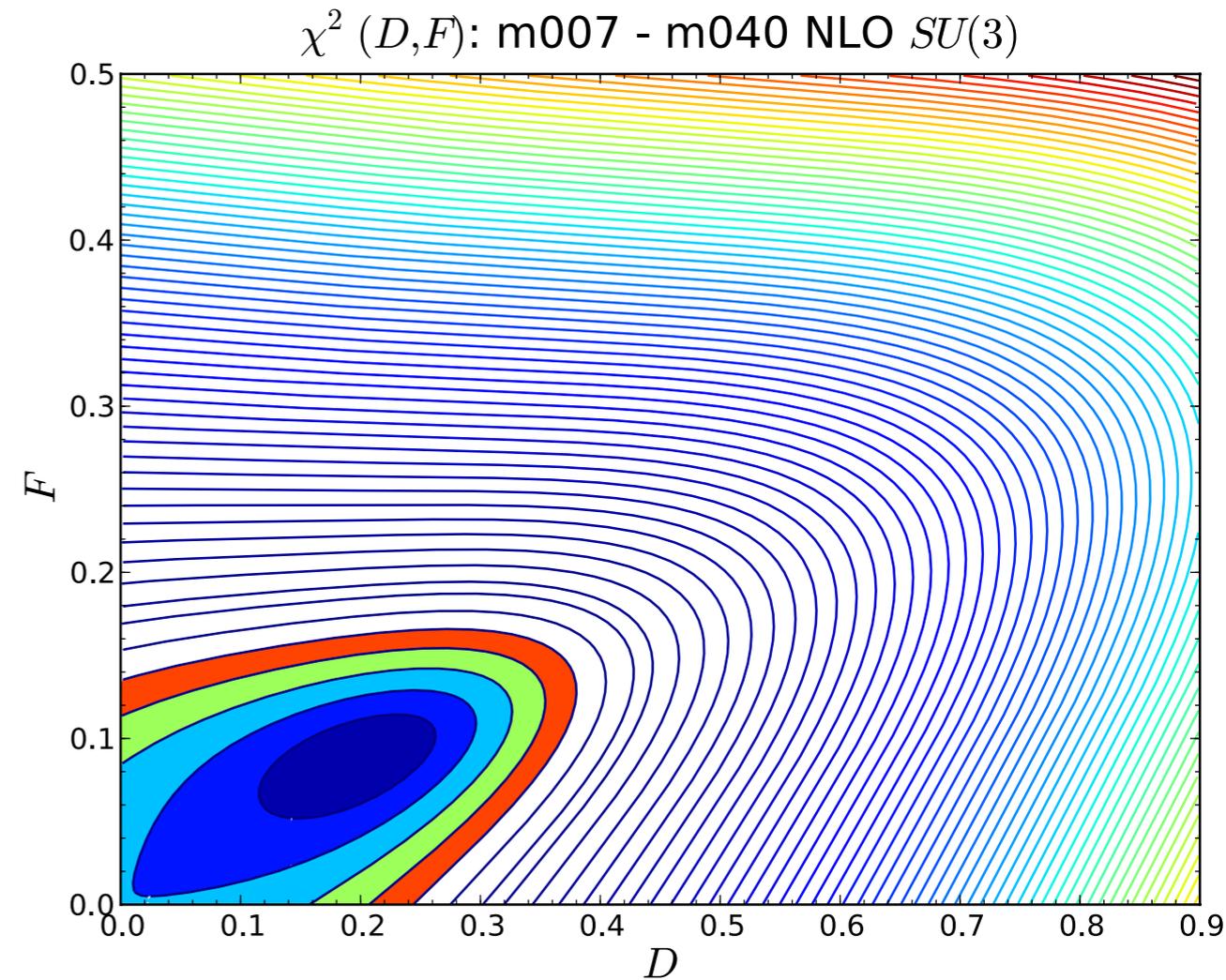
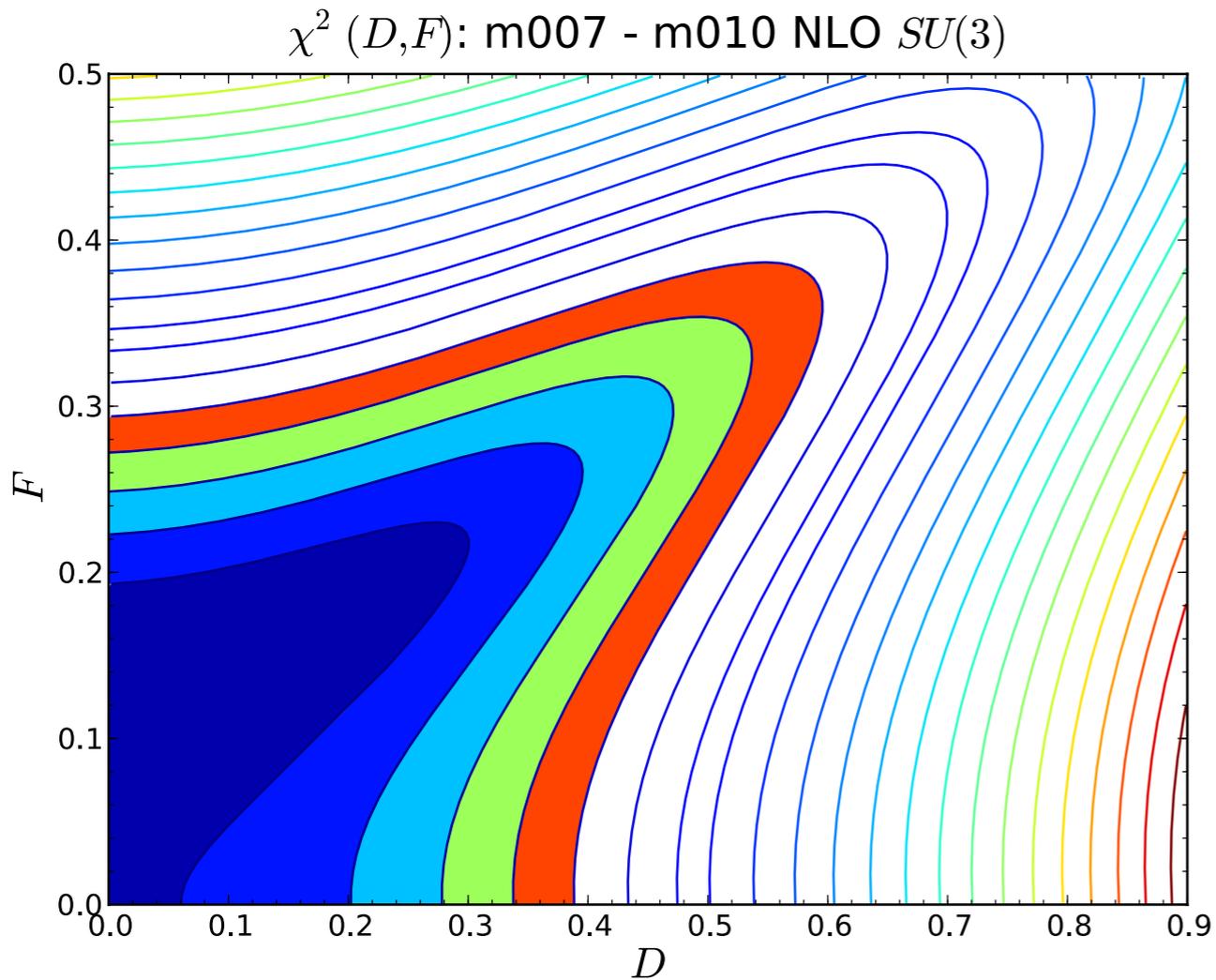
$$M_N = M_0 + \alpha_N^\pi m_\pi^2 + \alpha_N^K m_K^2 - \frac{1}{16\pi^2 f^2} \left[ 3\pi(D+F)^2 m_\pi^3 + \frac{\pi}{3}(D-3F)^2 m_\eta^3 + \frac{2\pi}{3}(5D^2 - 6DF + 9F^2) m_K^3 + \frac{8}{3}\mathcal{F}(m_\pi, \Delta, \mu) + \frac{2}{3}\mathcal{F}(m_K, \Delta, \mu) \right]$$

Possible convergence is significantly challenged (**fails**) by kaon and eta loops

LHP Collaboration arXiv:0806.4549

PACS-CS Collaboration arXiv:0905.0962

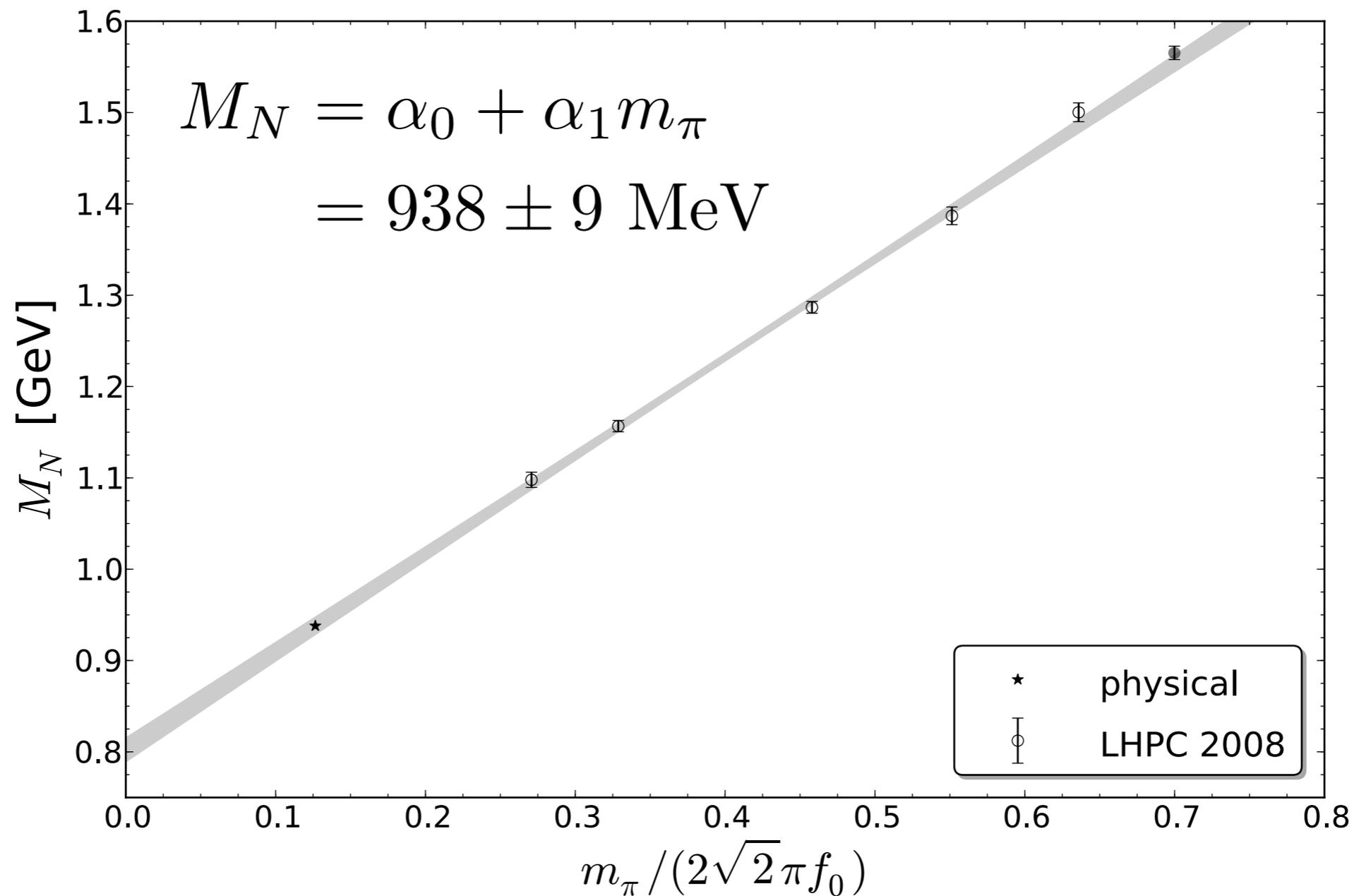
figures: Jenkins, Manohar, Negele and AWWL arXiv:0907.0529



NLO  $SU(3)$  chiral fits to spectrum are not consistent with phenomenological values of  $D, F$

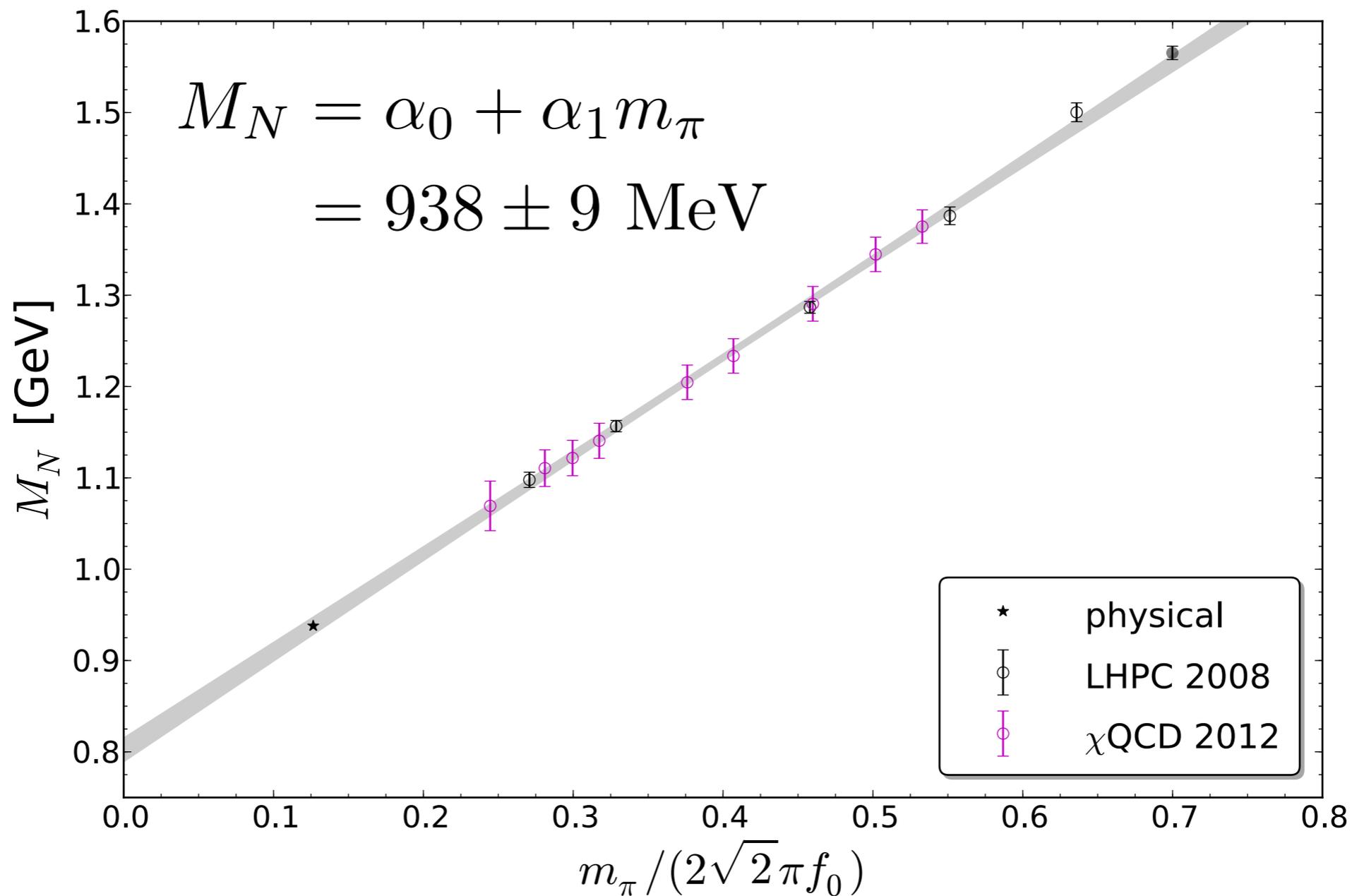
$$D \sim 0.75, \quad F \sim 0.50$$

What is the status now (2012)?



