

Analyticity and unitarity constraints on form factors

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Based on papers with G. Abbas, B. Ananthanarayan, C. Bourrely,
L. Lellouch, I. Sentitemsu Imsong, S. Ramanan

- 1 Analyticity and unitarity for form factors
- 2 Method of "unitarity bounds" [Okubo \(1971\)](#)
- 3 Meiman problem and generalizations
- 4 Applications
- 5 Conclusions

Electromagnetic form factors of light pseudoscalar mesons: $P = \pi, K$

- $\langle P^+(p') | J_\mu^{\text{elm}} | P^+(p) \rangle = (p + p')_\mu F_P(t)$

Form factors relevant for weak semileptonic transitions: $P \rightarrow \pi$, $P = K, D, B$

- $\langle \pi^+(p') | J_\mu^{\text{weak}} | P^0(p) \rangle = (p' + p)_\mu f_+(t) + (p - p')_\mu f_-(t)$

- $f_+(t)$: vector form factor

- $f_0(t) = f_+(t) + \frac{t}{M_P^2 - M_\pi^2} f_-(t)$: scalar form factor

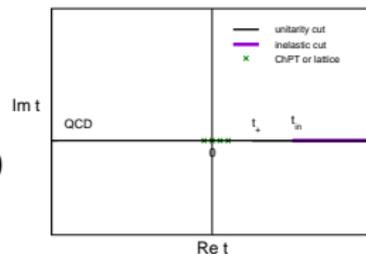
In the general discussion $F_P(t)$ and $f_\pm(t)$ shall be denoted generically as $F(t)$

Theoretical description of $F(t)$

- at low energies: ChPT, lattice, QCD-SR
- at high $t = -Q^2 < 0$ perturbative QCD ($1/t$ scaling)
- intermediate region: big uncertainties

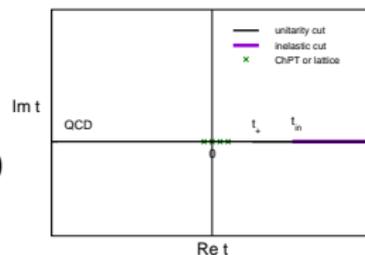
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Analyticity and unitarity

- Causality: $F(t)$ real analytic function, $F(t^*) = F^*(t)$, in the complex t -plane with a cut along the real axis from the lowest unitarity threshold t_+ to infinity
- Unitarity: $\text{Im}F(t + i\epsilon) = \theta(t - t_+) \sigma(t) f^*(t) F(t) + \theta(t - t_{in}) \Sigma_{in}(t)$

$$\sigma(t) = \sqrt{1 - t_+/t}: \text{two particle phase space}$$

\Rightarrow Fermi-Watson theorem: for $t_+ \leq t \leq t_{in}$, $\arg[F(t + i\epsilon)] = \delta(t)$,

$$\delta(t): \text{phase-shift of the related scattering amplitude } f(t) = \frac{e^{2i\delta(t)} - 1}{2i\sigma(t)}$$

- Complications: unphysical regions, anomalous thresholds (not encountered)

- Dispersive representations

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 - Standard dispersion relation (Cauchy integral)

$$F(t) = \frac{1}{\pi} \int_{t_+}^{\infty} \frac{\text{Im}F(t'+i\epsilon)dt'}{t'-t} \quad (\text{modulo subtractions})$$

$$\text{Im} F(t + i\epsilon) = \sigma(t)f^*(t)F(t) \quad \text{for } t < t_{in}$$

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- Omnès (phase) representations

$$F(t) = P(t) \exp\left(\frac{t}{\pi} \int_{t_+}^{\infty} dt' \frac{\delta(t')}{t'(t'-t)}\right)$$

$P(t)$: real polynomial (accounts for zeros: $P(t_i) = 0$)

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- Representation in terms of modulus

$$F(t) = B(t) \exp\left(\frac{\sqrt{t_+ - t}}{\pi} \int_{t_+}^{\infty} \frac{\ln|F(t')| dt'}{\sqrt{t' - t_+}(t' - t)}\right)$$

$B(t)$: Blaschke factor ($|B(t)| = 1$ for $t > t_+$, $B(t_i) = 0$)

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- Analytic parametrizations - little predictive power outside their original range

"Method of unitarity bounds" Okubo (1971), Micu (1973), Auberson et al (1975), Singh and Raina (1979)

- Polarization tensor of the relevant current calculated from current algebra at spacelike momenta
- Dispersion relation for the invariant polarization amplitudes
- Unitarity and positivity of the spectral functions
 - ⇒ an upper bound on an integral of the modulus squared of the form factor along the unitarity cut
 - ⇒ mathematical techniques of complex analysis lead to bounds on the values of the form factor and its derivatives at points inside the analyticity domain

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- Modern version: the spacelike correlators are obtained from perturbative QCD and OPE Bourrely, Machet, de Rafael (1981), de Rafael and Taron (1992)

- Polarization tensor of a relevant weak current V_μ :

$$i \int d^4x e^{iq \cdot x} \langle 0 | T \left\{ V^\mu(x) V^\nu(0)^\dagger \right\} | 0 \rangle = (-g^{\mu\nu} q^2 + q^\mu q^\nu) \Pi_1(q^2) + q^\mu q^\nu \Pi_0(q^2)$$

- Unsubtracted dispersion relations for suitable correlators

$$\chi_1(Q^2) \equiv -\frac{1}{2} \frac{\partial^2}{\partial(Q^2)^2} \left[Q^2 \Pi_1(-Q^2) \right] = \frac{1}{\pi} \int_0^\infty dt \frac{t \text{Im} \Pi_1(t)}{(t + Q^2)^3}$$

$$\chi_0(Q^2) \equiv \frac{\partial}{\partial Q^2} \left[Q^2 \Pi_0(-Q^2) \right] = \frac{1}{\pi} \int_0^\infty dt \frac{t \text{Im} \Pi_0(t)}{(t + Q^2)^2}$$

- Unitarity and the positivity of the spectral functions ($t_\pm = (M_P \pm M_\pi)^2$)

$$\text{Im} \Pi_1(t) \geq \frac{3}{2} \frac{1}{48\pi} \frac{[(t - t_+)(t - t_-)]^{3/2}}{t^3} |f_+(t)|^2$$

$$\text{Im} \Pi_0(t) \geq \frac{3}{2} \frac{t_+ t_-}{16\pi} \frac{[(t - t_+)(t - t_-)]^{1/2}}{t^3} |f_0(t)|^2$$

$$\Rightarrow \frac{1}{\pi} \int_{t_+}^\infty \rho(t, Q^2) |F(t)|^2 dt \leq I(Q^2), \quad I(Q^2) \text{ calculated from pQCD and OPE}$$

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- Q^2 sufficiently large for light mesons; $Q^2 = 0$ for heavy-heavy or heavy-light form factors
- more general relation: $\frac{1}{\pi} \int_{t_+}^\infty \rho_{ij}(t, Q^2) F_i(t) F_j^*(t) dt \leq I(Q^2)$ ($BD^{(*)}$ form factors)

Comment: connection with stability of analytic continuation

Analyticity: its splendour and its dangers

Splendour: analytic continuation is unique

Dangers: analytic continuation is unstable (ill posed problem in the Hadamard sense)

- two analytic functions very close along a range Γ may differ arbitrarily outside Γ
 - determination of remote resonances from Breit-Wigner parametrizations!

