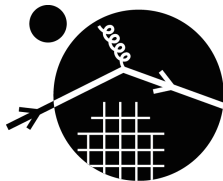


Ground state and dynamical properties of many-body systems by non conventional QMC algorithms

Alessandro Roggero



University of Washington & Institute for Nuclear Theory

Adelchi Fabrocini's Day, Elba - 1 July, 2016

Outline & Acknowledgements

- 1 Dynamical Response of Quantum many-body systems using Integral Transform techniques (and Monte Carlo)
- 2 Monte Carlo simulations for non-local χ -EFT interactions

Collaborators:

- Francesco Pederiva - UNITN (1,2)
- Giuseppina Orlandini - UNITN (1)
- Stefano Gandolfi and Joe Carlson - LANL (1)
- Abhishek Mukherjee - ECT* (2)

Dynamical Response of Quantum many-body systems using Integral Transform techniques

- Integral Transforms
- Dynamical Response Function
- Monte Carlo and Laplace transform
- A new transform
- Applications and perspectives

Integral Transform Techniques

An Integral Transform maps the original problem in a new domain where it's simpler to solve it

$$T(y) = \int_x K(x, y) S(x) dx$$

- Accessible object
- Object of interest

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The solution is then mapped back using the *inverse transform*.

PROBLEM

The inverse transform is a so-called Ill-Posed Problem!

Our object of interest: Dynamics of Quantum systems

$$\begin{aligned}\mathcal{R}(\omega) &= \sum_{\nu} |\langle \Psi_{\nu} | \hat{O} | \Psi_0 \rangle|^2 \delta(\omega - (E_{\nu} - E_0)) \\ &= \langle \Psi_0 | \hat{O}^{\dagger} \delta(\omega - (\hat{H} - E_0)) \hat{O} | \Psi_0 \rangle\end{aligned}$$

Or considering an IT [Efros,Leidemann,Orlandini,Phys.Lett.B 338,130]:

$$\Phi(\sigma) = \int K(\sigma, \omega) \mathcal{R}(\omega) d\omega$$

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$$\Phi(\sigma) = \int K(\sigma, \omega) \mathcal{R}(\omega) d\omega$$

A good kernel K should be one such that:

- the transform $\Phi(\sigma)$ is easy to calculate (in QMC)
- the inversion of the transform can be made stable

In QMC methods we routinely use the imaginary-time propagator

$$e^{-\tau\hat{H}}|\phi\rangle = \sum_{n=0}^{\infty} e^{-\tau E_n} \langle \Psi_n | \phi \rangle | \Psi_n \rangle \xrightarrow{\tau \rightarrow \infty} e^{-\tau E_0} \langle \Psi_0 | \phi \rangle | \Psi_0 \rangle$$

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In this framework it is natural to consider the Laplace kernel:

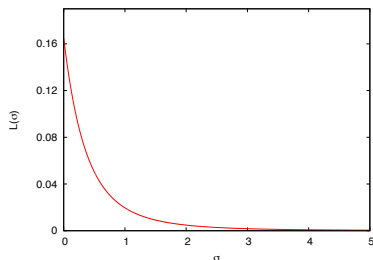
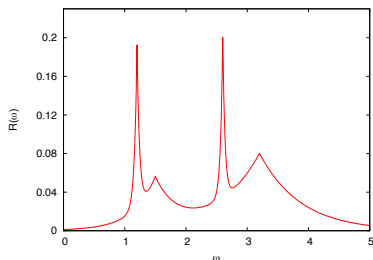
$$K(\sigma, \omega) = e^{-\sigma\omega}$$

The transform becomes an imaginary-time correlation function:

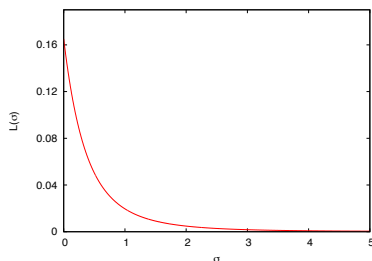
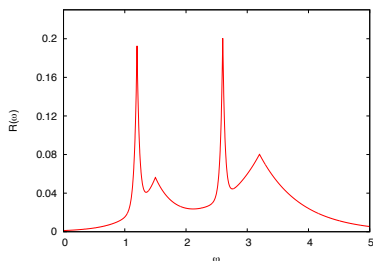
$$\Phi(\sigma) = \langle \Psi_0 | \hat{O}^\dagger e^{-\sigma\hat{H}} \hat{O} | \Psi_0 \rangle = \langle \Psi_0 | \hat{O}^\dagger(0) \hat{O}(\sigma) | \Psi_0 \rangle.$$

Integral kernels - Laplace

$$L(\sigma) = \int K(\sigma, \omega) R(\omega) d\omega = \int_0^{\infty} e^{-\sigma\omega} R(\omega) d\omega$$



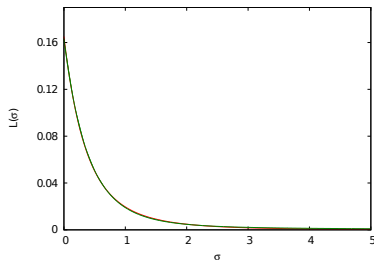
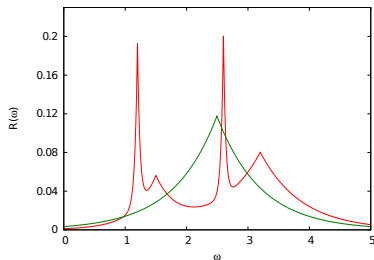
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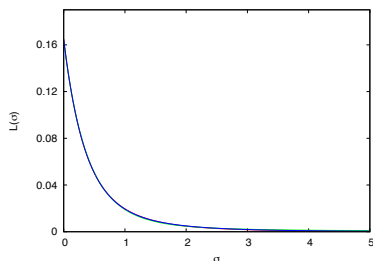
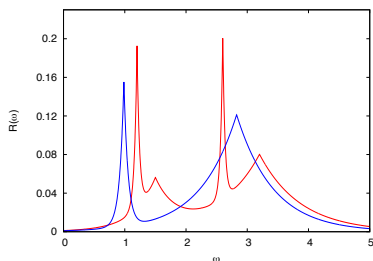
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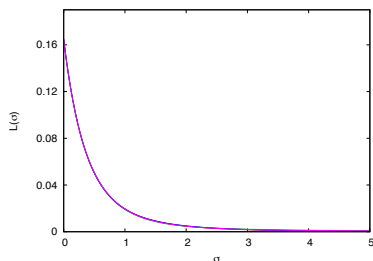
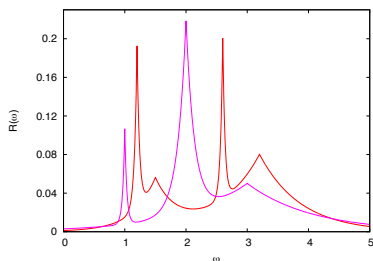
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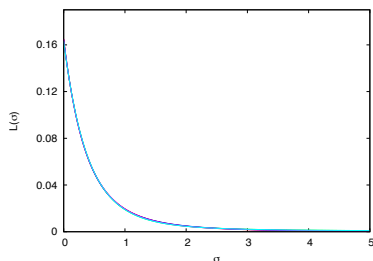
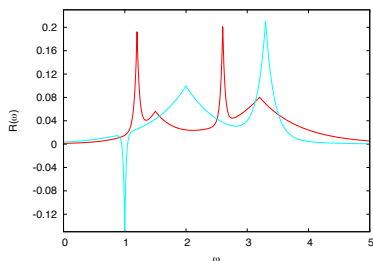
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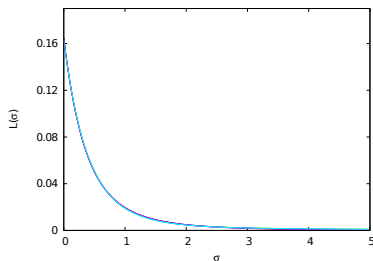
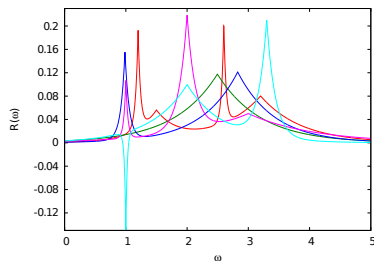
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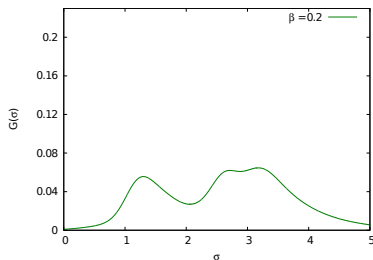
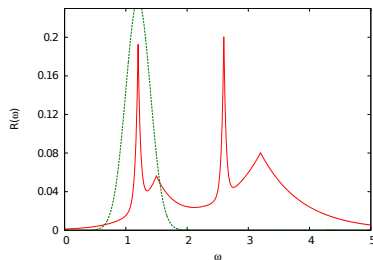