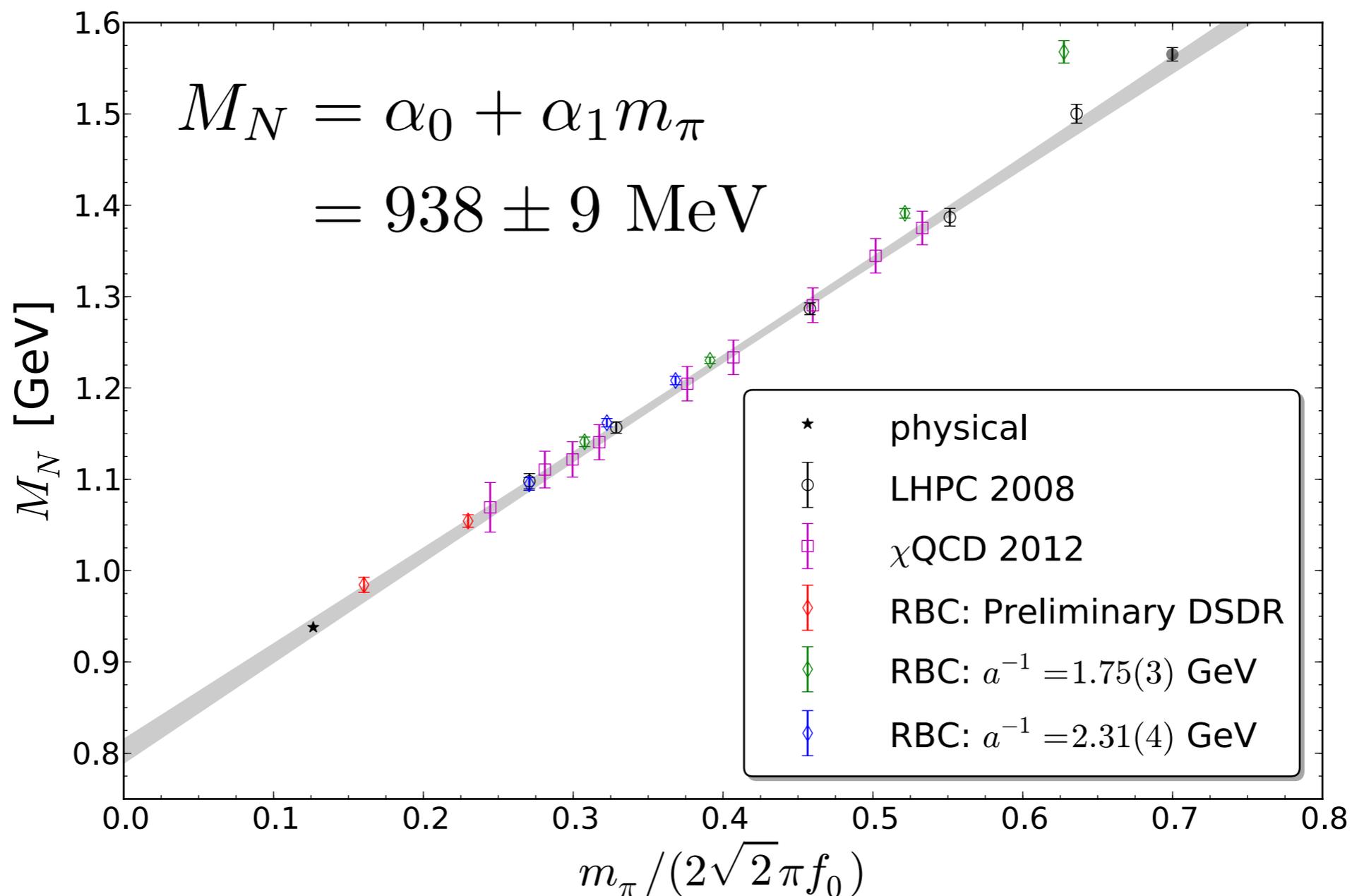
Physical point **NOT** included in fit

What is the status now (2012)?



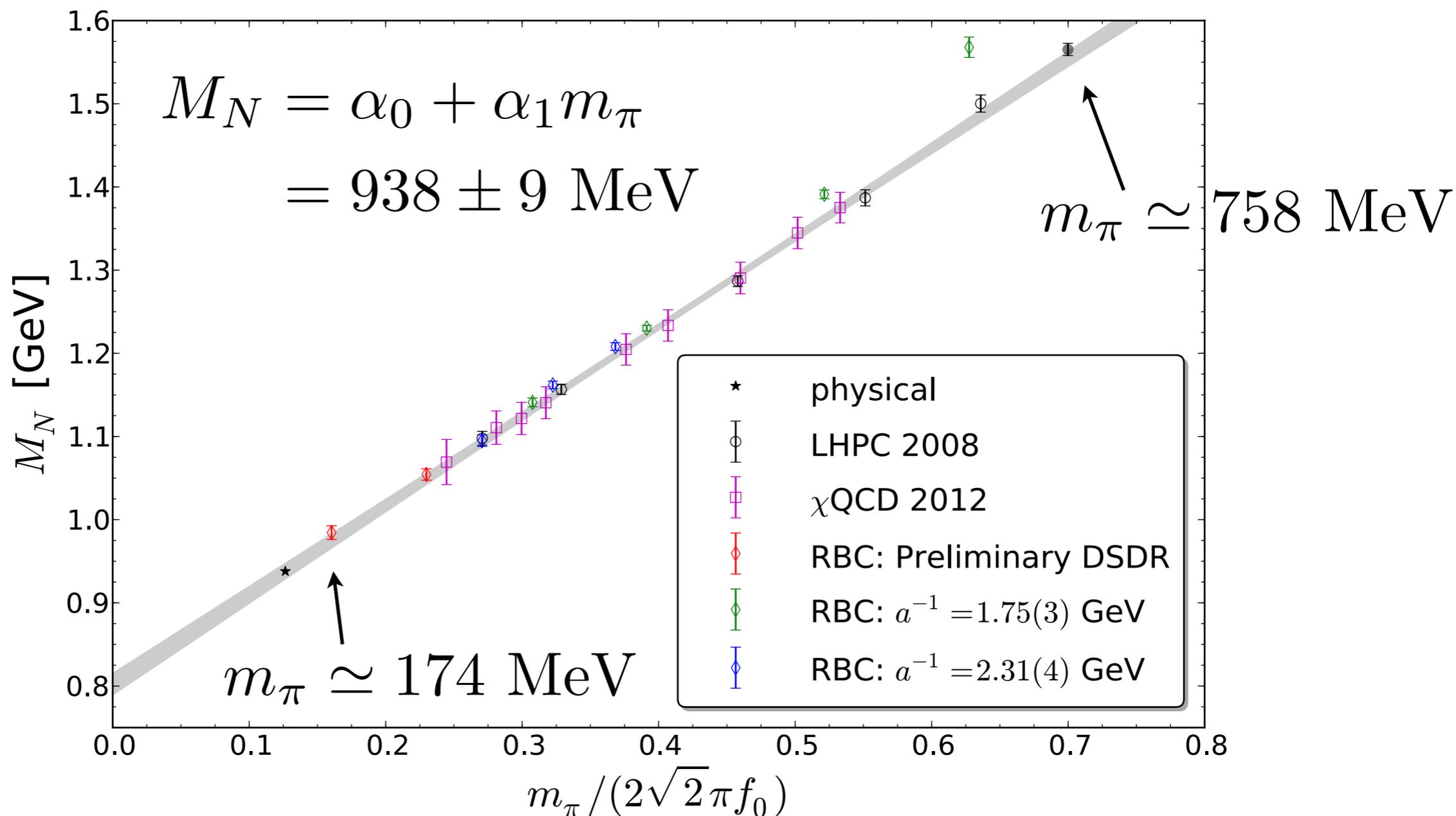
$\chi$ QCD Collaboration uses **Overlap Valence** fermions on **Domain-Wall** (RBC-UKQCD) sea fermions

What is the status now (2012)?



RBC-UKQCD Collaboration uses Domain-Wall valence and sea fermions

What is the status now (2012)?



Taking this seriously yields

$$\sigma_{\pi N} = 67 \pm 4 \text{ MeV}$$

I am not advocating this as a good model for QCD!

What can we do?

- Consider 2-flavor expansion for hyperons

Beane, Bedaque, Parreno and Savage [nucl-th/0311027](#)

Tiburzi and AWWL [arXiv:0808.0482](#)

Jiang and Tiburzi [arXiv:0905.0857](#)

Mai, Bruns, Kubis and Meissner [arXiv:0905.2810](#)

Jiang, Tiburzi and AWWL [arXiv:0911.4721](#)

Jiang and Tiburzi [arXiv:0912.2077](#)

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- Read the literature and apply an old idea to our new problem

combine the constraints of large  $N_c$  and  $SU(3)$  symmetries

# Large $N_c$ and $SU(3)$ Chiral Perturbation Theory

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Combined large  $N_c$  and  $SU(3)$  symmetries

't Hooft 1974

Witten 1979

Coleman 1979

Dashen, Jenkins, Manohar 1993

...

see talks at this conference by

Alvaro Calle Cardon: “ $1/N_c$  Chiral Perturbation Theory in the one-Baryon Sector”

Vojtech Krejcirik: “Model-independent form factor relations at large  $N_c$ ”

Mathias Lutz: “Strangeness in the baryon ground states”

# Large $N_c$ and SU(3) Chiral Perturbation Theory

- theory is placed on solid theoretical foundation

$$\lim_{N_c \rightarrow \infty} M_B = \infty$$

controlled expansion in  $1/N_c$  (at least formally)

- inclusion of spin 3/2 dof well defined field theoretically

$$M_\Delta - M_N \propto \frac{1}{N_c}$$

- naturally explains smallness of baryon octet GMO relation

$$N_c m_s^{3/2} \propto \text{flavor-1}$$

$$m_s^{3/2} \propto \text{flavor-8}$$

$$m_s^{3/2}/N_c \propto \text{flavor-27} \quad \text{leading correction to GMO}$$

# Large $N_c$ and SU(3) Chiral Perturbation Theory

● gives you “smarter” observables to measure/calculate

eg: Spectrum  $M = M^{1,0} + M^{8,0} + M^{27,0} + M^{64,0}$

$$M^{1,0} = c_{(0)}^{1,0} N_c \mathbf{1} + c_{(2)}^{1,0} \frac{1}{N_c} J^2$$

$$M^{8,0} = c_{(1)}^{8,0} T^8 + c_{(2)}^{8,0} \frac{1}{N_c} \{J^i, G^{i8}\} + c_{(3)}^{8,0} \frac{1}{N_c^2} \{J^2, T^8\}$$

$$M^{27,0} = c_{(2)}^{27,0} \frac{1}{N_c} \{T^8, T^8\} + c_{(3)}^{27,0} \frac{1}{N_c^2} \{T^8, \{J^i, G^{i8}\}\}$$

$$M^{64,0} = c_{(3)}^{64,0} \frac{1}{N_c^2} \{T^8, \{T^8, T^8\}\}$$

$$J^i = q^\dagger (J^i \otimes \mathbf{1}) q \quad \text{one-body spin operator}$$

$$T^a = q^\dagger (\mathbf{1} \otimes T^a) q \quad \text{one-body flavor operator}$$

$$G^{ia} = q^\dagger (J^i \otimes T^a) q \quad \text{one-body spin-flavor operator}$$

# Large $N_c$ and $SU(3)$ Chiral Perturbation Theory

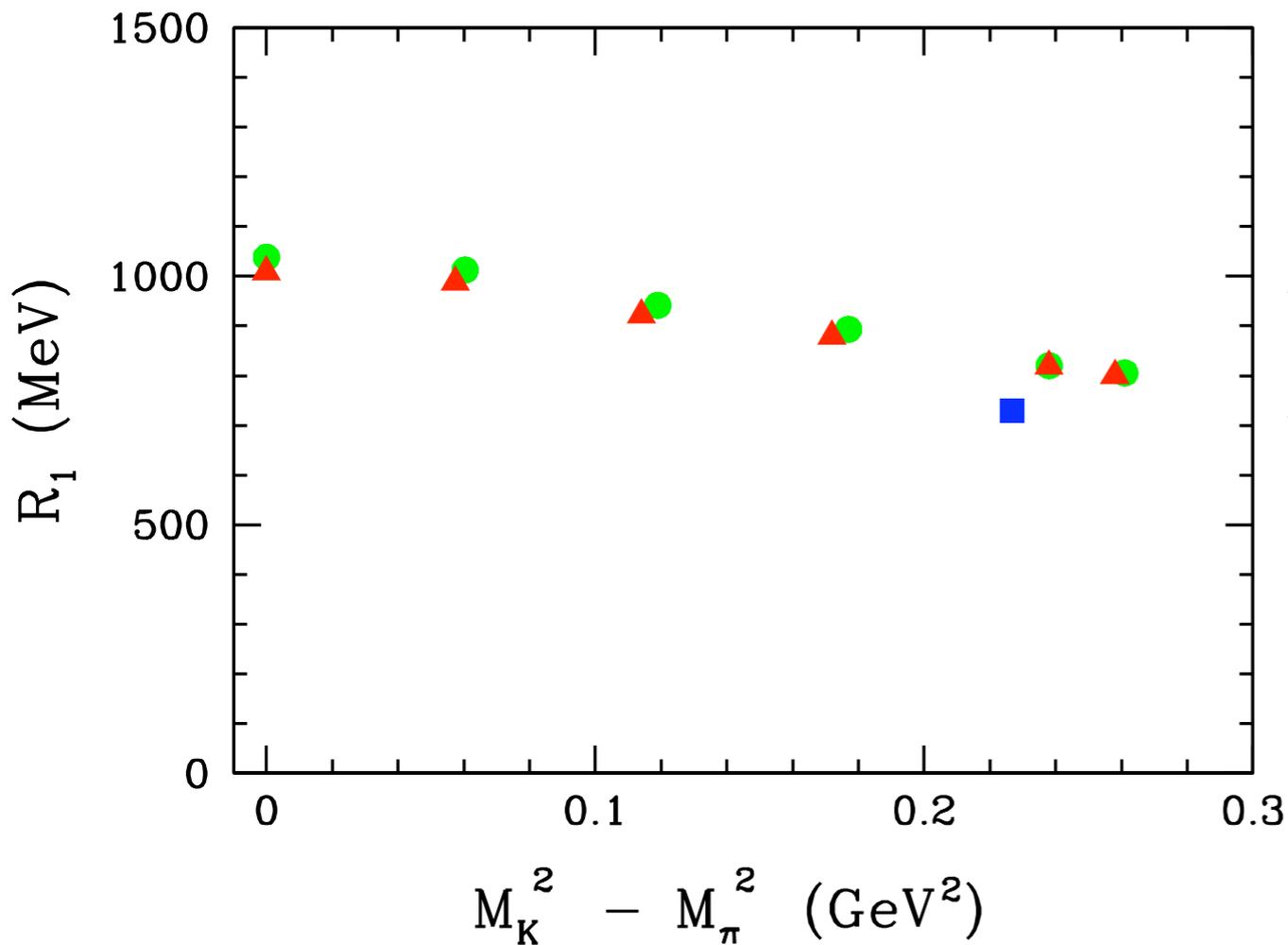
Jenkins and Lebed hep-ph/9502227

Label	Operator	Coefficient	Mass Combination	$1/N_c$	$SU(3)$
$M_1$	$\mathbb{1}$	$160 N_c c_{(0)}^{1,0}$	$25(2N + \Lambda + 3\Sigma + 2\Xi) - 4(4\Delta + 3\Sigma^* + 2\Xi^* + \Omega)$	$N_c$	1
$M_2$	$J^2$	$-120 \frac{1}{N_c} c_{(2)}^{1,0}$	$5(2N + \Lambda + 3\Sigma + 2\Xi) - 4(4\Delta + 3\Sigma^* + 2\Xi^* + \Omega)$	$1/N_c$	1
$M_3$	$T^8$	$20\sqrt{3} \epsilon c_{(1)}^{8,0}$	$5(6N + \Lambda - 3\Sigma - 4\Xi) - 2(2\Delta - \Xi^* - \Omega)$	1	$\epsilon$
$M_4$	$\{J^i, G^{i8}\}$	$-5\sqrt{3} \frac{1}{N_c} \epsilon c_{(2)}^{8,0}$	$N + \Lambda - 3\Sigma + \Xi$	$1/N_c$	$\epsilon$
$M_5$	$\{J^2, T^8\}$	$30\sqrt{3} \frac{1}{N_c^2} \epsilon c_{(3)}^{8,0}$	$(-2N + 3\Lambda - 9\Sigma + 8\Xi) + 2(2\Delta - \Xi^* - \Omega)$	$1/N_c^2$	$\epsilon$
$M_6$	$\{T^8, T^8\}$	$126 \frac{1}{N_c} \epsilon^2 c_{(2)}^{27,0}$	$35(2N - 3\Lambda - \Sigma + 2\Xi) - 4(4\Delta - 5\Sigma^* - 2\Xi^* + 3\Omega)$	$1/N_c$	$\epsilon^2$
$M_7$	$\{T^8, J^i G^{i8}\}$	$-63 \frac{1}{N_c^2} \epsilon^2 c_{(3)}^{27,0}$	$7(2N - 3\Lambda - \Sigma + 2\Xi) - 2(4\Delta - 5\Sigma^* - 2\Xi^* + 3\Omega)$	$1/N_c^2$	$\epsilon^2$
$M_8$	$\{T^8, \{T^8, T^8\}\}$	$9\sqrt{3} \frac{1}{N_c^2} \epsilon^3 c_{(3)}^{64,0}$	$\Delta - 3\Sigma^* + 3\Xi^* - \Omega$	$1/N_c^2$	$\epsilon^3$
$M_A$			$(\Sigma^* - \Sigma) - (\Xi^* - \Xi)$	$1/N_c^2$	—
$M_B$			$\frac{1}{3} (\Sigma + 2\Sigma^*) - \Lambda - \frac{2}{3} (\Delta - N)$	$1/N_c^2$	—
$M_C$			$-\frac{1}{4} (2N - 3\Lambda - \Sigma + 2\Xi) + \frac{1}{4} (\Delta - \Sigma^* - \Xi^* + \Omega)$	$1/N_c^2$	—
$M_D$			$-\frac{1}{2} (\Delta - 3\Sigma^* + 3\Xi^* - \Omega)$	$1/N_c^2$	—