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Mathematical result (Tikhonov regularization): analytic continuation is stabilized if the class of admissible functions forms a compact set Ciulli et al (1975)

- Role of the stabilizing condition:
 - Let \mathcal{C} be a compact class of analytic functions
 - If $F_j(t) \in \mathcal{C}$ and $\sup_{\Gamma} |F_1(t) - F_2(t)| < \epsilon$, then for t outside Γ the inequality $|F_1(t) - F_2(t)| < M(\epsilon, t)$ holds, such that $M(\epsilon, t) \rightarrow 0$ when $\epsilon \rightarrow 0$

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Important remark:

- The inequality derived from Okubo's approach defines a compact set in the Hardy space H^2 of analytic functions with finite L^2 norm on the boundary
 \Rightarrow this ensures the stability of extrapolation to points inside the holomorphy domain

Problem 1: From the L^2 -norm condition

$$\frac{1}{\pi} \int_{t_+}^{\infty} \rho(t) |F(t)|^2 dt \leq I$$

find constraints on the values of the values $F(t_n)$ and the derivatives $F^{(k)}(t_j)$ at some real or complex points

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Write the problem in a canonical form:

- Conformal mapping of the t -plane cut for $t > t_+$ onto a unit disk by $z \equiv \check{z}(t, t_0)$, with the inverse $\check{t}(z, t_0)$

$$\check{z}(t, t_0) = \frac{\sqrt{t_+ - t_0} - \sqrt{t_+ - t}}{\sqrt{t_+ - t_0} + \sqrt{t_+ - t}}, \quad \check{z}(t_0, t_0) = 0$$

- Define an outer function $w(z)$, i.e. analytic and without zeros in $|z| < 1$, with modulus squared on $|z| = 1$ equal to $\rho(t) |d\check{t}/dz|$:

$$w(z) = \exp \left[\frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{e^{i\theta} + z}{e^{i\theta} - z} \ln[\rho(\check{t}(e^{i\theta})) |d\check{t}/dz|] \right]$$

\Rightarrow the function $g(z) = F(\check{t}(z, t_0)) w(z)$ is analytic in $|z| < 1$ and satisfies the inequality

$$\frac{1}{2\pi} \int_0^{2\pi} |g(e^{i\theta})|^2 d\theta \leq I$$

Meiman problem (interpolation in L^2 norm)

If $g(z)$ analytic in $|z| < 1$ and $\frac{1}{2\pi} \int_0^{2\pi} |g(e^{i\theta})|^2 d\theta \leq I$

$$\left[\frac{1}{k!} \frac{d^k g(z)}{dz^k} \right]_{z=0} = g_k, \quad 0 \leq k \leq K-1, \quad g(z_n) = \xi_n, \quad z_n = z_n^*, \quad 1 \leq n \leq N$$

$$\bar{T} = I - \sum_{k=0}^{K-1} g_k^2, \quad \bar{\xi}_n = \xi_n - \sum_{k=0}^{K-1} g_k z_n^k$$

\Rightarrow positivity of the following determinant and of its minors:

$$\begin{vmatrix} \bar{T} & \bar{\xi}_1 & \bar{\xi}_2 & \cdots & \bar{\xi}_N \\ \bar{\xi}_1 & \frac{z_1^{2K}}{1-z_1^2} & \frac{(z_1 z_2)^K}{1-z_1 z_2} & \cdots & \frac{(z_1 z_N)^K}{1-z_1 z_N} \\ \bar{\xi}_2 & \frac{(z_1 z_2)^K}{1-z_1 z_2} & \frac{(z_2)^{2K}}{1-z_2^2} & \cdots & \frac{(z_2 z_N)^K}{1-z_2 z_N} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \bar{\xi}_N & \frac{(z_1 z_N)^K}{1-z_1 z_N} & \frac{(z_2 z_N)^K}{1-z_2 z_N} & \cdots & \frac{z_N^{2K}}{1-z_N^2} \end{vmatrix} \geq 0$$

Alternative solution based on analytic interpolation theory

Convex domain in the space of parameters, defined by the quadratic inequality:

$$\sum_{m,n=1}^N \mathcal{A}_{mn} \xi_n \xi_m + \sum_{j,k=0}^{K-1} \mathcal{B}_{jk} g_j g_k + 2 \sum_{n=1}^N \sum_{k=0}^{K-1} \mathcal{C}_{kn} g_k \xi_n \leq I$$

- Blaschke factors: $|B_j(z)| = 1$ for $|z| = 1$ defined recurrently by

$$B_1(z) = 1, \quad B_n(z) = \frac{z - z_{n-1}}{1 - \bar{z} z_{n-1}} B_{n-1}(z), \quad 2 \leq n \leq N + 1,$$

- $\beta_{kl} = \frac{1}{(K+l-k)!} \frac{d^{K+l-k}}{dz^{K+l-k}} \left[\frac{1}{B_{N+1}(z)} \right]_{z=0}, \quad Y_n = \left[\frac{z - z_n}{B_{N+1}(z)} \right]_{z=z_n}$

$$\mathcal{A}_{mn} = \frac{Y_n Y_m}{z_n^K z_m^K} \frac{1}{1 - z_n z_m}, \quad \mathcal{B}_{jk} = \sum_{l=L}^{-1} \beta_{jl} \beta_{kl}$$

$$\mathcal{C}_{kn} = \frac{Y_n}{z_n^K} \sum_{l=k-K}^{-1} \frac{\beta_{kl}}{z_n^{l+1}}$$

Problem 2: From the conditions

$$\frac{1}{\pi} \int_{t_+}^{\infty} \rho(t) |F(t)|^2 dt \leq I \quad \text{and} \quad \arg[F(t + i\epsilon) = \delta(t), \quad t_+ \leq t \leq t_{in}$$

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Standard techniques of functional optimization \Rightarrow solution described by the inequality:

$$\sum_{m,n=1}^N \mathcal{A}_{mn} \xi_n \xi_m + \sum_{j,k=0}^{K-1} \mathcal{B}_{jk} g_j g_k + 2 \sum_{n=1}^N \sum_{k=0}^{K-1} \mathcal{C}_{kn} g_k \xi_n + \frac{1}{\pi} \int_{-\theta_{in}}^{\theta_{in}} d\theta \lambda(\theta) V(\theta) \leq I$$