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Integral kernels - Gaussian

$$G(\sigma, \beta) = \int K(\sigma, \omega, \beta) R(\omega) d\omega = \int_0^{\infty} e^{-\frac{(\sigma-\omega)^2}{2\beta}} R(\omega) d\omega$$

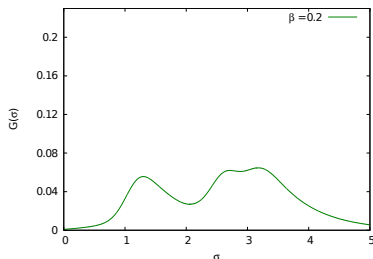
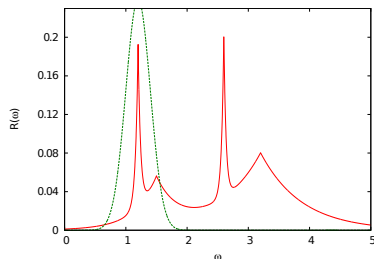
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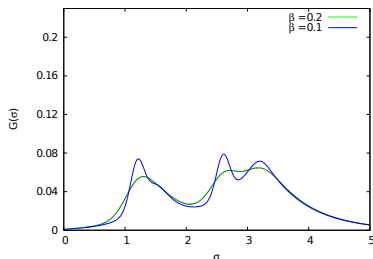
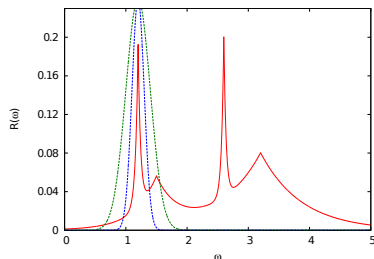


The transform $G(\sigma)$ is a smoothed version of the original signal!

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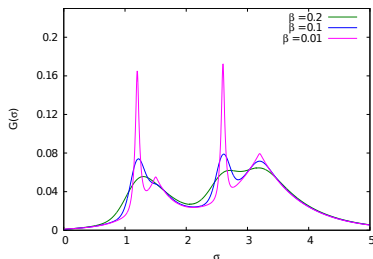
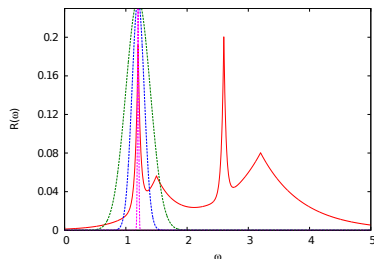


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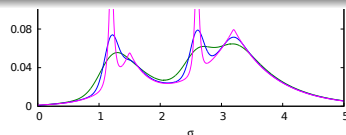
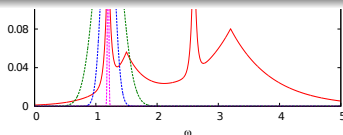
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- but we have found a viable kernel