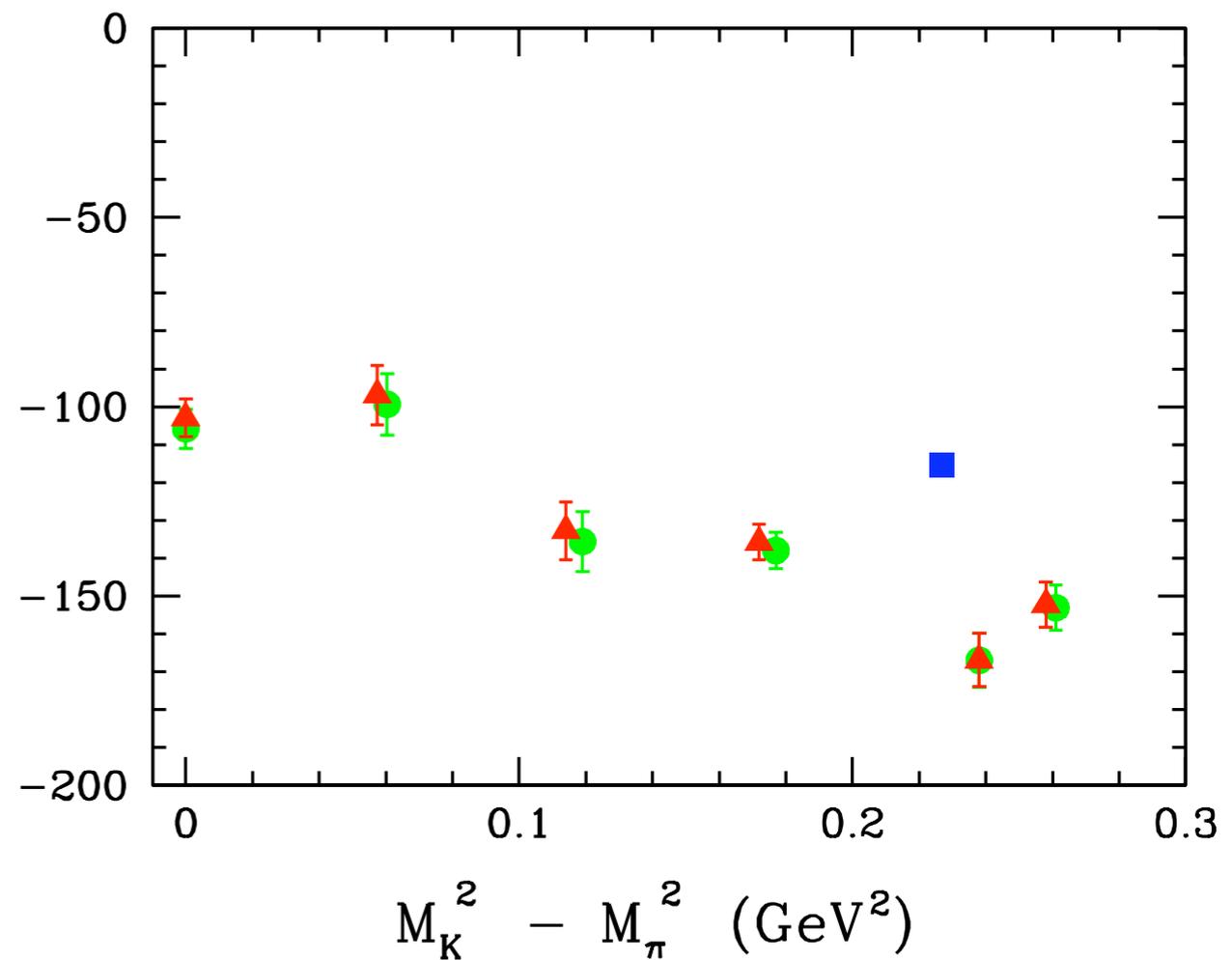
$$R \equiv \frac{\sum_i c_i M_i}{\sum_i |c_i|}$$