$\lambda(\theta)$: the solution of a Fredholm integral equation

- $\lambda(\theta) - \frac{1}{2\pi} \int_{-\theta_{in}}^{\theta_{in}} d\theta' \lambda(\theta') \mathcal{K}_{\Psi}(\theta, \theta') = V(\theta), \quad e^{i\theta_{in}} = \tilde{z}(t_{in}, t_0)$
- $\mathcal{K}_{\Psi}(\theta, \theta') \equiv \frac{\sin[(K-1/2)(\theta-\theta')-\Psi(\theta)+\Psi(\theta')]}{\sin[(\theta-\theta')/2]}$
- $\Psi(\theta)$ and $V(\theta)$: known functions depending linearly on the input values

Problem 3: From the conditions

$$\frac{1}{\pi} \int_{t_{in}}^{\infty} \rho(t) |F(t)|^2 dt \leq I' \quad \text{and} \quad \arg[F(t + i\epsilon) = \delta(t), \quad t_+ \leq t \leq t_{in}$$

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Steps of the proof:

- Define the Omnès function (for $t > t_{in}$, $\delta(t)$ arbitrary smooth function):

$$\mathcal{O}(t) = \exp \left(\frac{t}{\pi} \int_{t_+}^{\infty} dt' \frac{\delta(t')}{t'(t' - t)} \right)$$

- Define the function $h(t)$ by $F(t) = \mathcal{O}(t)h(t)$, with the properties:

- $h(t)$ is real below t_{in} , i.e. is analytic in the t -plane cut only for $t > t_{in}$

- $\frac{1}{\pi} \int_{t_{in}}^{\infty} \rho(t) |\mathcal{O}(t)|^2 |h(t)|^2 dt \leq I'$

\Rightarrow for $h(t)$ we obtained Problem 1, with two modifications:

- the t -plane cut for $t > t_+$ is replaced by the t -plane cut for $t > t_{in}$
- the weight $\rho(t)$ is replaced by $\rho(t)|\mathcal{O}(t)|^2$

- Conformal mapping of the t -plane cut for $t > t_{in}$ onto the unit disc $|z| < 1$:

$$\tilde{z}(t, t_0) = \frac{\sqrt{t_{in} - t_0} - \sqrt{t_{in} - t}}{\sqrt{t_{in} - t_0} + \sqrt{t_{in} - t}}, \quad \tilde{z}(t_0, t_0) = 0$$

- Outer functions with modulus related to $\rho(t)$ and $|\mathcal{O}(t)|$:

$$w(z) = \exp \left[\frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{e^{i\theta} + z}{e^{i\theta} - z} \ln[\rho(\tilde{t}(e^{i\theta}, t_0)) |d\tilde{t}/dz|] \right]$$

$$\omega(z) = \exp \left(\frac{\sqrt{t_{in} - \tilde{t}(z, t_0)}}{\pi} \int_{t_{in}}^{\infty} dt' \frac{\ln |\mathcal{O}(t')|}{\sqrt{t' - t_{in}}(t' - \tilde{t}(z, t_0))} \right)$$

\Rightarrow the function $g(z)$ defined by:

$$g(z) \equiv F(\tilde{t}(z, t_0)) [\mathcal{O}(\tilde{t}(z, t_0))]^{-1} w(z) \omega(z)$$

is analytic in $|z| < 1$ and satisfies

$$\frac{1}{2\pi} \int_0^{2\pi} |g(e^{i\theta})|^2 d\theta \leq I'$$

\Rightarrow the standard Meiman problem

Properties of the bounds

Rigorous properties:

- are independent of the conformal mapping (the parameter t_0) and the arbitrary phase $\delta(t)$ for $t > t_{in}$
- remain the same if the \leq sign is replaced by the equality sign
- depend in a monotonous way on l : larger l , weaker constraints

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Related problems: Nevanlinna-Pick and Schur-Carathéodory interpolation for bounded functions

$$\|F\|_{L^\infty} \equiv \sup_{t > t_+} |F(t)| \leq I$$

- The bounds in L^∞ -norm are stronger than those based in L^2 -norm
- By varying $\rho(t)$, we can approach the stronger bounds given by the L^∞ -norm
 \Rightarrow hints for a suitable choice of $\rho(t)$: compromise between
 - choices leading to strong bounds
 - need to exploit properly the available knowledge of the modulus

Particular consequence: domains without zeros

The formalism predicts domains in the t -plane where zeros are excluded

- Insert the assumption $F(t_c)=0$ in the determinant along with other input values
- If the consistency inequality is violated, the zero is excluded
 \Rightarrow rigorous description of the domains where zeros are forbidden

- Example: given the input values $F(0)$, $F'(0)$ and $F(t_1)$, the domain of points $t_c = \tilde{t}(z_c, t_0)$ where zeros of $F(t)$ are excluded is defined by the inequality

$$\left| \begin{array}{ccc} 1 - g_0^2 - g_1^2 & -g_0 - g_1 z_c & g(z_1) - g_0 - g_1 z_1 \\ -g_0 - g_1 z_c & \frac{z_c^4}{1 - z_c^2} & \frac{(z_c z_1)^2}{1 - z_c z_1} \\ g(z_1) - g_0 - g_1 z_1 & \frac{(z_0 z_1)^2}{1 - z_0 z_1} & \frac{(z_1)^4}{1 - z_1^2} \end{array} \right| < 0$$

The knowledge of zeros is important for testing symmetry properties and as input in some dispersive representations

- ① Constraints on the low energy parameters and zeros
 - $BD^{(*)}$ form factors (Isgur-Wise function) IC, Lellouch, Neubert (1998)
 - $K\pi$ form factors Bourrely, IC (2005), Abbas, Ananthanarayan, IC, Imsong, Ramanan (2010) (Anant's talk)
 - pion electromagnetic form factor IC (2000), Abbas, Anant, IC, Imsong (2011)
 - $D\pi$ form factors Ananthanarayan, IC, Imsong (2011)
- ② Extrapolations to intermediate spacelike energies
 - onset of pQCD for the pion form factor Ananthanarayan, IC, Imsong (2012)
- ③ Bounds on the modulus on the timelike axis
 - consistency checks on pion form factor data Ananthanarayan, IC, Das, Imsong (work in progress)
- ④ Analytic parametrizations with unitarity constraints
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④ Analytic parametrizations with unitarity constraints

- $BD^{(*)}$ form factors (Isgur-Wise function) IC, Lellouch, Neubert (1998)
- $B\pi$ vector form factor Bourrely, IC, Lellouch (2009)
- $D\pi$ form factors, pion electromagnetic form factor (work in progress)

Historical review and references in: G. Abbas, B. Ananthanarayan, IC, I.S. Imsong and S. Ramanan, Eur. Phys. J. A **45**, 389 (2010), arXiv:1004.4257 [hep-ph]

Application I: Low energy constraints on the D_π weak form factors

Ananthanarayan, IC, Imsong, EPJ A 47, 147 (2011)

Of interest for the determination of the element $|V_{cd}|$ of the CKM matrix

Input:

- $f_+(0) = 0.67 \pm 0.10$, from LCSR Khodjamirian et al (2009) and lattice HPQCD (2011)
- low-energy soft-pion theorem (Callan-Treiman): $f_0(M_D^2 - M_\pi^2) = f_D/f_\pi$ Dominguez et al (1990)
- phase at low energies from dominant resonances D^* and D_0^*
- Okubo's approach: derivatives $\chi_k^{(n)}$ of a polarization function at $Q^2 = 0$

$$\frac{1}{\pi} \int_{t_+}^{\infty} \rho_k^{(n)}(t) |f_k(t)|^2 dt \leq \chi_k^{(n)}, \quad k = +, 0$$

$$\chi_k^{(n)} = \chi_k^{(n)PT} + \chi_k^{(n)NP}: \quad \text{pQCD to two loops Chetyrkin et al (2001)}$$

n	$\chi_+^{(n)PT}$	$\chi_+^{(n)NP}$	$\chi_+^{(n)}$	$\chi_0^{(n)PT}$	$\chi_0^{(n)NP}$	$\chi_0^{(n)}$
0	0.0170744	-0.0010543	0.0160201	0.0045547	0.0002723	0.0048270
1	0.0019357	-0.0002723	0.0016634	0.0004118	0.0000704	0.0004821
2	0.0002586	-0.0000704	0.0001883	0.0000524	0.0000182	0.0000706

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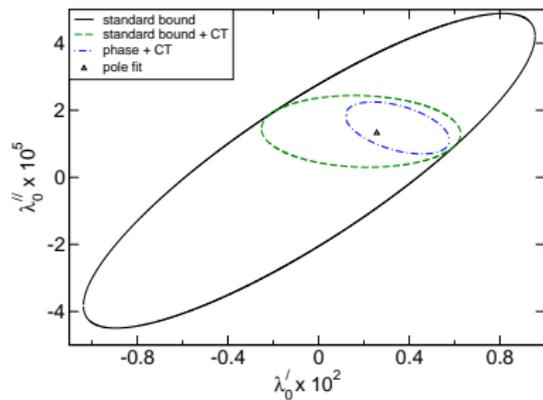
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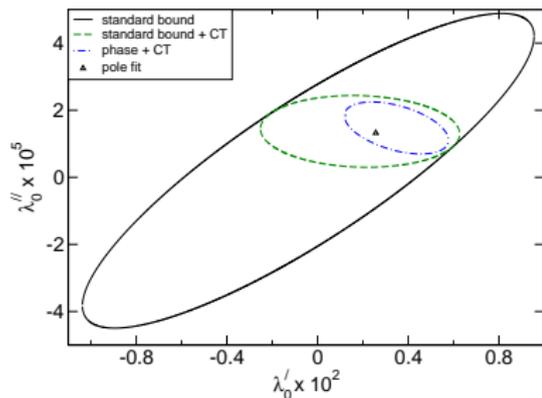
Taylor expansion at $t = 0$:

$$f_k(t) = f_k(0) \left(1 + \lambda'_k \frac{t}{M_\pi^2} + \frac{1}{2} \lambda''_k \frac{t^2}{M_\pi^4} + \dots \right), \quad k = +, 0$$

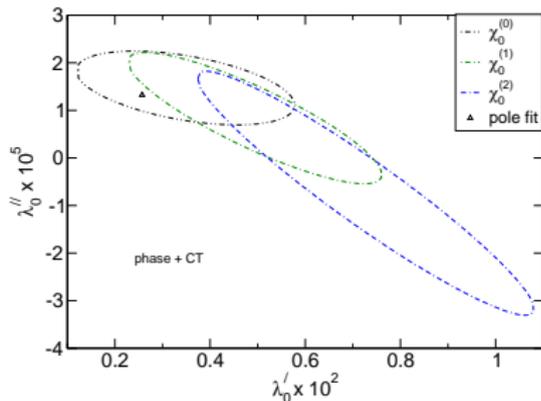
Scalar form factor, moment $\chi_0^{(0)}$



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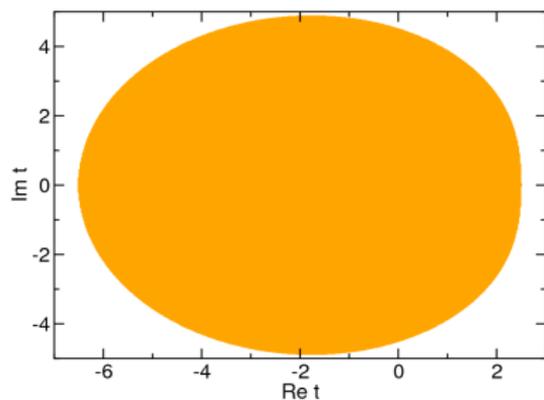
Constraints from various moments



Intersection \Rightarrow small allowed domain

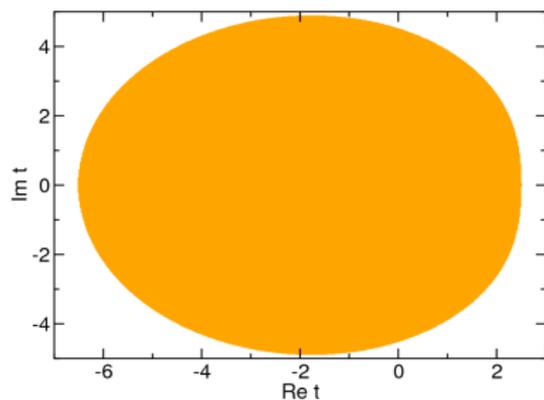
- Pole ansatz from [Becirevic, Kaidalov \(1999\)](#) excluded by imposing all the constraints

Vector form factor, moment $\chi_1^{(0)}$



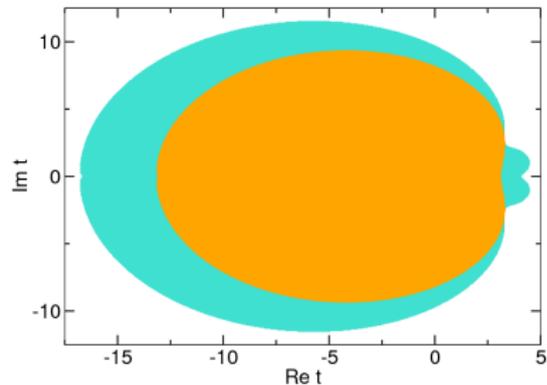
D_π form factor: domains where zeros are excluded

Vector form factor, moment $\chi_1^{(0)}$



Scalar form factor, moment $\chi_0^{(0)}$

⇒ larger region using CT theorem



Application I: Low-energy constraints on the pion form factor

Ananthanarayan, IC, Imsong, Phys Rev D83, 096002 (2011)

Input:

- $\delta(t) = \delta_1^1(t)$ for $t \leq t_{in} = (M_\pi + M_\omega)^2 = (0.917 \text{ GeV})^2$ from Roy equations for $\pi\pi$ amplitude Ananthanarayan et al (2001), Garcia-Martin et al (2011)
- Recent measurements of the modulus up to high energies BaBar (2009)
- Precise measurements at spacelike points Horn et al (2008), Huber et al (2008)

t	Value [GeV^2]	$F(t)$
t_1	-1.60	$0.243 \pm 0.012^{+0.019}_{-0.008}$
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Best results obtained from Problem 3: $\frac{1}{\pi} \int_{t_{in}}^{\infty} \rho(t) |F(t)|^2 dt \leq I'$

Example: suitable choice of $\rho(t)$:

- $\rho(t) = \rho_\mu(t) = \frac{\alpha^2 M_\mu^2}{12\pi} \frac{(t-t_+)^{3/2}}{t^{7/2}} K(t), \quad K(t) = \int_0^1 du \frac{(1-u)u^2}{1-u+M_\mu^2 u^2/t}$
- $I' \equiv \hat{a}_\mu^{\pi\pi} = 22.17 \times 10^{-10}$ Davier et al (2010), Malaescu (private communication)

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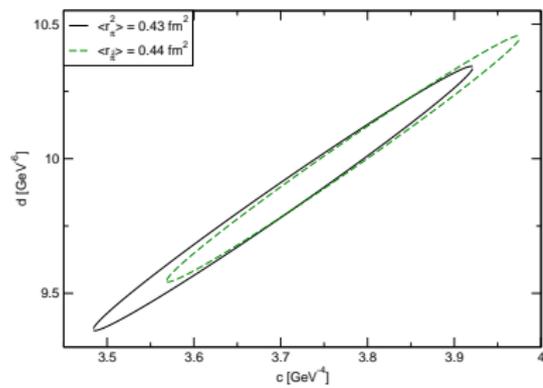
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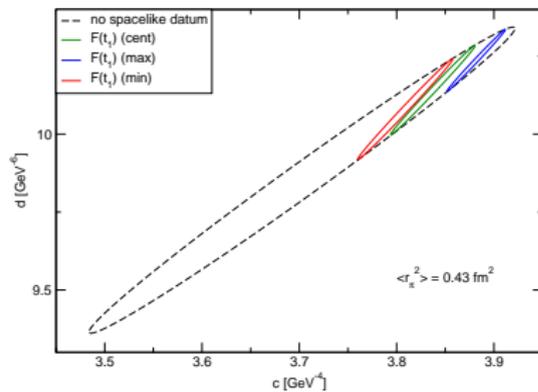
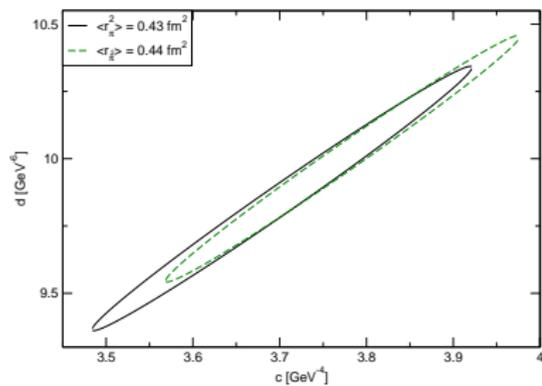
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Taylor expansion: $F(t) = 1 + \frac{1}{6} \langle r_\pi^2 \rangle t + ct^2 + dt^3 + \dots$
 $\langle r_\pi^2 \rangle = 0.43 \pm 0.01 \text{ fm}^2$

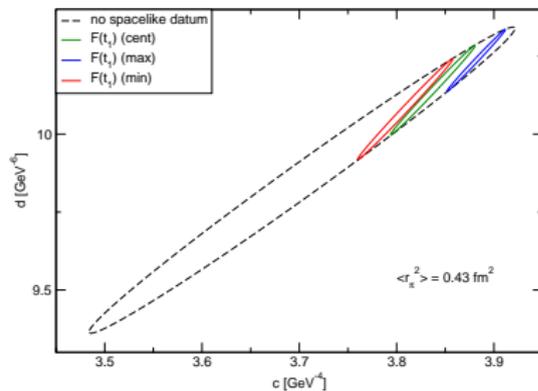
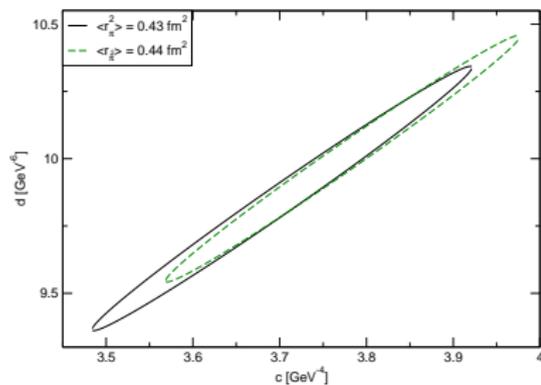
Low-energy constraints: allowed domain in the c-d plane



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Low-energy constraints: allowed domain in the c-d plane



By varying all the input parameters, $\langle r_\pi^2 \rangle$, $F(t_1)$, $\hat{a}_\mu^{\pi\pi}$, $\delta_1^1(t)$:

$$3.75 \text{ GeV}^{-4} \lesssim c \lesssim 3.98 \text{ GeV}^{-4}, \quad 9.91 \text{ GeV}^{-6} \lesssim d \lesssim 10.46 \text{ GeV}^{-6}$$

with a strong correlation between the values of c and d

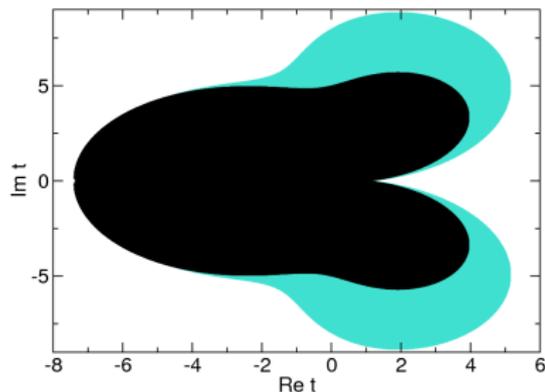
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Complex zeros, no spacelike input

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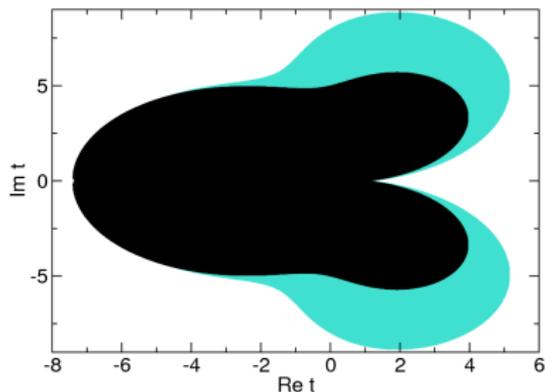