$$\epsilon \propto m_s - m_l$$

# Large $N_c$ and SU(3) Chiral Perturbation Theory

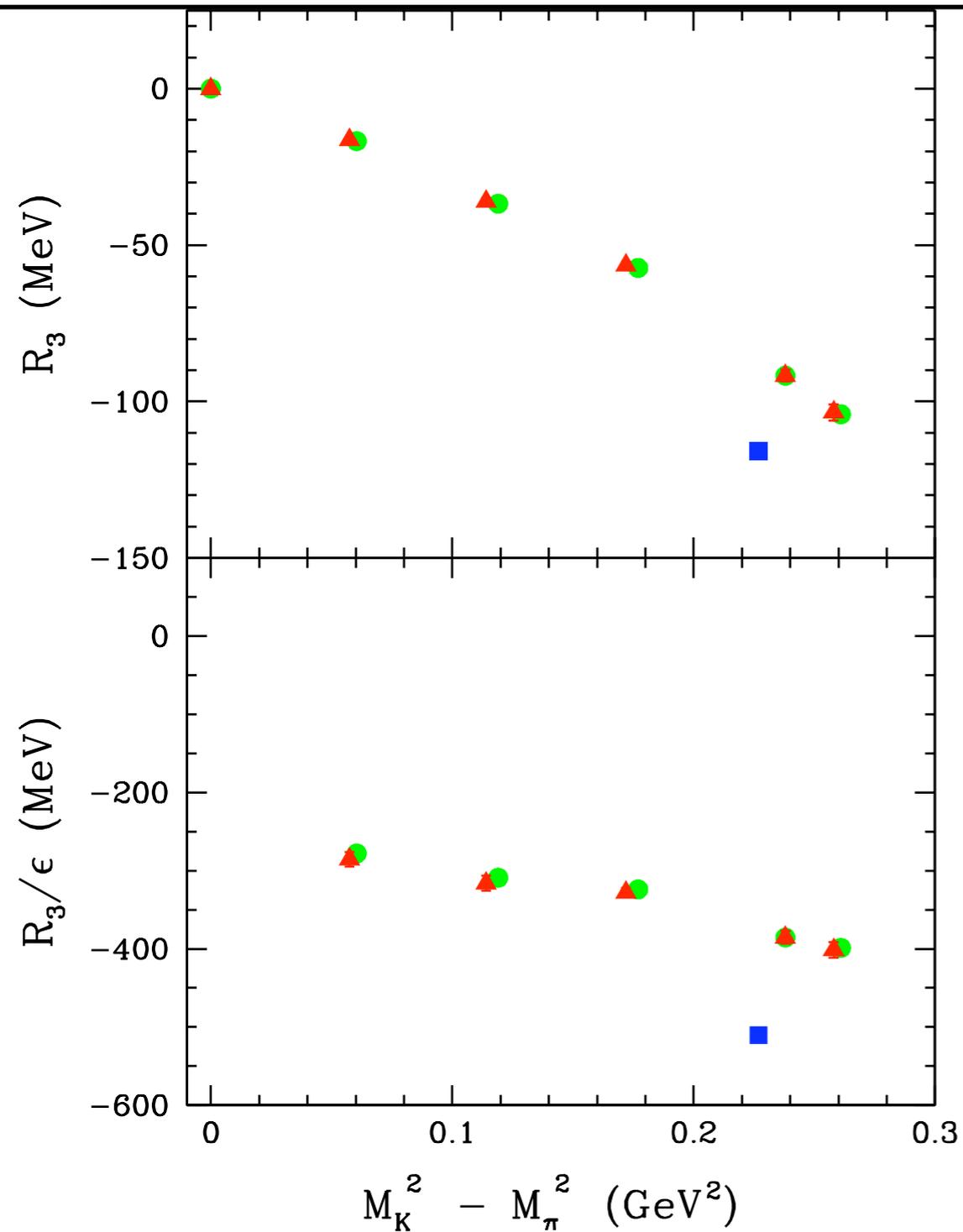


$$R_1 \sim \mathcal{O}(N_c) \times \mathcal{O}(\epsilon^0)$$

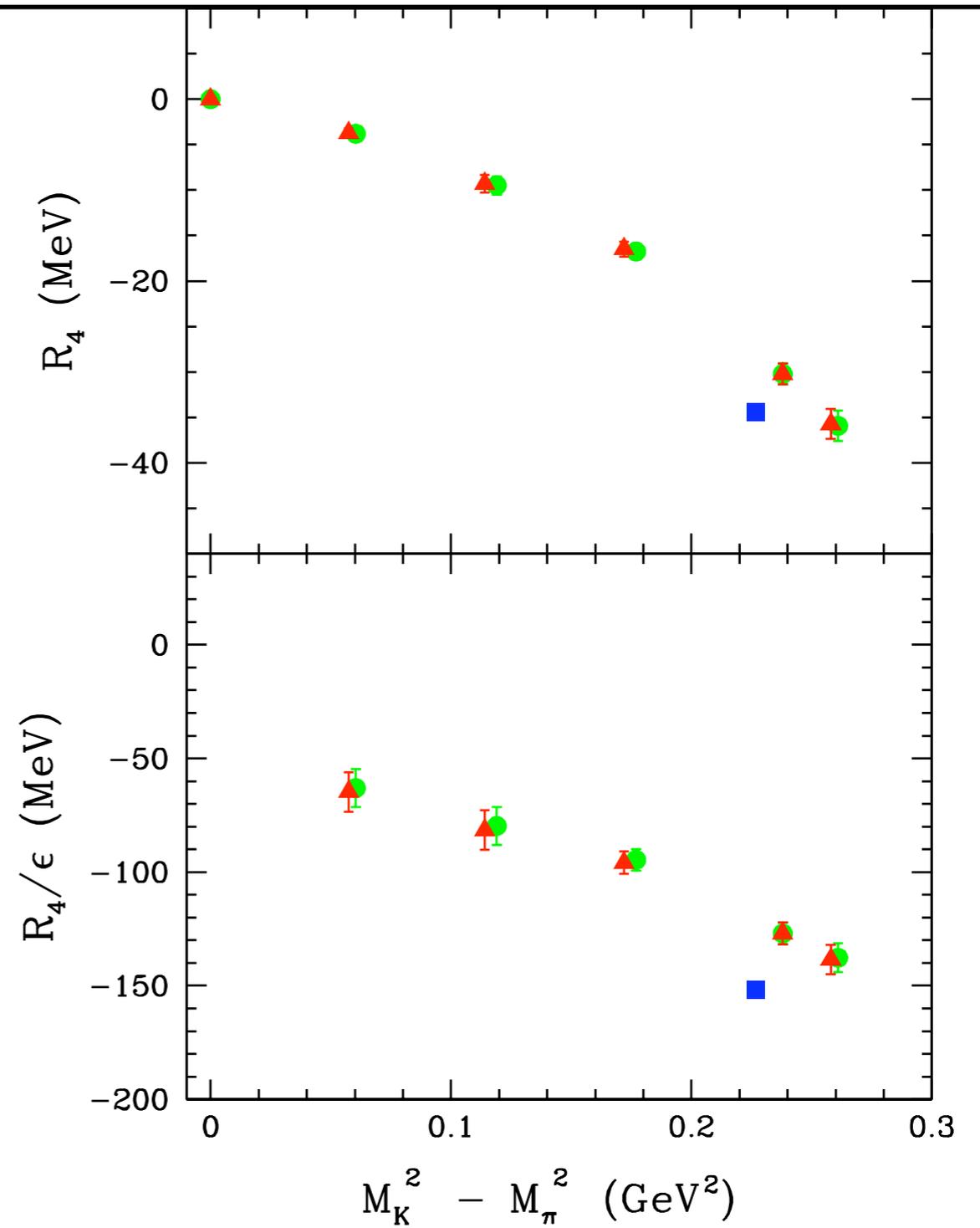


$$R_2 \sim \mathcal{O}(1/N_c) \times \mathcal{O}(\epsilon^0)$$

# Large $N_c$ and SU(3) Chiral Perturbation Theory

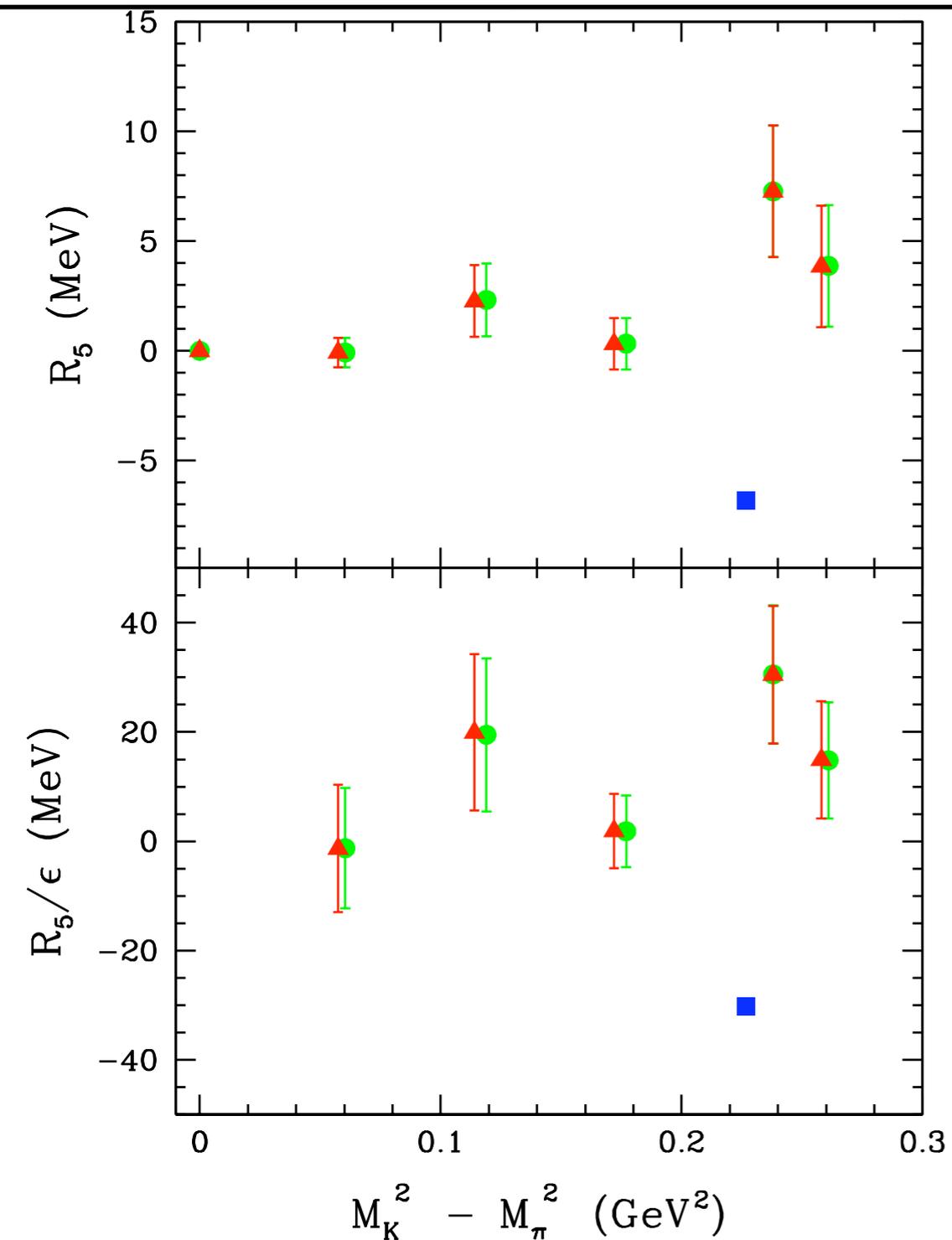


$$R_3 \sim \mathcal{O}(N_c^0) \times \mathcal{O}(\epsilon)$$

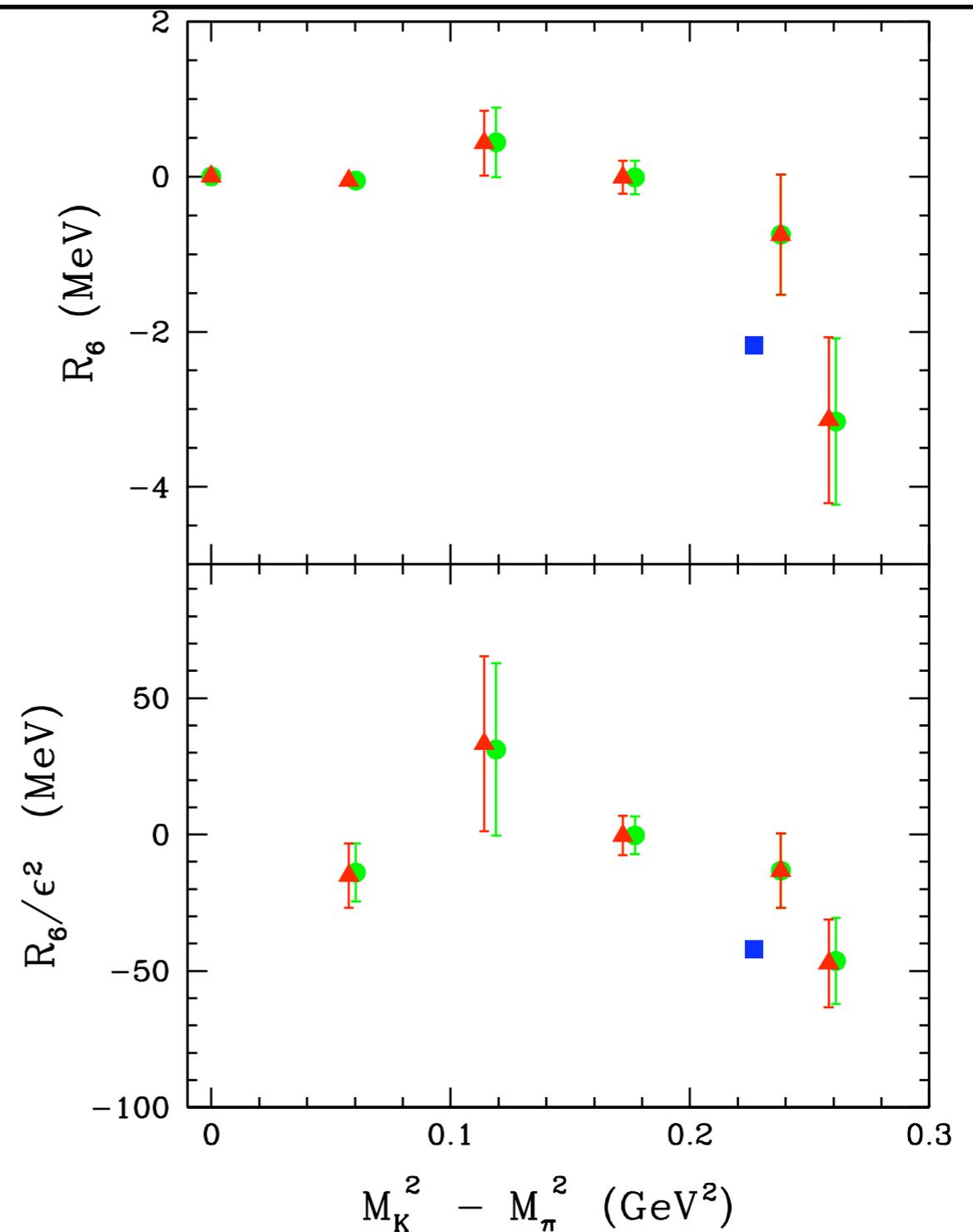


$$R_4 \sim \mathcal{O}(1/N_c) \times \mathcal{O}(\epsilon)$$

# Large $N_c$ and SU(3) Chiral Perturbation Theory



$$R_5 \sim \mathcal{O}(1/N_c^2) \times \mathcal{O}(\epsilon)$$



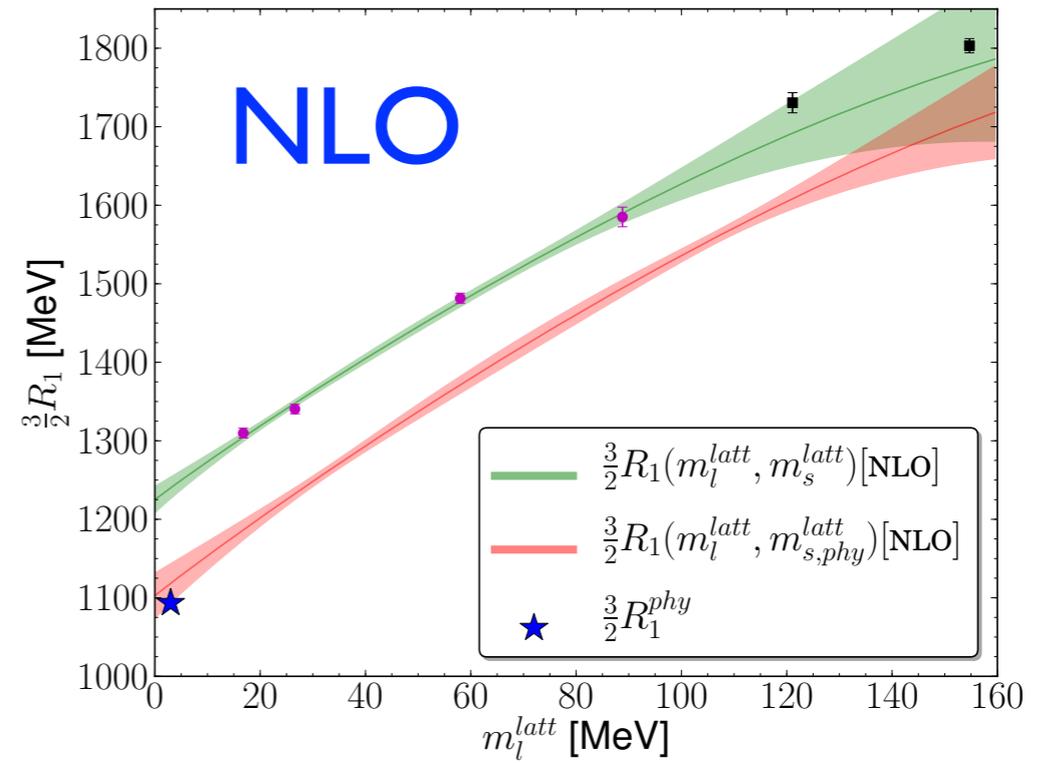
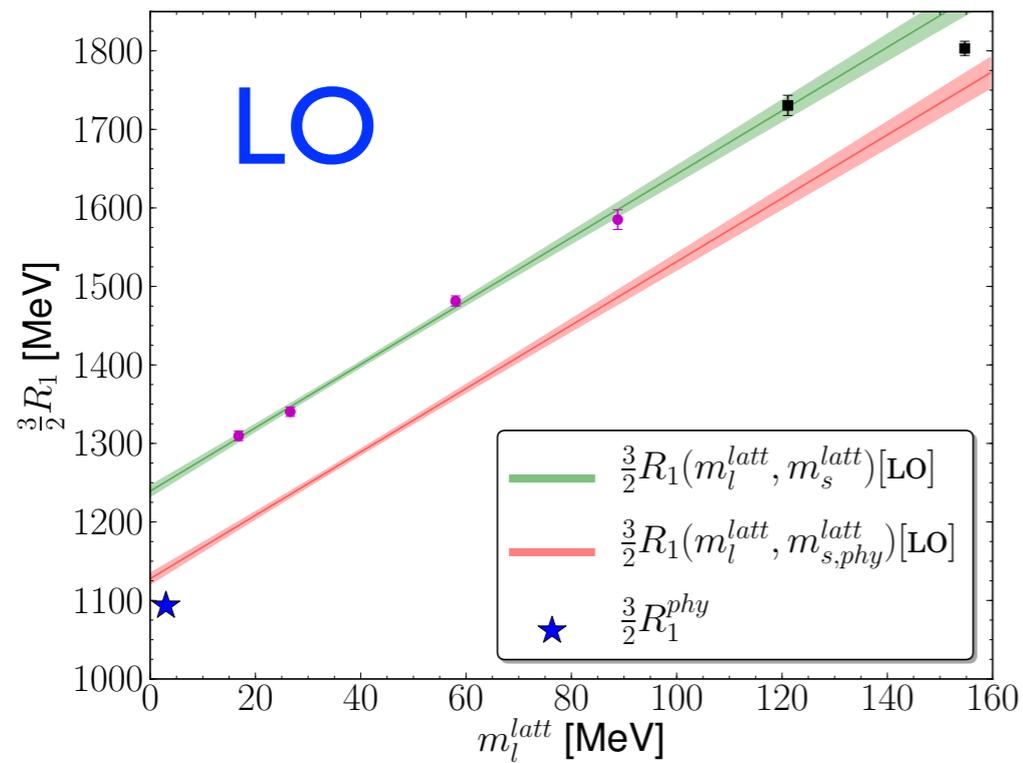
$$R_6 \sim \mathcal{O}(1/N_c) \times \mathcal{O}(\epsilon^2)$$

$$\begin{aligned}
 \mathcal{L} = & i \operatorname{Tr} \bar{B}_v (v \cdot \mathcal{D}) B_v - i \bar{T}_v^\mu (v \cdot \mathcal{D}) T_{v\mu} - \frac{1}{4} \Delta_0 \operatorname{Tr} \bar{B}_v B_v + \frac{5}{4} \Delta_0 \bar{T}_v^\mu T_{v\mu} \\
 & + 2D \operatorname{Tr} (\bar{B}_v S_v^\mu \{ \mathcal{A}_\mu, B_v \}) + 2F \operatorname{Tr} (\bar{B}_v S_v^\mu [ \mathcal{A}_\mu, B_v ]) \\
 & + \mathcal{C} (\bar{T}_v^\mu \mathcal{A}_\mu B_v + \bar{B}_v \mathcal{A}_\mu T_v^\mu) + 2\mathcal{H} \bar{T}_v^\mu S_v^\nu \mathcal{A}_\nu T_{v\mu} \\
 & + 2\sigma_B \operatorname{Tr} (\bar{B}_v B_v) \operatorname{Tr} \mathcal{M}_+ - 2\sigma_T \bar{T}_v^\mu T_{v\mu} \operatorname{Tr} \mathcal{M}_+ \\
 & + 2b_D \operatorname{Tr} (\bar{B}_v \{ \mathcal{M}_+, B_v \}) + 2b_F \operatorname{Tr} (\bar{B}_v [ \mathcal{M}_+, B_v ]) + 2b_T \bar{T}_v^\mu \mathcal{M}_+ T_{v\mu}
 \end{aligned}$$

Large  $N_c$  expansion simplifies operators: Jenkins hep-ph/9509433

$$\begin{aligned}
 b_D &= \frac{1}{4} b_{(2)}, & b_F &= \frac{1}{2} b_{(1)} + \frac{1}{6} b_{(2)}, & b_T &= -\frac{3}{2} b_{(1)} - \frac{5}{4} b_{(2)} \\
 \sigma_B &= \frac{1}{2} b_{(1)} + \frac{1}{12} b_{(2)}, & \sigma_T &= \frac{1}{2} b_{(1)} + \frac{5}{12} b_{(2)}.
 \end{aligned}$$

$$\begin{aligned}
 D &= \frac{1}{2} a_{(1)}, & F &= \frac{1}{3} a_{(1)} + \frac{1}{6} a_{(2)}, & \mathcal{C} &= -2D, \\
 \mathcal{C} &= -a_{(1)}, & \mathcal{H} &= -\frac{3}{2} a_{(1)} - \frac{3}{2} a_{(2)}, & \mathcal{H} &= 3D - F.
 \end{aligned}$$



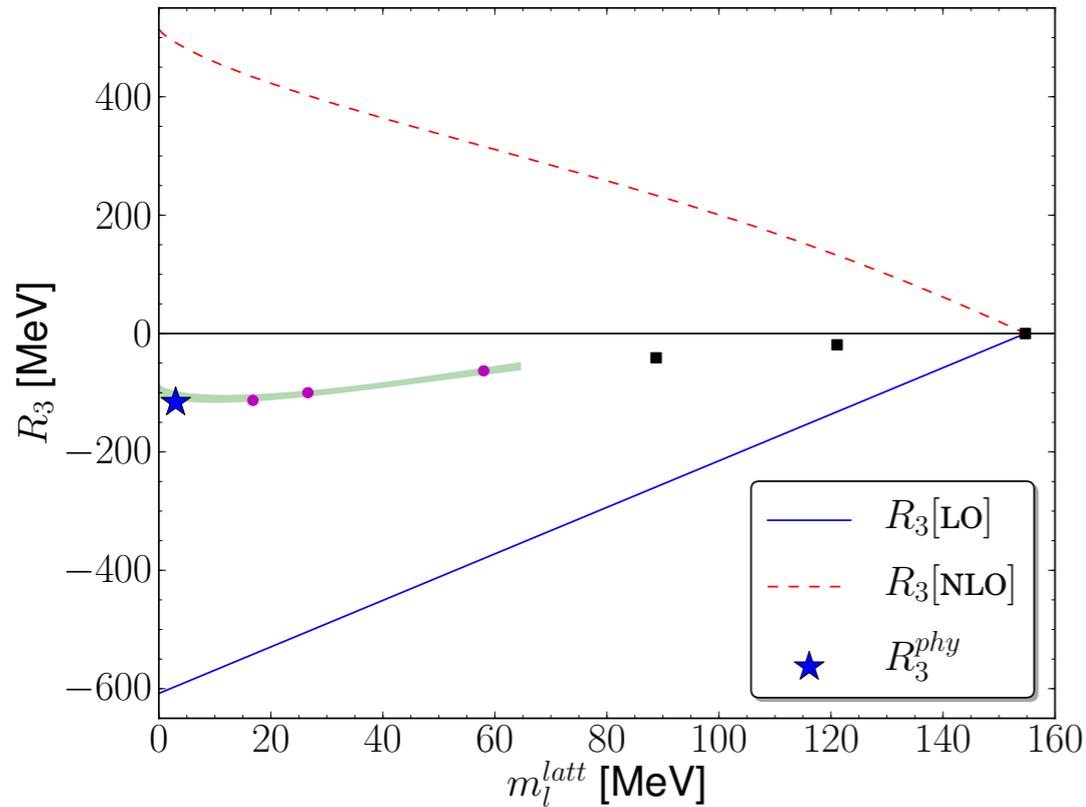
$$\begin{aligned} \frac{3}{2} R_1(m_l, m_s) = & M_0 - \left( \frac{3}{4} b_{(1)} + \frac{5}{24} b_{(2)} \right) (2m_l + m_s) \\ & - \frac{1}{12} \left( 35a_{(1)}^2 - 5a_{(2)}^2 \right) \left( \frac{3\mathcal{F}(m_\pi, 0, \mu) + 4\mathcal{F}(m_K, 0, \mu) + \mathcal{F}(m_\eta, 0, \mu)}{8(4\pi f)^2} \right) \\ & - \frac{1}{12} a_{(1)}^2 \left[ 50 \left( \frac{3\mathcal{F}(m_\pi, \Delta, \mu) + 4\mathcal{F}(m_K, \Delta, \mu) + \mathcal{F}(m_\eta, \Delta, \mu)}{8(4\pi f)^2} \right) \right. \\ & \left. - 4 \left( \frac{3\mathcal{F}(m_\pi, -\Delta, \mu) + 4\mathcal{F}(m_K, -\Delta, \mu) + \mathcal{F}(m_\eta, -\Delta, \mu)}{8(4\pi f)^2} \right) \right] \end{aligned}$$