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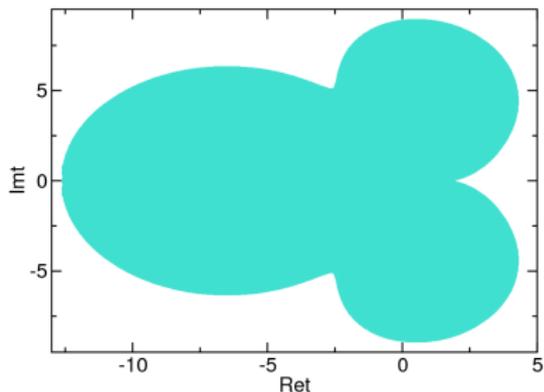
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Complex zeros, with spacelike input:

$F(t_1) = 0.234$, $\langle r_\pi^2 \rangle = 0.43 \text{ fm}^2$



Application II: Bounds on the pion form factor on the spacelike axis

Ananthanarayan, IC, Imsong, Phys Rev D85, 096006 (2012)

Input:

- $F(0) = 1$, $\langle r_\pi^2 \rangle = 0.43 \pm 0.01 \text{ fm}^2$, $F(-2.45 \text{ GeV}^2) = 0.167 \pm 0.010_{-0.007}^{+0.013}$
- $\arg[F(t + i\epsilon)] = \delta_1^1(t)$, $4M_\pi^2 \leq t \leq t_{in}$, $t_{in} = (M_\omega + M_\pi)^2$
- $\frac{1}{\pi} \int_{t_{in}}^{\infty} \rho(t) |F(t)|^2 dt \leq I'$, for suitable choices of the weight $\rho(t)$

Direct evaluation of I' :

- BaBar data [Aubert et al \(2009\)](#) up to 3 GeV
 - very conservative estimate above 3 GeV, imposing the decrease $|F(t)| \sim 1/t$ above 20 GeV
- \Rightarrow small sensitivity to the high-energy assumptions for weights that decrease as $1/\sqrt{t}$ or faster

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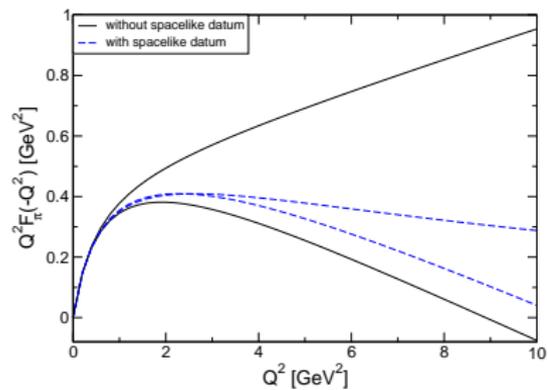
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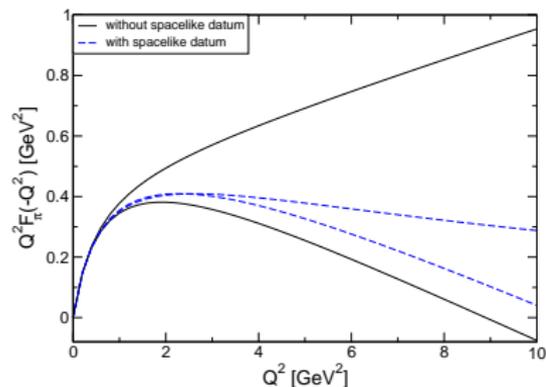
$\rho(t)$	I'
1	1.788 ± 0.039
$1/\sqrt{t}$	0.687 ± 0.028
$1/t$	0.578 ± 0.022
$1/t^2$	0.523 ± 0.017

Choice of the best weight

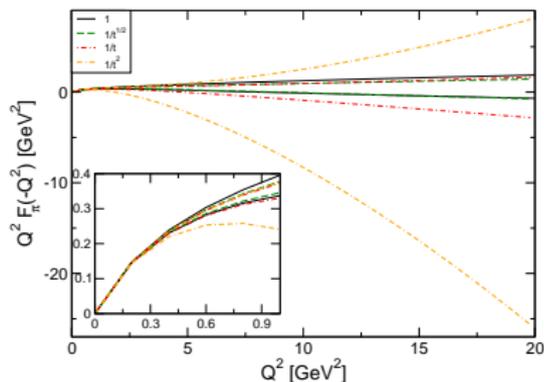
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Comparison of various weights



- weights that decrease too fast have a weak constraining power at large energies
 - the weight related to the standard Okubo approach and ρ_μ , which decrease like $1/t^2$, are not useful for extrapolation to large Q^2
 - weights that decrease too slowly are sensitive to the asymptotic tail
- \Rightarrow suitable choice: $\rho(t) = 1/\sqrt{t}$

Effect of the uncertainty of the input

Including the errors:

- vary separately each input and combine the resulting errors in quadrature
- vary simultaneously all the input quantities inside their error intervals

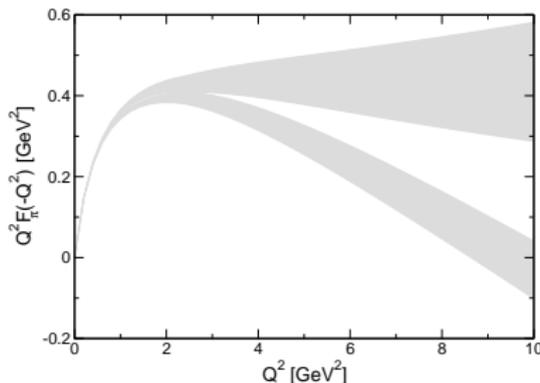
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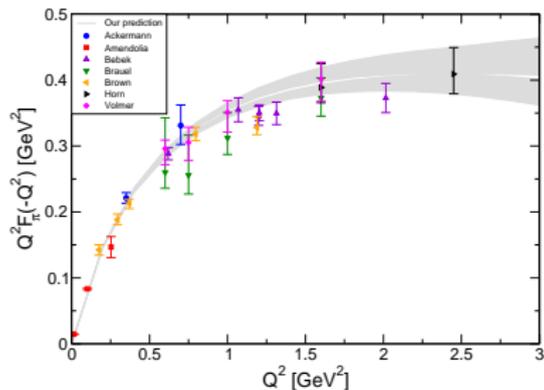
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Bounds for the optimal choice $\rho = \frac{1}{\sqrt{t}}$

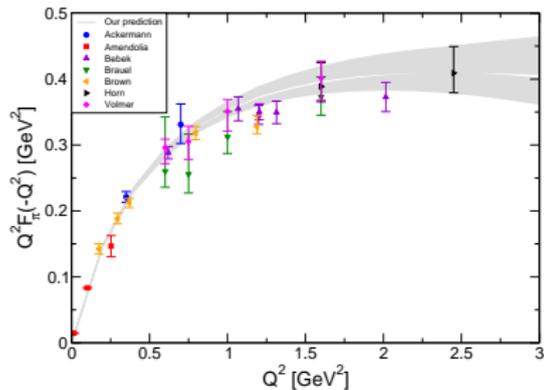
- white band: allowed domain for central values of the input variables
- grey bands: enlarged domain when the input is varied inside the error bars

Low energy data

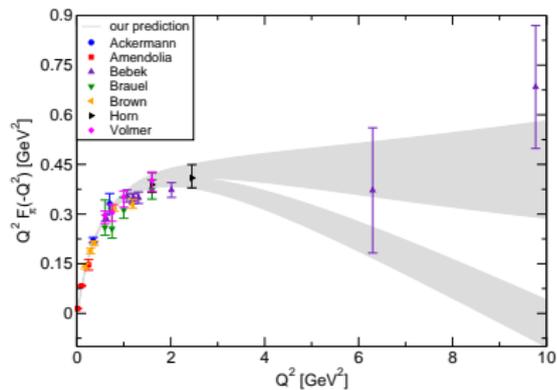


- A few points (Amendolia, Bebek) in conflict with the bounds

Low energy data



High energy data



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$$F_{\text{QCD}}^{\text{NLO}}(-Q^2) = \frac{8f_\pi^2 \alpha_s^2(\mu^2)}{Q^2} \left[\frac{\beta_0}{4} \left(\ln \frac{\mu^2}{Q^2} + \frac{14}{3} \right) - 3.92 \right]$$

The asymptotic regime is known to set in quite slowly

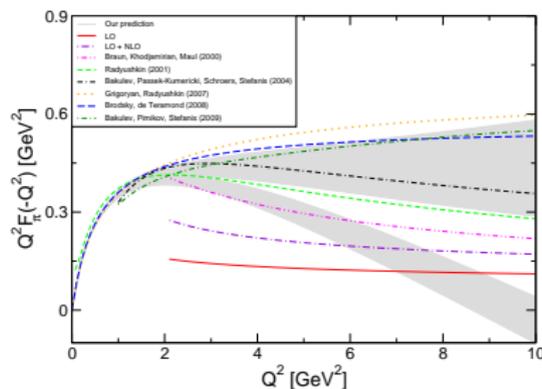
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- Definite conclusions difficult due to lack of data at high energy

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Ananthanarayan, IC, Das, Imsong, preliminary results

- The formalism applied up to now (Problem 3) does not use as input data on the modulus $|F(t)|$ for $t < t_{in}$
- On the other hand, the formalism allows to find bounds on this quantity

- The function

$$g(z) \equiv F(\tilde{t}(z, t_0)) [\mathcal{O}(\tilde{t}(z, t_0))]^{-1} w(z) \omega(z)$$

is analytic and real for $t < t_{in}$

- Derive upper and lower bounds on $g(z)$ from Meiman condition
- They lead to bounds on the modulus $F(t)$, calculated as

$$|F(t)| = |\mathcal{O}(t)| \frac{g(\tilde{z}(t_0, t))}{w(\tilde{z}(t_0, t))}$$

$$|\mathcal{O}(t)| = \exp \left(\frac{t}{\pi} PV \int_{t_+}^{\infty} dt' \frac{\delta(t')}{t'(t' - t)} \right)$$

Isospin breaking by ω - ρ interference in e^+e^- annihilation:

$$\delta_1^1(t) \Rightarrow \delta_1^1(t) + \arg \left[1 + \frac{\epsilon t}{t_\omega - t} \right], \quad t_\omega = (M_\omega - i/2\Gamma_\omega)^2, \quad \epsilon \approx 1.9 \times 10^{-3}$$

Leutwyler (2002), Hanhart (2012)

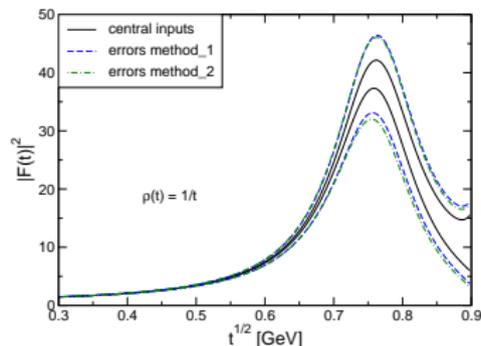
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Methods of including the uncertainties:

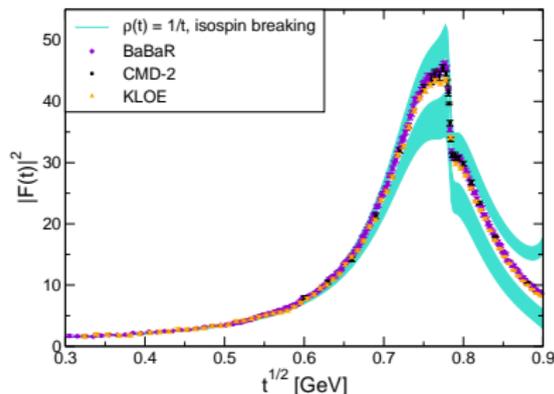
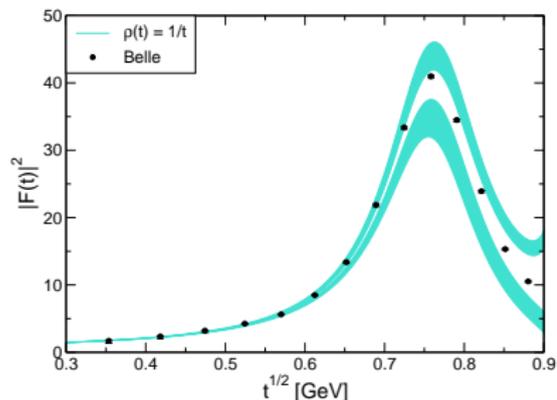
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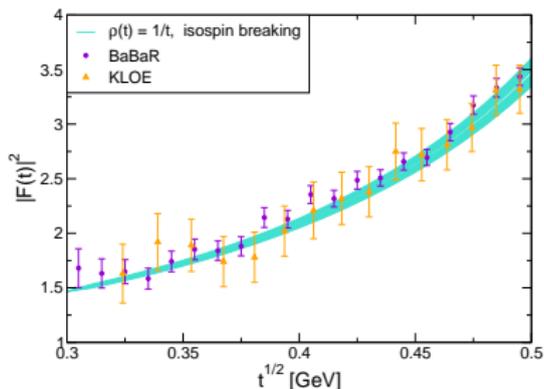


- accurate recent data: Belle (2008), BaBar (2009), KLOE (2011), CMD-2 (2007)

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Leutwyler (2002), Hanhart (2012)



- The bounds describe more precisely the modulus at low energies than the data
- A few experimental points (BaBar) in conflict with the bounds

Application IV: Parametrization of the $B\pi$ vector form factor

Bourrely, IC, Lellouch, arXiv:0807.2772, Phys Rev D79, 013008 (2009)

Of interest for the determination of the element $|V_{ub}|$ of the CKM matrix

$$\frac{d\Gamma}{dq^2}(\bar{B}^0 \rightarrow \pi^+ l^- \bar{\nu}_l) = \frac{G_F^2 |V_{ub}|^2}{192\pi^3 m_B^3} \lambda^{3/2}(q^2) |f_+(q^2)|^2$$