$$a_{(1)} = 0.2(5)$$

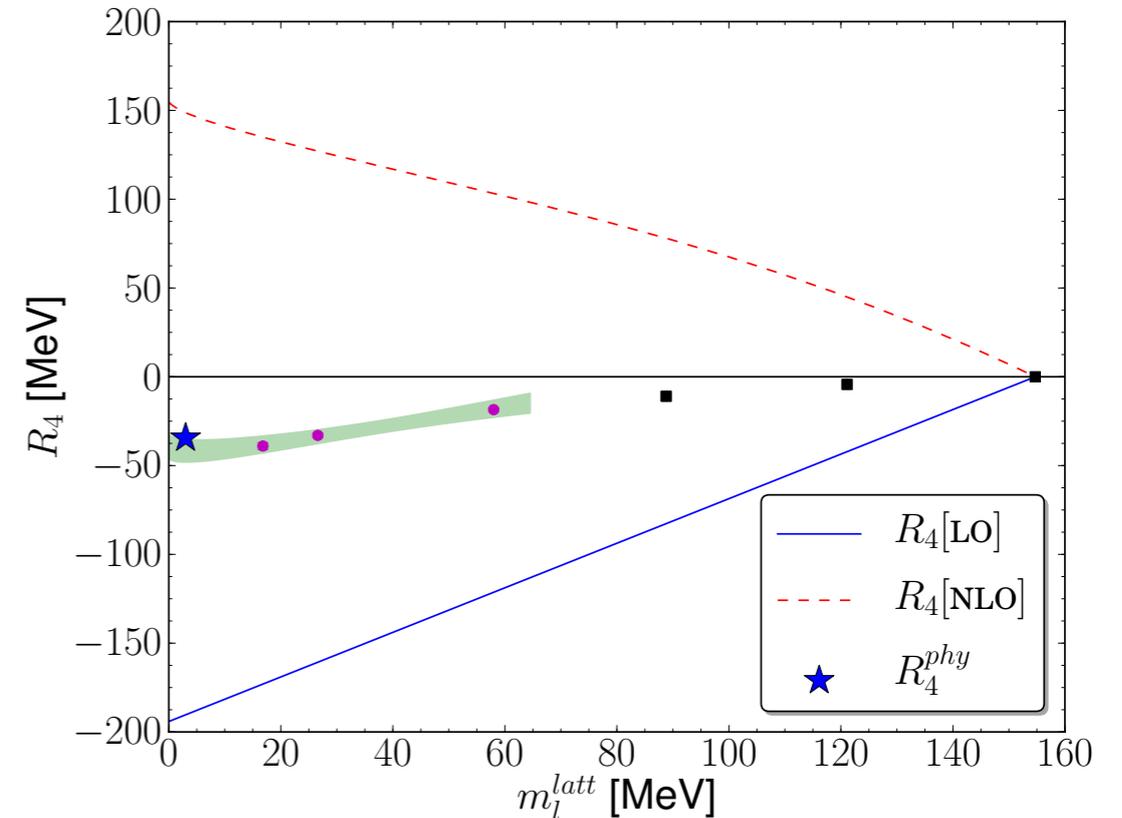


$$D = 0.10(25)$$

$$R_3 \propto m_s - m_l$$

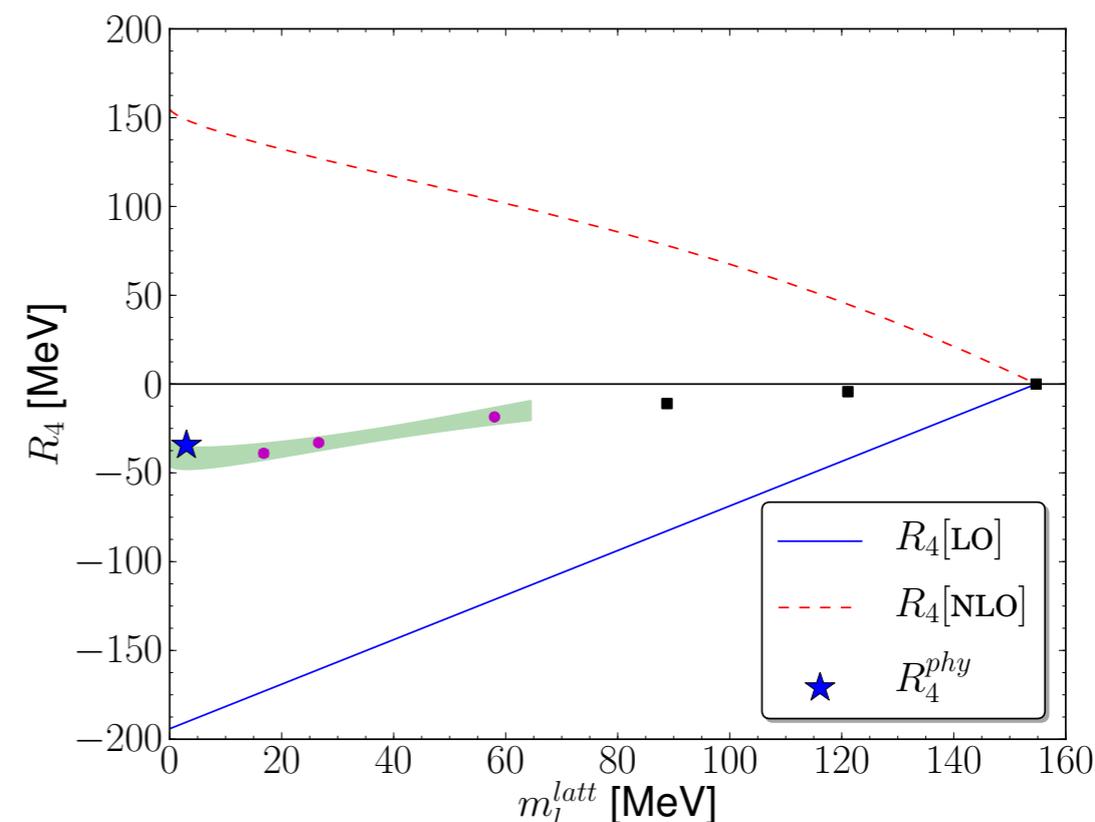
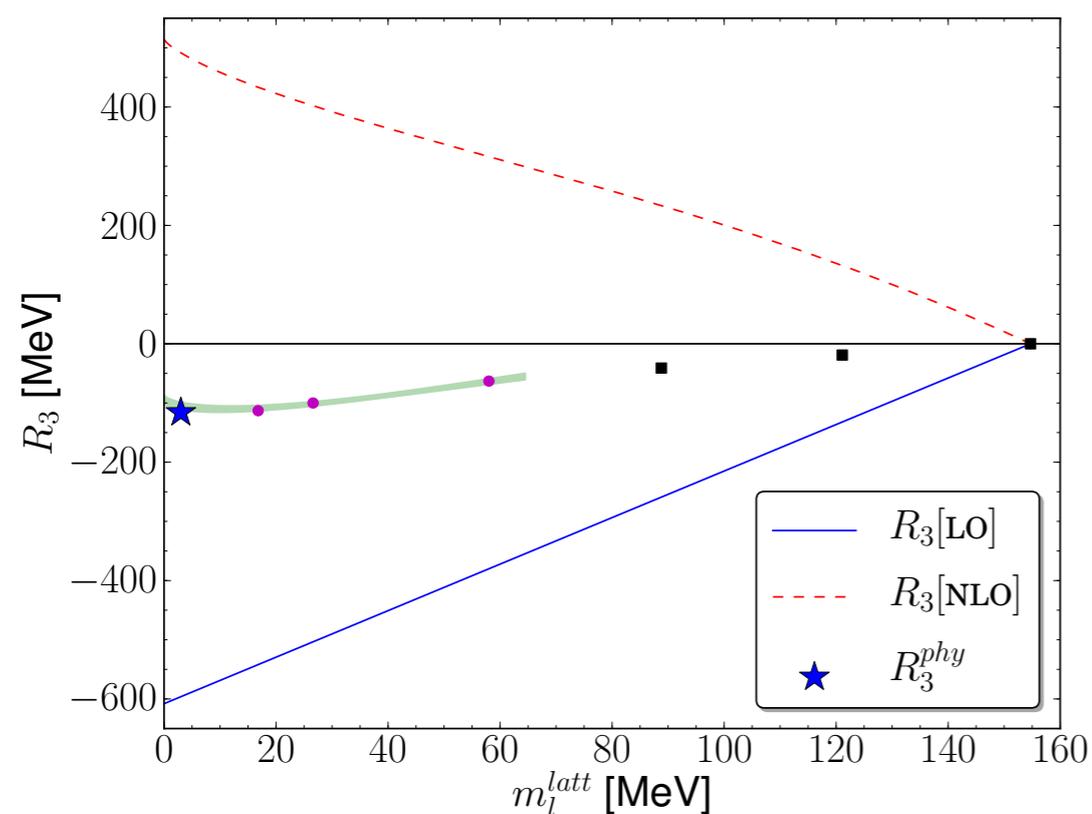


$$R_4 \propto (m_s - m_l)/N_c$$



$$R_3(m_l, m_s) = \frac{20}{39} b_1 (m_s - m_l) - \frac{20a_1^2 - 5a_2^2}{117} \frac{3\mathcal{F}_\pi^0 - 2\mathcal{F}_K^0 - \mathcal{F}_\eta^0}{(4\pi f)^2} - \frac{a_1^2}{117} \left[ 35 \frac{3\mathcal{F}_\pi^\Delta - 2\mathcal{F}_K^\Delta - \mathcal{F}_\eta^\Delta}{(4\pi f)^2} - \frac{3\mathcal{F}_\pi^{-\Delta} - 2\mathcal{F}_K^{-\Delta} - \mathcal{F}_\eta^{-\Delta}}{(4\pi f)^2} \right],$$

$$R_4(m_l, m_s) = -\frac{5}{18} b_2 (m_s - m_l) + \frac{a_1^2 + 4a_1a_2 + a_2^2}{36} \frac{3\mathcal{F}_\pi^0 - 2\mathcal{F}_K^0 - \mathcal{F}_\eta^0}{(4\pi f)^2} - \frac{2a_1^2}{9} \frac{3\mathcal{F}_\pi^\Delta - 2\mathcal{F}_K^\Delta - \mathcal{F}_\eta^\Delta}{(4\pi f)^2}$$

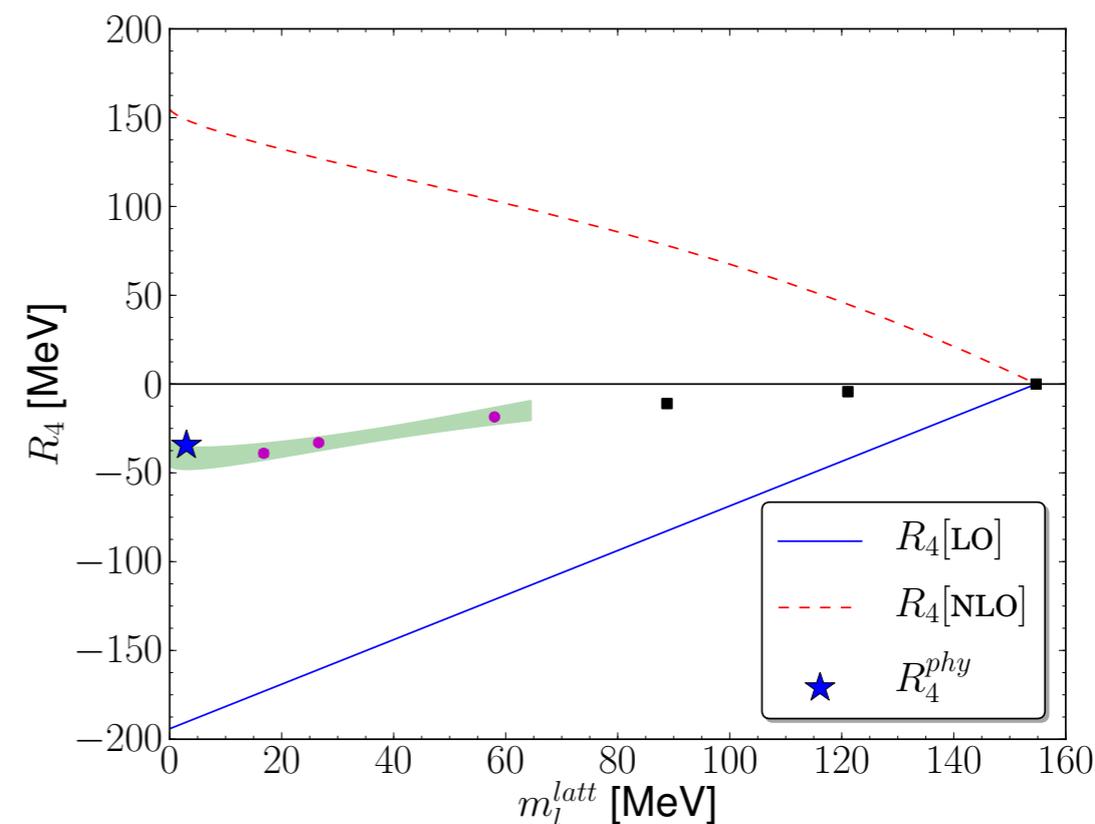
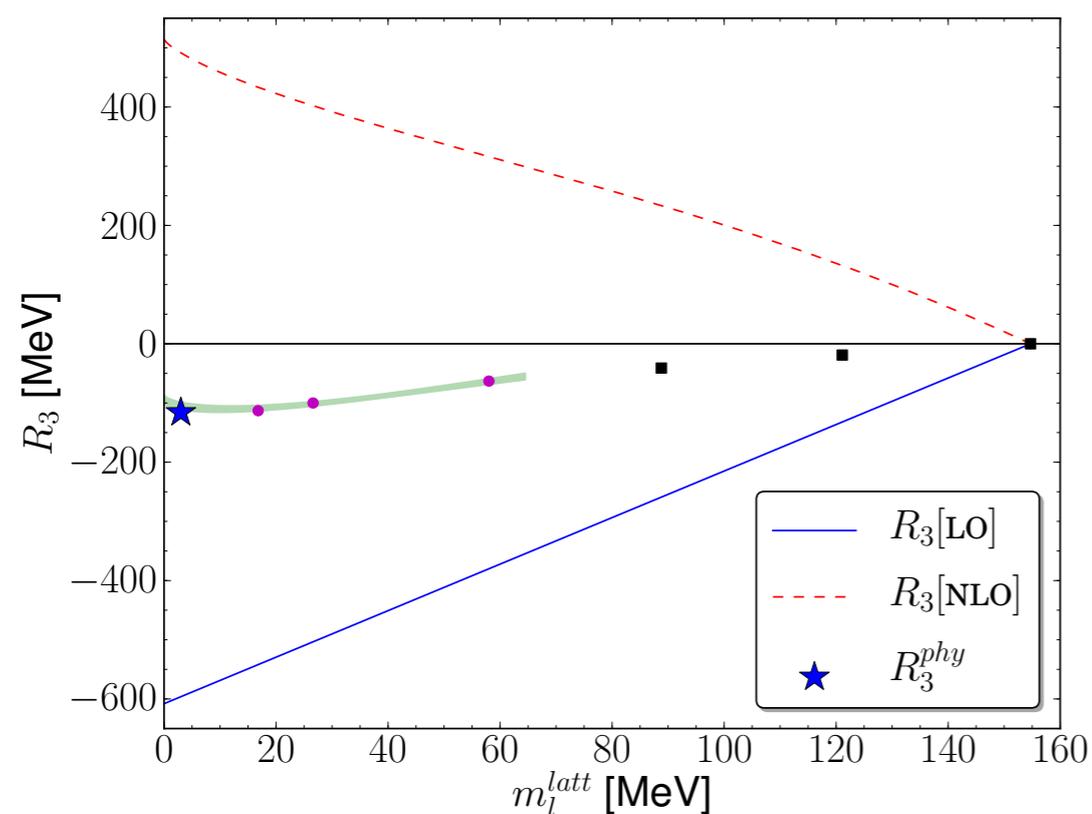


## Fit yields

$$b_1[\text{NLO}] = -6.6(5), \quad b_2[\text{NLO}] = 4.3(4), \quad a_1[\text{NLO}] = 1.4(1).$$

➔  $D = 0.70(5), \quad F = 0.47(3), \quad C = -1.4(1), \quad H = -2.1(2)$

First time axial couplings left as free parameters and:  
values consistent with phenomenological determinations



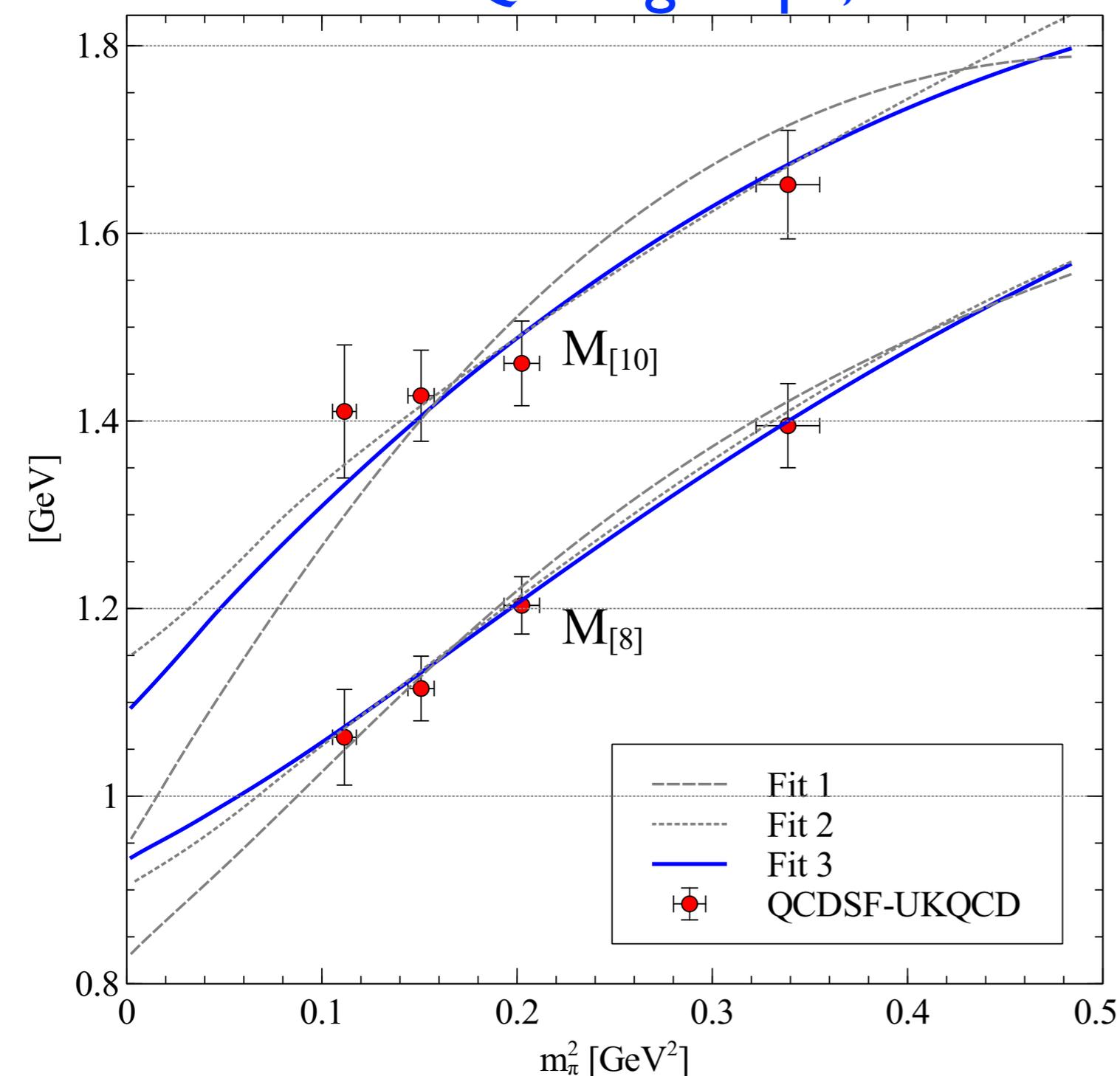
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➔  $D = 0.70(5), \quad F = 0.47(3), \quad C = -1.4(1), \quad H = -2.1(2)$

but still observe large cancellations between LO and NLO

Also - I would like to draw attention to the work of Mathias Lutz and Alexandre Semke who fit the masses (not mass splittings) of 4 different lattice QCD groups, and obtained similar axial couplings