Physical range of semileptonic decays: $0 \leq q^2 \leq t_- \equiv (m_{B^0} - m_{\pi^+})^2 = 26.42 \text{ GeV}^2$

Basic properties:

- $f_+(q^2)$ analytic in the q^2 -plane cut for $q^2 \geq t_+$, with $t_+ = (m_{B^0} + m_{\pi^+})^2$ except for a pole at $q^2 = M_{B^*}^2$
- Threshold behaviour: $\text{Im } f_+(q^2) \sim (q^2 - t_+)^{3/2}$
- Unitarity constraint (Okubo): $\frac{1}{\pi} \int_{t_+}^{\infty} \rho(t) |f_+(t)|^2 dt \leq \chi_{1-}(0)$

$$\chi_{1-}(0) = \frac{3[1 + 1.14 \alpha_s(\bar{m}_b)]}{32\pi^2 m_b^2} - \frac{\bar{m}_b \langle \bar{u}u \rangle}{m_b^6} - \frac{\langle \alpha_s G^2 \rangle}{12\pi m_b^6} \approx 5.01 \times 10^{-4}$$

Generalis (1990), Lellouch (1996), Arnesen et al (2005)

New parametrization of $f_+(q^2)$

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*}^2} \sum_{k=0}^{K-1} b_k(t_0) \left[z^k - (-1)^{k-K} \frac{k}{K} z^K \right], \quad z = \tilde{z}(q^2, t_0)$$

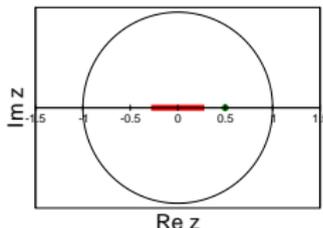
Unitarity constraint:
$$\sum_{j,k=0}^K B_{jk}(t_0) b_j(t_0) b_k(t_0) \leq 1$$

$t_0(\text{GeV}^2)$	B_{00}	B_{01}	B_{02}	B_{04}	B_{04}	B_{05}
0	0.0197	-0.0049	-0.0108	0.0057	0.0006	-0.0005
t_{opt}	0.0197	0.0042	-0.0109	-0.0059	-0.0002	0.0012
t_-	0.0197	0.0118	-0.0015	-0.0078	-0.0077	-0.0053

$$B_{j(j+k)} = B_{0k}, \quad B_{jk} = B_{kj}$$

Optimal choice of t_0 :

$$t_{opt} \equiv (m_B + m_\pi)(\sqrt{m_B} - \sqrt{m_\pi})^2 = 20.062 \text{ GeV}^2$$



Theoretical and experimental input:

- $f_+(0) = 0.26 \pm 0.03$, from LCSR Khodjamirian et al (1007, Ball (2007)
- lattice calculations at eight q^2 -points FNAL-MILC and HPQCD
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Combined fit of experimental and theoretical points, with unitarity constraint:

$$\mathcal{L}(b_j, |V_{ub}|) = \chi^2(b_j, |V_{ub}|) + \lambda \left(\sum_{j,k=0}^K B_{jk} b_j b_k - 1 \right)$$
$$\chi^2(b_k, |V_{ub}|) = \chi_{th}^2 + \chi_{exp}^2$$
$$\chi_{th}^2 = \sum_{j,k=1}^8 [f_j^{in} - f_+(q_j^2)] C_{jk}^{-1} [f_k^{in} - f_+(q_k^2)] + (f_+(0) - f_{LCSR})^2 / (\delta f_{LCSR})^2$$
$$\chi_{exp}^2 = \sum_{j,k=1}^{22} [B_j^{in} - B_j(f_+)] C_{B_{jk}}^{-1} [B_k^{in} - B_k(f_+)]$$

Systematic error:

- In this approach the systematic error is the truncation error of the expansion
- Due to the unitarity constraint, the higher order coefficients cannot grow arbitrarily \Rightarrow the truncation error can be controlled
 - let b_{K+1}^{max} be the maximum value of $|b_{K+1}|$, allowed by the unitarity constraint, for fixed values of b_k , $k \leq K$, obtained from the fit
 - realistic prescription for the error: $\delta f_+(q^2)_{syst} = \frac{b_{K+1}^{max} |z^{K+1}|}{1 - q^2/m_{B^*}^2}$

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Fitting strategy:

Increase the number of parameters until the systematic error becomes negligible compared to the statistical error along the whole semileptonic region

j	0	1	2	3
b_j	0.42 ± 0.03	-0.47 ± 0.13	0.2 ± 1.3	-0.8 ± 4.1

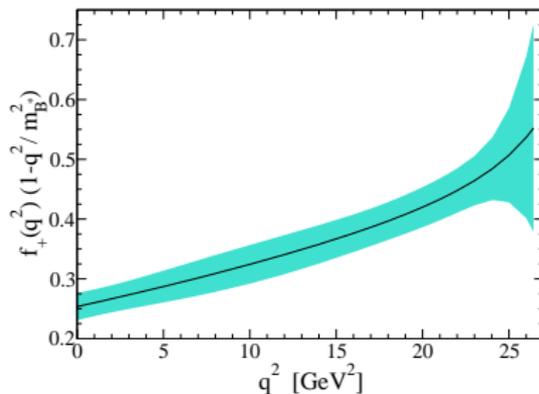
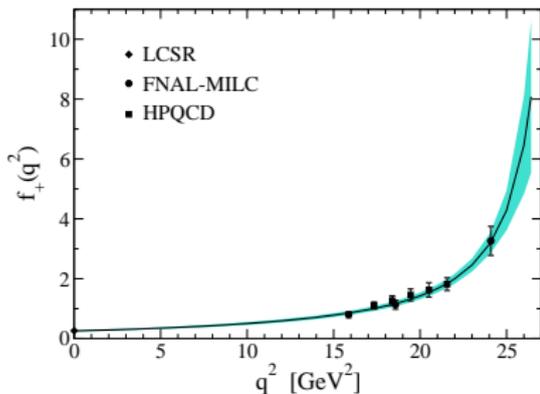
Also: $|V_{ub}| = (3.54 \pm 0.30) \times 10^{-3}$ (remarkably close to expectations from global CKM fits)

	total	LCSR	LQCD	Belle	CLEO	Babar-t	BaBar-u
n_{data}	31	1	3+5	3	3	3	12
χ^2	21.0	0	5.1	0.0	2.8	4.3	8.7

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- Errors found by standard $\Delta\chi^2$ analysis, not the linear approximation in the error propagation

- The availability of a reliable bound on an integral involving the square of the modulus of a form factor on the unitarity cut allows one to:
 - constrain the form factor shape parameters at low energy
 - isolate domains in the complex plane where zeros are excluded
 - find bounds at intermediate spacelike regions and test the onset of perturbative QCD
 - control the truncation error of analytic parametrizations
- The knowledge of the phase along a part of the unitarity cut considerably improves the results
- Precise values at points inside the analyticity domain (from ChPT, lattice, experiment) crucial for improving the predictions