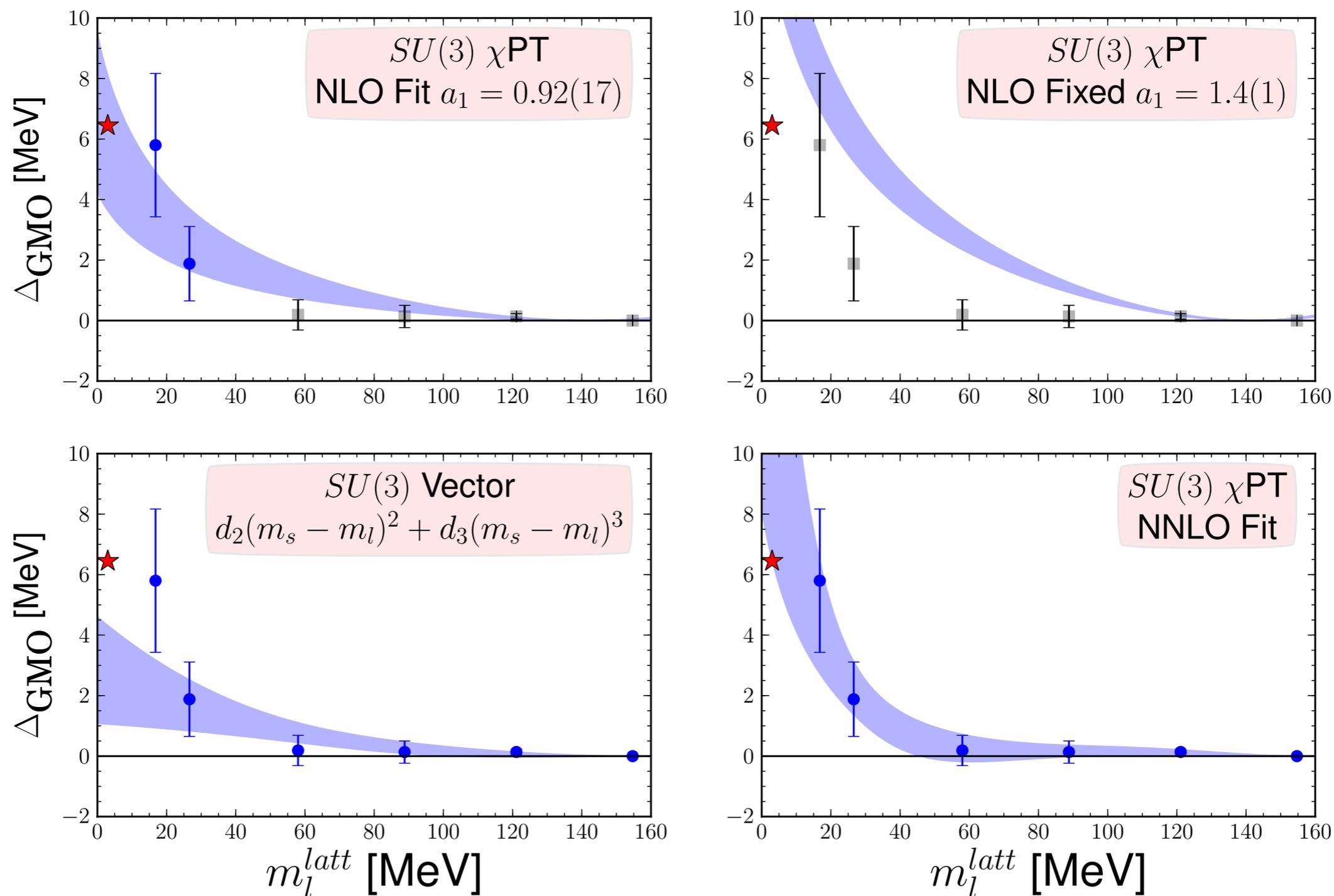


**Fit i:**

each fit is to set of BMW, LHPC, PACS-CS  
none of the fits include QCDSF-UKQCD, who computed masses in SU(3) limit as well as SU(3)-broken (with similar agreement)

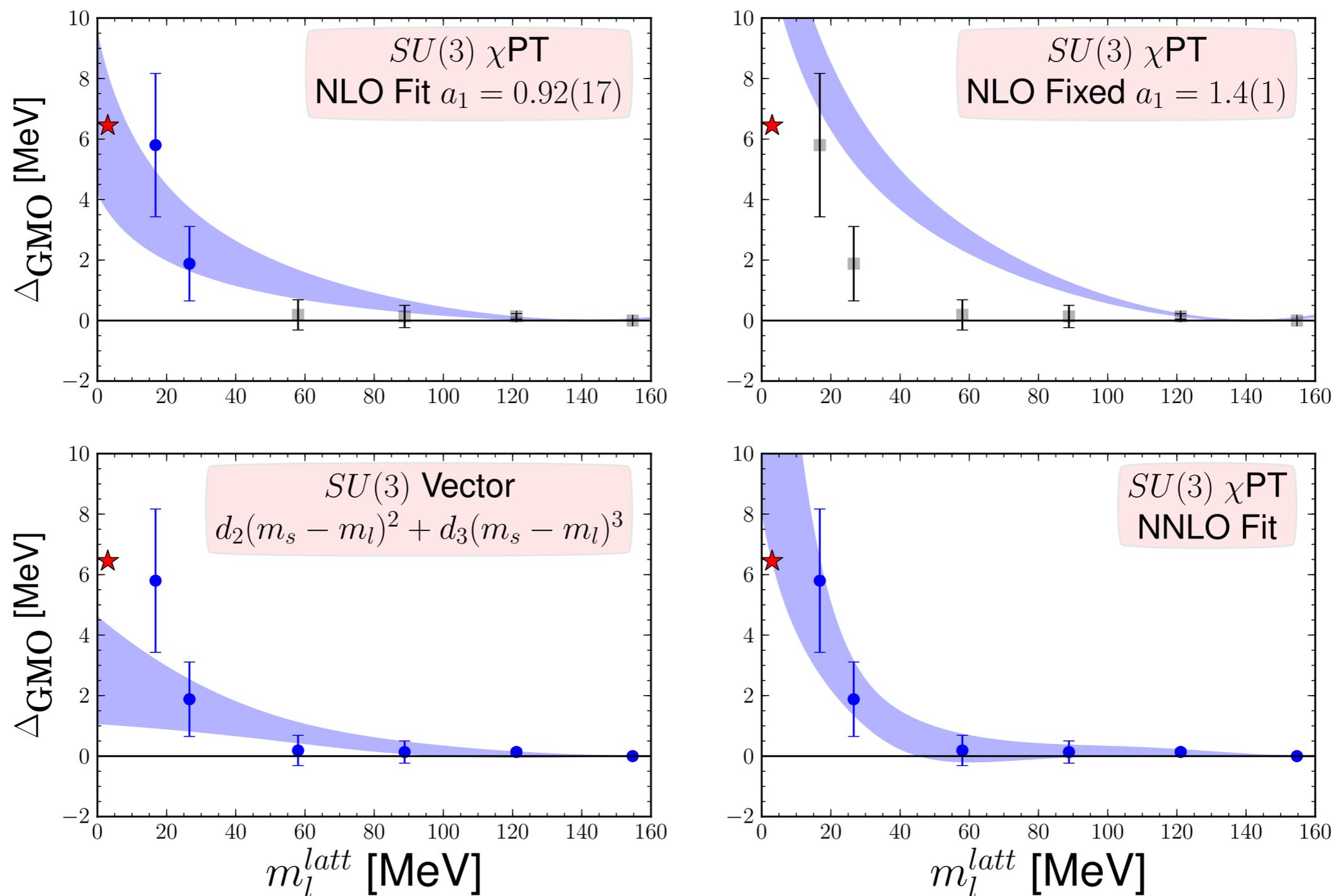
I do not understand - but this agreement is remarkable

## Gell-Mann--Okubo Relation



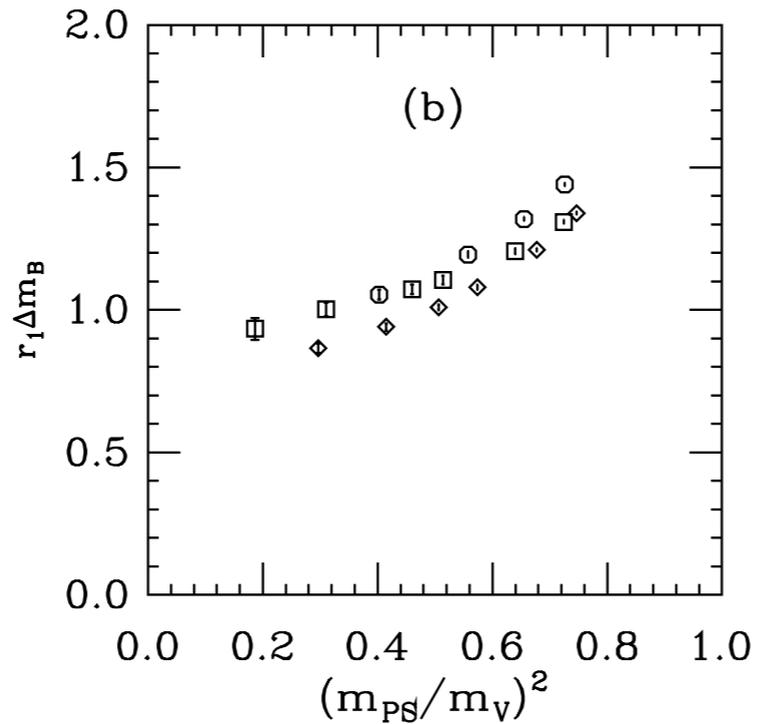
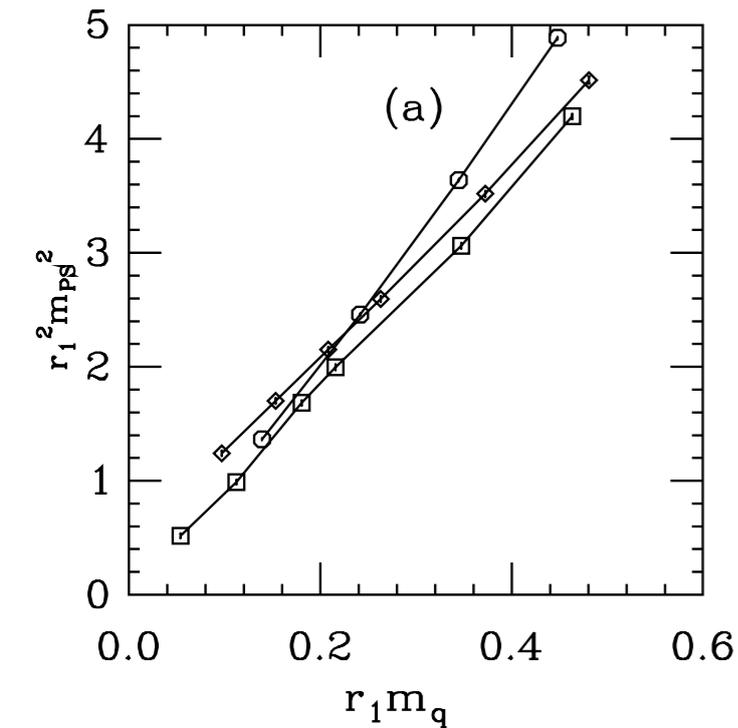
Only NNLO  $SU(3)$  naturally supports strong light quark mass dependence

## Gell-Mann--Okubo Relation



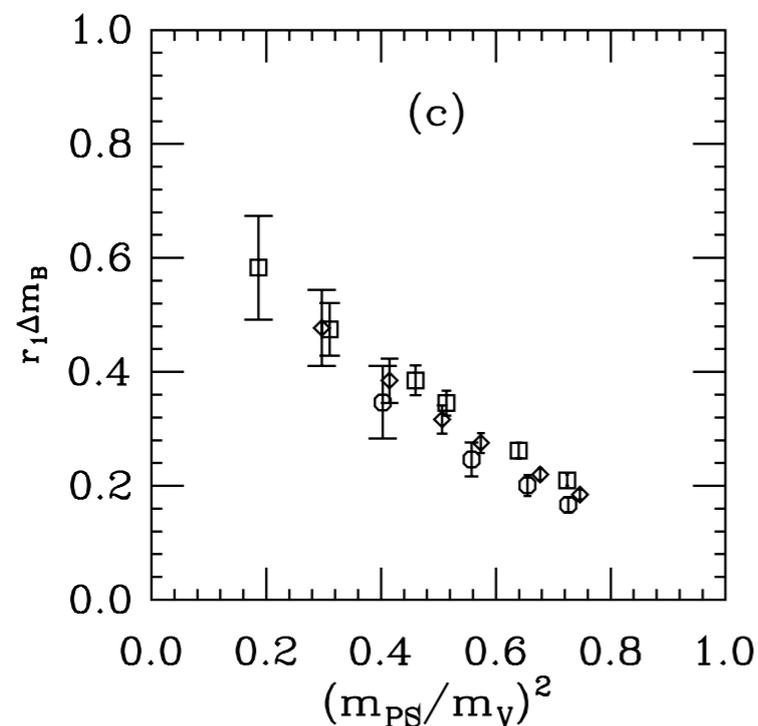
Combined with R3 and R4 - provides first compelling evidence of non-analytic light quark mass dependence in the baryon spectrum

# Large $N_c$ and SU(3) Chiral Perturbation Theory



Tom Degrand: arXiv:1205.0235  
 heroically performing quenched  
 QCD calculations for  
 $N_c = 3, 5, 7$

(b) :  $A$  (constituent quark mass)



$$M(N_c, J) = N_c A + \frac{J(J+1)}{N_c} B$$

(c) :  $M(N_c, J = N_c/2) - M(N_c, J = N_c/2 - 1)$

# Baryons in lattice QCD: Conclusions II

- the more I study baryons, the more confused I get
- there now seems to be un-ignorable evidence for entirely unexpected light quark mass dependence in the nucleon (baryon) spectrum, basically down to the physical pion mass

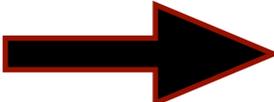
$$M_N = \alpha_0 + \alpha_1 m_\pi$$

- combining large  $N_c$  with SU(2) and SU(3) flavor symmetry is showing promise - at least qualitatively
- what is clearly (still) needed is high statistics study of baryons with (with the aim of understanding chiral perturbation theory)

$$120 \leq m_\pi \leq 400 \text{ MeV}$$

# Baryons and lattice QCD

electromagnetic self-energy of  $M_p - M_n$ : Cottingham Formula

- self-energy related to forward Compton scattering
  - in principle, allows for robust, model independent determination of self-energy through dispersion theory
  - two challenges in realizing this method
    - requires renormalization Collins Nucl.Phys. B149 (1979)
    - requires subtracted dispersion integral
      - Harari PRL 17(1966)
      - Abarbanel and Nussinov Phys.Rev. 158 (1967)
-  unknown subtraction function
- AWL, Carl Carlson, Jerry Miller: PRL 108 (2012)

# Baryons and lattice QCD

composition of early universe, exponentially sensitive to isovector nucleon mass:

primordial ratio  $\frac{X_n}{X_p} = e^{-(m_n - m_p)/kT}$

$$m_n - m_p = 1.29333217(42) \text{ MeV}$$

$$m_n - m_p = \underbrace{\delta M_{n-p}^\gamma + \delta M_{n-p}^{m_d - m_u}}$$

this separation only  
at LO in isospin breaking

$\langle N | (m_d - m_u) \bar{q}q | N \rangle$  needed to renormalize EM self-energy

# Baryons and lattice QCD

my original interest was to use lattice QCD calculations of

$$m_n - m_p = \alpha(m_d - m_u) + \dots$$

as an independent method to determine  $m_d - m_u$

However, this requires subtracting from experimental value the electromagnetic self-energy contribution

$$\delta M_{p-n}^\gamma = 0.76(30) \text{ MeV}, \quad \text{Gasser and Leutwyler}$$

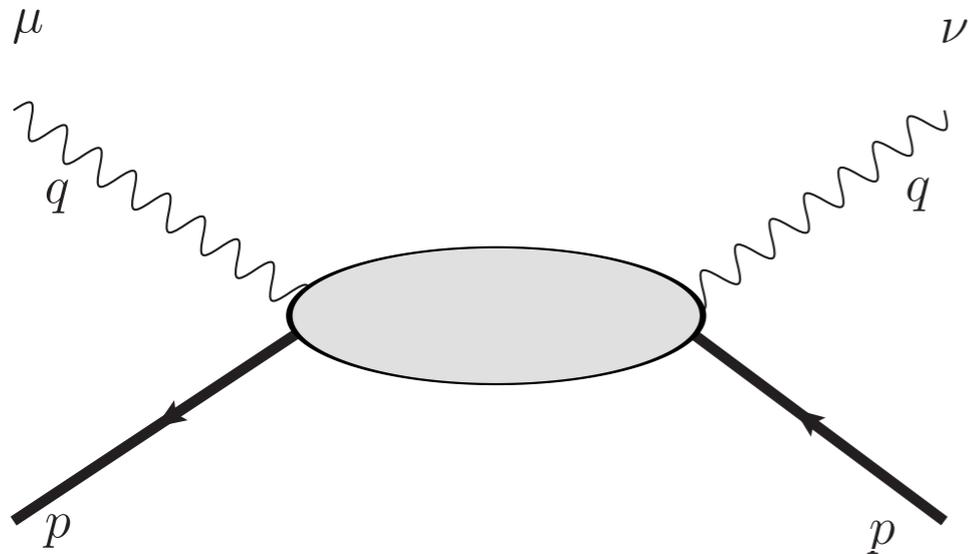
Nucl. Phys. B94 (1975)  
Phys. Rept. 87 (1982)

the uncertainty in this determination of the electromagnetic self-energy dominates the determination of  $m_d - m_u$

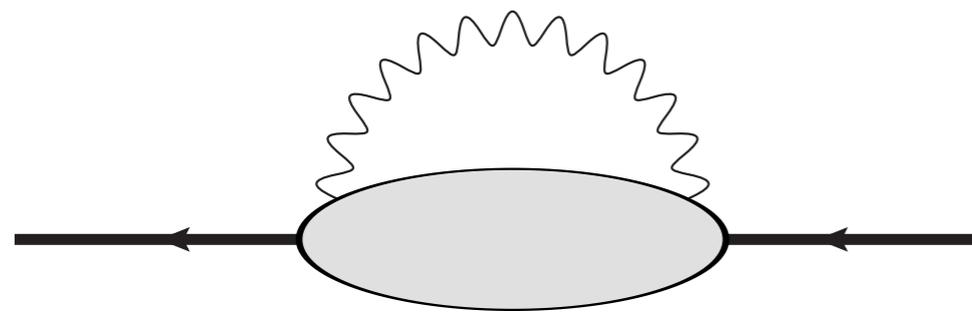
so lets try and improve this with modern knowledge of nucleon Compton scattering

Cini, Ferrari, Gatto: PRL 2 (1959)

Cottingham: Annals Phys 25 (1963)



$$T_{\mu\nu} = \frac{i}{2} \sum_{\sigma} \int d^4\xi e^{iq \cdot \xi} \langle p\sigma | T \{ J_{\mu}(\xi) J_{\nu}(0) \} | p\sigma \rangle$$



$$\delta M^{\gamma} = \frac{i}{2M} \frac{\alpha}{(2\pi)^3} \int_{\mathcal{R}} d^4q \frac{T_{\mu}^{\mu}(p, q)}{q^2 + i\epsilon}$$

$$\alpha = \frac{e^2}{4\pi}$$

Integral diverges and must be renormalized

$$\delta M^\gamma = \frac{i}{2M} \frac{\alpha}{(2\pi)^3} \int_R d^4 q \frac{T_\mu^\mu(p, q)}{q^2 + i\epsilon}$$

● Wick rotate  $q^0 \rightarrow i\nu$

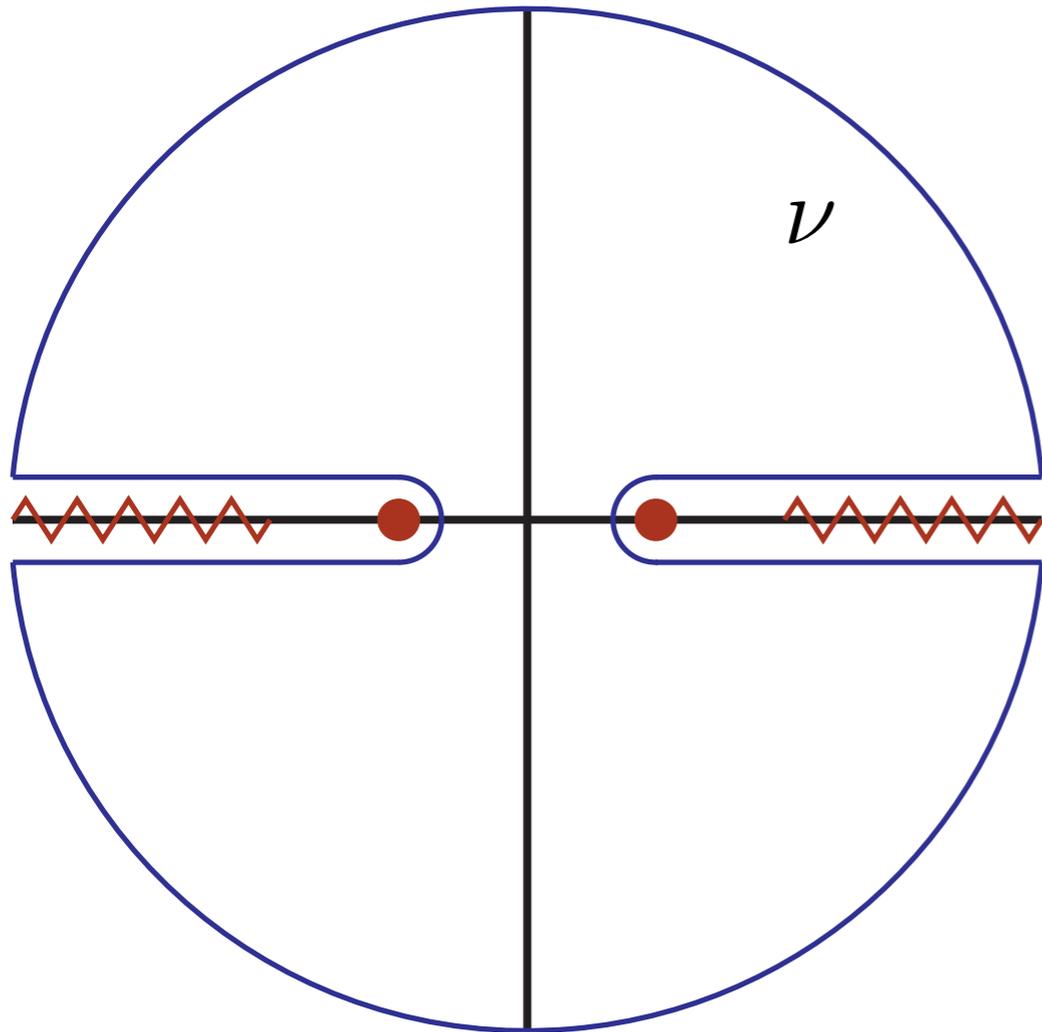
● variable transform  $Q^2 = \mathbf{q}^2 + \nu^2$

$$\delta M^\gamma = \frac{\alpha}{8\pi^2} \int_0^{\Lambda^2} dQ^2 \int_{-Q}^{+Q} d\nu \frac{\sqrt{Q^2 - \nu^2}}{Q^2} \frac{T_\mu^\mu}{M} + \delta M^{ct}(\Lambda)$$

$$T_\mu^\mu = -3 T_1(i\nu, Q^2) + \left(1 - \frac{\nu^2}{Q^2}\right) T_2(i\nu, Q^2), \quad (7a)$$

$$= -3Q^2 t_1(i\nu, Q^2) + \left(1 + 2\frac{\nu^2}{Q^2}\right) Q^2 t_2(i\nu, Q^2). \quad (7b)$$

use dispersion integrals to evaluate scalar functions



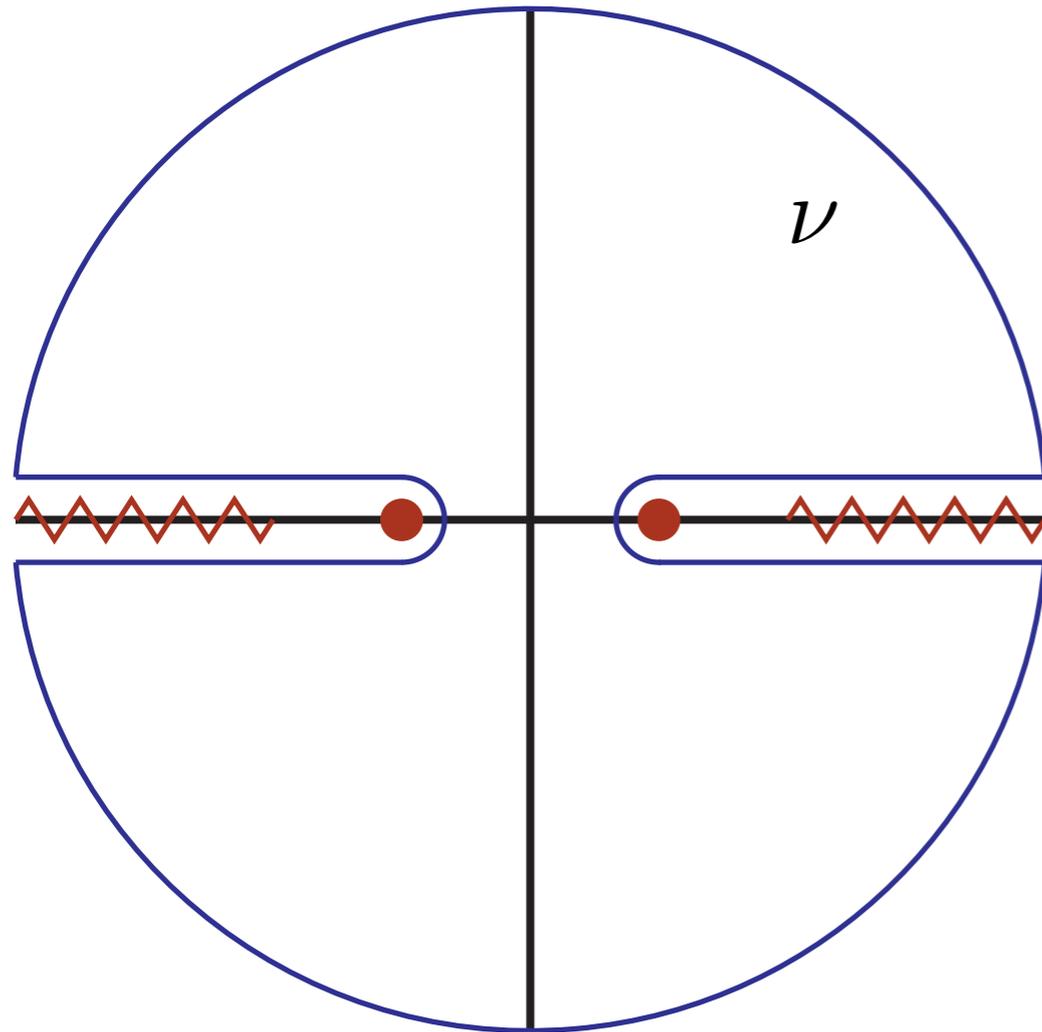
$$T_i(\nu, Q^2) = \frac{1}{2\pi} \oint d\nu' \frac{T_i(\nu', Q^2)}{\nu' - \nu}$$

**Crossing Symmetric**

$$T_i(\nu, Q^2) = T_i(-\nu, Q^2)$$

$$T_i(\nu, Q^2) = \frac{1}{2\pi} \int_{\nu_t}^{\infty} d\nu' \frac{2\nu'}{(\nu')^2 - \nu^2} 2\text{Im}T_i(\nu' + i\epsilon, Q^2)$$

(provided contour and infinity vanishes)



if contour at infinity does not vanish  
subtracted dispersion integral

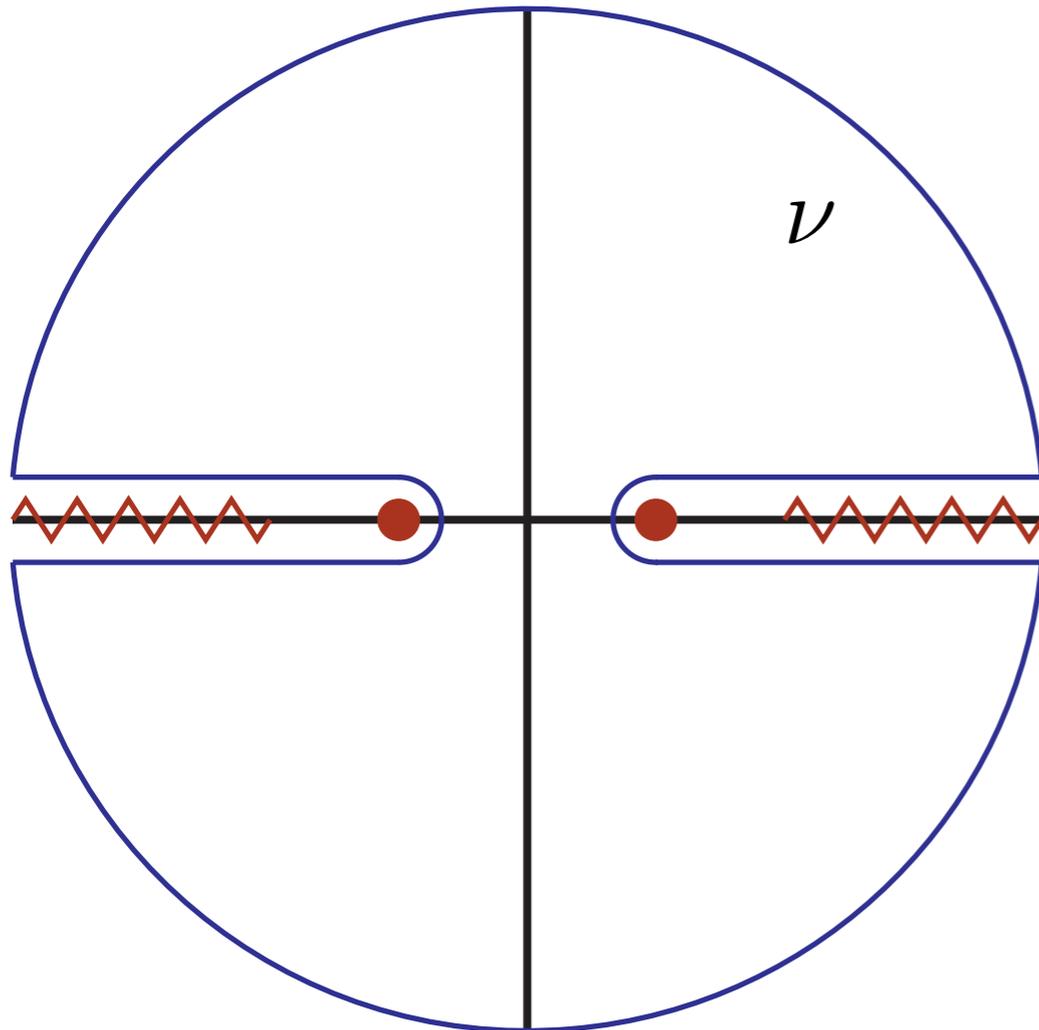
$$g(\nu) = \frac{T_i(\nu, Q^2)}{\nu^2}$$

introduces new pole at  $\nu = 0$   
which you need to subtract

$$T_i(\nu, Q^2) = \frac{\nu^2}{2\pi} \int_{\nu_t}^{\infty} d\nu' \frac{2\nu'}{\nu'^2(\nu'^2 - \nu^2)} \underbrace{2\text{Im}T_i(\nu' + i\epsilon, Q^2)}_{\text{measured experimentally}} + \underbrace{T_i(0, Q^2)}_{\text{unknown function}}$$

measured experimentally

unknown function



It is known that

$$T_2(\nu, Q^2) = [t_2(\nu, Q^2)]$$

satisfies unsubtracted dispersion integral while

$$T_1(\nu, Q^2) = [t_1(\nu, Q^2)]$$

requires a subtraction

Regge behavior

$$\text{Im}t_1 [T_1] \Big|_{p-n} \propto \nu^{1/2}$$

H. Harari: PRL 17 (1966)

H.D. Abarbanel S. Nussinov: Phys.Rev. 158 (1967)

**Gasser and Leutwyler:** Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

at the time, introducing an unknown subtraction function  
would be disastrous for getting a precise value:

they provided an argument based upon various assumptions to  
avoid the subtracted dispersive integral

$$\delta M_{p-n}^{\gamma} = 0.76(30) \text{ MeV}$$

central value: from elastic contribution

uncertainty: estimates of inelastic structure contributions

however, one can show their arguments are incorrect:  
one must face the subtraction function

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

$$\delta M^\gamma = \frac{\alpha}{8\pi^2} \int_0^{\Lambda^2} dQ^2 \int_{-Q}^{+Q} d\nu \frac{\sqrt{Q^2 - \nu^2}}{Q^2} \frac{T_\mu^\mu}{M} + \delta M^{ct}(\Lambda)$$

$$T_\mu^\mu = -3 T_1(i\nu, Q^2) + \left(1 - \frac{\nu^2}{Q^2}\right) T_2(i\nu, Q^2), \quad (7a)$$

$$= -3Q^2 t_1(i\nu, Q^2) + \left(1 + 2\frac{\nu^2}{Q^2}\right) Q^2 t_2(i\nu, Q^2). \quad (7b)$$

$$T_{\mu\nu} = \frac{i}{2} \sum_{\sigma} \int d^4\xi e^{iq \cdot \xi} \langle p\sigma | T \{ J_{\mu}(\xi) J_{\nu}(0) \} | p\sigma \rangle$$

Insert complete set of states:  
isolate elastic contributions

$$1 = \sum_{\Gamma} |\Gamma\rangle \langle \Gamma|$$

$$\delta M_{unsub,a}^{el} = \frac{\alpha}{\pi} \int_0^{\Lambda^2} dQ \left\{ [G_E^2(Q^2) - 2\tau_{el} G_M^2(Q^2)] \frac{(1 + \tau_{el})^{3/2} - \tau_{el}^{3/2} - \frac{3}{2}\sqrt{\tau_{el}}}{1 + \tau_{el}} - \frac{3}{2} G_M^2(Q^2) \frac{\tau_{el}^{3/2}}{1 + \tau_{el}} \right\}, \quad (8a)$$

$$\delta M_{unsub,b}^{el} = \frac{\alpha}{\pi} \int_0^{\Lambda^2} dQ \left\{ [G_E^2(Q^2) - 2\tau_{el} G_M^2(Q^2)] \frac{(1 + \tau_{el})^{3/2} - \tau_{el}^{3/2}}{1 + \tau_{el}} + 3G_M^2(Q^2) \frac{\tau_{el}^{3/2}}{1 + \tau_{el}} \right\}, \quad (8b)$$

typically quoted as elastic Cottingham

$$\delta M^{\gamma} = \frac{\alpha}{8\pi^2} \int_0^{\Lambda^2} dQ^2 \int_{-Q}^{+Q} d\nu \frac{\sqrt{Q^2 - \nu^2}}{Q^2} \frac{T_{\mu}^{\mu}}{M} + \delta M^{ct}(\Lambda) \quad (7a)$$

$$T_{\mu}^{\mu} = -3 T_1(i\nu, Q^2) + \left(1 - \frac{\nu^2}{Q^2}\right) T_2(i\nu, Q^2), \quad (7a)$$

$$= -3Q^2 t_1(i\nu, Q^2) + \left(1 + 2\frac{\nu^2}{Q^2}\right) Q^2 t_2(i\nu, Q^2). \quad (7b)$$

One must use a subtracted dispersive  
integral even for elastic terms

perform once subtracted dispersion integral for  $T_1(t_1)$   
 and unsubtracted dispersion integral for  $T_2(t_2)$

$$\delta M^\gamma = \delta M^{el} + \delta M^{inel} + \delta M^{sub} + \delta \tilde{M}^{ct}$$

$$\delta M^{el} = \frac{\alpha}{\pi} \int_0^{\Lambda_0^2} dQ \left\{ \frac{3\sqrt{\tau_{el}} G_M^2}{2(1 + \tau_{el})} + \frac{[G_E^2 - 2\tau_{el} G_M^2]}{1 + \tau_{el}} \left[ (1 + \tau_{el})^{3/2} - \tau_{el}^{3/2} - \frac{3}{2}\sqrt{\tau_{el}} \right] \right\}$$

$$\delta M^{inel} = \frac{\alpha}{\pi} \int_0^{\Lambda_0^2} \frac{dQ^2}{2Q} \int_{\nu_{th}}^\infty d\nu \left\{ \frac{3F_1(\nu, Q^2)}{M} \left[ \frac{\tau^{3/2} - \tau\sqrt{1+\tau} + \sqrt{\tau}/2}{\tau} \right] + \frac{F_2(\nu, Q^2)}{\nu} \left[ (1 + \tau)^{3/2} - \tau^{3/2} - \frac{3}{2}\sqrt{\tau} \right] \right\},$$

$$\tau_{el} = \frac{Q^2}{4M^2}$$

$$\tau = \frac{\nu^2}{Q^2}$$

$$\delta M^{sub} = -\frac{3\alpha}{16\pi M} \int_0^{\Lambda_0^2} dQ^2 T_1(0, Q^2),$$

$$\delta \tilde{M}^{ct} = -\frac{3\alpha}{16\pi M} \int_{\Lambda_0^2}^{\Lambda_1^2} dQ^2 \sum_i C_{1,i} \langle \mathcal{O}^{i,0} \rangle,$$

OPE: operators and Wilson coeffic.  
 J.C. Collins: Nucl. Phys. B149 (1979)

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

what is the flaw in the argument?

$$\delta M^\gamma = \frac{\alpha}{8\pi^2} \int_0^{\Lambda^2} dQ^2 \int_{-Q}^{+Q} d\nu \frac{\sqrt{Q^2 - \nu^2}}{Q^2} \frac{T_\mu^\mu}{M} + \delta M^{ct}(\Lambda)$$

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$$= -3Q^2 t_1(i\nu, Q^2) + \left(1 + 2\frac{\nu^2}{Q^2}\right) Q^2 t_2(i\nu, Q^2). \quad (7b)$$

is there some motivation to pick  $t_i$  vs  $T_i$ ?

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

what is the flaw in the argument?

in the point limit (electron)  $t_1(\nu, Q^2) = 0!$

for the nucleon (with motivated resummations) the elastic contribution is

$$t_1(\nu, Q^2) = \frac{2}{Q^2} \left[ \frac{Q^4 \frac{G_M^2 - G_E^2}{1 + \tau}}{(Q^2 - i\epsilon)^2 - 4M^2\nu^2} - \underbrace{\left( F_1^2 - \frac{G_E^2 + \tau G_M^2}{1 + \tau} \right)} \right]$$

“Fixed-Pole” missed by unsubtracted dispersion relation

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

what is the flaw in the argument?

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numerically, this term is negligible

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

what is the flaw in the argument?

in the point limit (electron)  $t_1(\nu, Q^2) = 0!$

real problem comes in the Regge limit:  $Q^2$  fixed,  $\nu \rightarrow \infty$

$$\text{Im}t_1(\nu, Q^2) = \frac{\pi M\nu}{Q^4} \left[ 2xF_1(x, Q^2) - F_2(x, Q^2) \right] \quad x = \frac{Q^2}{2M\nu}$$

in the strict DIS limit: Callan-Gross relation

$$2xF_1(x) - F_2(x) = 0$$

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Gasser and Leutwyler assumed

$$2xF_1(x, Q^2) - F_2(x, Q^2) = \frac{H_1(x)}{\nu}$$

if this were true, their argument would go through, however..

**Gasser and Leutwyler:** Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

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**Zee, Wilczek and Treiman** Phys.Rev. D10 (1974)

$$2xF_1(x) - F_2(x) = \frac{-32}{9} \frac{\alpha_s(Q^2)}{4\pi} F_2(x) \quad \text{Both IR and UV safe}$$

This criticism first given by **J.C. Collins:** Nucl. Phys. B149 (1979)

Gasser and Leutwyler: Nucl Phys B94 (1975), Phys. Rept. 87 (1982)

what is the flaw in the argument?

in the point limit (electron)  $t_1(\nu, Q^2) = 0!$

real problem comes in the Regge limit:  $Q^2$  fixed,  $\nu \rightarrow \infty$

$$\lim_{x \rightarrow 0} F_2^{p-n}(x) \propto x^{1/2} \qquad x = \frac{Q^2}{2M\nu}$$

$$\text{Im} t_1^{p-n}(\nu, Q^2) \propto \alpha_s(Q^2) \frac{\sqrt{M\nu}}{Q^3}$$



subtracted dispersion integral is unavoidable

**evaluation of various contributions**

**elastic contribution: use well measured form factors**

$$\delta M^{el} = \frac{\alpha}{\pi} \int_0^{\Lambda_0^2} dQ \left\{ \frac{3\sqrt{\tau_{el}} G_M^2}{2(1+\tau_{el})} + \frac{[G_E^2 - 2\tau_{el} G_M^2]}{1+\tau_{el}} \left[ (1+\tau_{el})^{3/2} - \tau_{el}^{3/2} - \frac{3}{2}\sqrt{\tau_{el}} \right] \right\}$$

$$\delta M^{el} \Big|_{p-n} = 1.39(02) \text{ MeV}$$

- insensitive to value of  $\Lambda_0$  since form factors fall as  $1/Q^4$
- uncertainty from Monte Carlo evaluation of parameters describing form factors

**central values:**  $\Lambda_0^2 = 2 \text{ GeV}^2$

**uncertainties:**  $1.5 \text{ GeV}^2 \leq \Lambda_0^2 \leq 2.5 \text{ GeV}^2$

**inelastic terms:** use modern knowledge of structure functions to improve determination of inelastic contributions

$$\delta M^{inel} = \frac{\alpha}{\pi} \int_0^{\Lambda_0^2} \frac{dQ^2}{2Q} \int_{\nu_{th}}^{\infty} d\nu \left\{ \frac{3F_1(\nu, Q^2)}{M} \left[ \frac{\tau^{3/2} - \tau\sqrt{1+\tau} + \sqrt{\tau}/2}{\tau} \right] + \frac{F_2(\nu, Q^2)}{\nu} \left[ (1+\tau)^{3/2} - \tau^{3/2} - \frac{3}{2}\sqrt{\tau} \right] \right\},$$

$$\delta M^{inel} \Big|_{p-n} = 0.057(16) \text{ MeV}$$

- **contributions from two regions:**
  - resonance region**    **Bosted and Christy:** Phys.Rev. C77, C81
  - scaling region**    **Capella et al:** PLB 337
  - Sibirtsev et al:** Phys. Rev. D82
- **uncertainty dominated by choice of transition between two regions**

renormalization: no time to discuss properly

quark mass operator renormalizes EM self-energy: can not cleanly separate these two contributions (but mixing is higher order in isospin breaking)

summary: (J.C. Collins) with Naive Dimensional Analysis and suitable renormalization (dim. reg.) one can show the contribution from the operator is numerically second order in isospin breaking

$$\delta \tilde{M}_{p-n}^{ct} = 3\alpha \ln \left( \frac{\Lambda_0^2}{\Lambda_1^2} \right) \frac{e_u^2 m_u - e_d^2 m_d}{8\pi M \delta} \langle p | \delta(\bar{u}u - \bar{d}d) | p \rangle$$

$$\left| \delta \tilde{M}_{p-n}^{ct} \right| < 0.02 \text{ MeV}$$

$$2\delta = m_d - m_u$$

**subtraction term: most challenging part - dealing with unknown subtraction function**

$$\delta M^{sub} = -\frac{3\alpha}{16\pi M} \int_0^{\Lambda_0^2} dQ^2 T_1(0, Q^2),$$

● **low energy: constrained by effective field theory**

$$T_1(0, Q^2) = 2\kappa(2 + \kappa) - Q^2 \left\{ \frac{2}{3} [(1 + \kappa)^2 r_M^2 - r_E^2] + \frac{\kappa}{M^2} - 2M \frac{\beta_M}{\alpha} \right\} + \mathcal{O}(Q^4),$$

most of these contributions come from Low Energy Theorems and are “elastic” (arising from a photon striking an on-shell nucleon)

intimately related to the **proton size puzzle** which suffers from the same subtracted dispersive problem

K. Pachucki: Phys. Rev. A53 (1996); A. Pineda: Phys. Rev. C67 (2003); Phys. Rev. C71 (2005);  
 R.J. Hill, G. Paz: PRL 107 (2011); C. Carlson, M. Vanderhaeghen: Phys. Rev. A84 (2011); arXiv:1109.3779;  
 M. Birse, J. McGovern: arXiv:1206.3030

**subtraction term: most challenging part - dealing with unknown subtraction function**

$$\delta M^{sub} = -\frac{3\alpha}{16\pi M} \int_0^{\Lambda_0^2} dQ^2 T_1(0, Q^2),$$

● **high energy: OPE (perturbative QCD) constrains**

$$\lim_{Q^2 \rightarrow \infty} T_1(0, Q^2) \propto \frac{1}{Q^2}$$

$$T_1(0, Q^2) \simeq 2G_M^2(Q^2) - 2F_1^2(Q^2) + Q^2 2M \frac{\beta_M}{\alpha} \left( \frac{m_0^2}{m_0^2 + Q^2} \right)^2$$

$\mathcal{O}(Q^4)$  **inelastic terms known**

**Birse and McGovern** arXiv:1206.3030

subtraction term: most challenging part - dealing with unknown subtraction function

$$\delta M_{el}^{sub} = -\frac{3\alpha}{16\pi M} \int_0^{\Lambda_0^2} dQ^2 \left[ 2G_M^2 - 2F_1^2 \right], \quad \delta M_{el}^{sub} \Big|_{p-n} = -0.62 \text{ MeV}$$

$$\delta M_{inel}^{sub} = -\frac{3\beta_M}{8\pi} \int_0^{\Lambda_0^2} dQ^2 Q^2 \left( \frac{m_0^2}{m_0^2 + Q^2} \right)^2$$

$$\beta_M^{p-n} = -1.0 \pm 1.0 \times 10^{-4} \text{ fm}^3$$

H.W. Griesshammer, J.A. McGovern, D.R. Phillips, G. Feldman: Prog.Nucl.Part.Phys. (2012)

taking  $m_0^2 = 0.71 \text{ GeV}^2$

$$\delta M_{inel}^{sub} \Big|_{p-n} = 0.47 \pm 0.47 \text{ MeV}$$

adding it all up:

$$\begin{aligned}
 \delta M^\gamma|_{p-n} &= + 1.39(02) && \text{elastic terms} \\
 &- 0.62(02) && \\
 &+ 0.057(16) && \text{inelastic terms} \\
 &+ 0.47(47) \text{ MeV} && \text{unknown subtraction term} \\
 \hline
 &= 1.30(03)(47) \text{ MeV}
 \end{aligned}$$

recall the fixed pole in the elastic contribution makes a negligible contribution

adding it all up:

$$\delta M^\gamma \Big|_{p-n} = 1.30(03)(47) \text{ MeV}$$

AWL, C. Carlson, G. Miller:  
PRL 108 (2012)

$$= 0.76(30) \text{ MeV}$$

J. Gasser and H. Leutwyler:  
Nucl Phys B94 (1975)

We reduced the uncertainty from structure by an order of magnitude! But we uncovered an oversight that dominates the uncertainty :(

adding it all up:

$$\delta M^\gamma \Big|_{p-n} = 1.30(03)(47) \text{ MeV} \quad \text{AWL, C. Carlson, G. Miller: PRL 108 (2012)}$$

$$= 0.76(30) \text{ MeV} \quad \text{J. Gasser and H. Leutwyler: Nucl Phys B94 (1975)}$$

expectation from experiment + lattice QCD

$$\delta M^\gamma \Big|_{p-n} = -1.29333217(42) + 2.53(40) \text{ MeV}$$
$$= 1.24(40) \text{ MeV}$$

average of 3 independent lattice results

# Baryons and lattice QCD: Conclusions

- attempt to improve the old determination of nucleon iso-vector EM self-energy uncovered an oversight
  - no avoiding the subtraction (dispersion integral)
  - modeling was necessary to control uncertainty subtraction function
  - a central value was found in much better agreement with expectations from lattice QCD + experiment
  - comparison with independent determinations of iso-vector nucleon magnetic polarizability show the modeling is not crazy
- improvements will come from three areas
  - improved measurement of  $\beta_M^{p-n}$
  - lattice QCD calculation of  $\beta_M^{p-n}$  Brian Tiburzi's Talk
  - including EM effects with lattice QCD: Taku Izubuchi's Talk

*Fin*