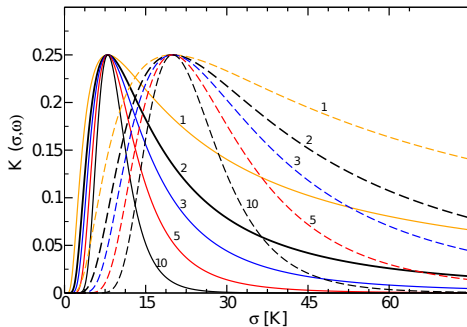
The transform $G(\sigma)$ is a smoothed version of the original signal!

Integral Kernels - Laplace-like

We now want to build an integral kernel which can be calculated in QMC methods and that has the desired "bell-shaped" form.

$$K(\sigma, \omega, N) = \frac{1}{\sigma} \left(e^{-\ln(2)\frac{\omega}{\sigma}} - e^{-2\ln(2)\frac{\omega}{\sigma}} \right)^N = \frac{1}{\sigma} \sum_{k=0}^N \binom{N}{k} (-1)^k e^{-\ln(2)(N+k)\frac{\omega}{\sigma}}$$

As $N \rightarrow \infty$ the kernel width becomes smaller and smaller



Recap of the idea

- take Laplace transform:

$$L(\tau) = \int K_L(\omega, \tau) R(\omega) d\omega$$

- build the new transform:

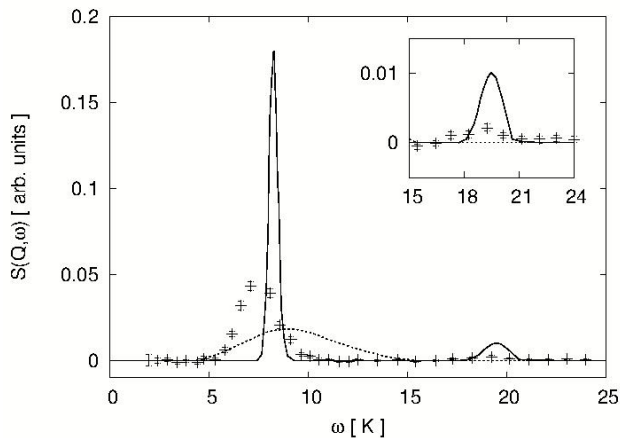
$$\begin{aligned}\Phi(\sigma, N) &= \sum_j^N c_{j,N} L\left(\frac{a_{j,N}}{\tau}\right) \\ &= \int K_{new}(\omega, \sigma, N) R(\omega) d\omega\end{aligned}$$

- invert the final transform:

$$\begin{aligned}R(\omega) &= \int K_{new}^{-1}(\omega, \sigma, N) \Phi(\sigma, N) d\sigma \\ &= \int K_L^{-1}(\omega, \tau) L(\tau) d\tau\end{aligned}$$

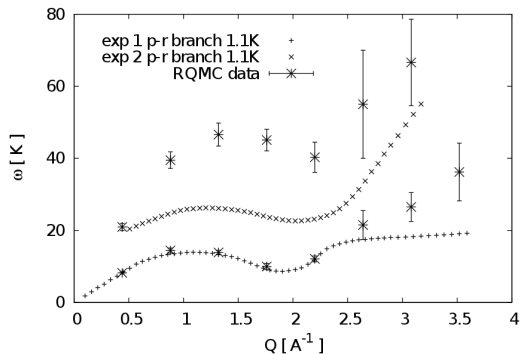
Density response of superfluid He^4

[A. R., F. Pederiva and G. Orlandini, PRB 88,094302 (2013)]



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Conclusions

Pro

- may control stability of the inversion by tuning kernel function
- we need just imaginary-time correlation functions

Con

- for high accuracy, extremely long imaginary-time intervals have to be considered (computationally heavy)
- the inversion procedure can still introduce uncontrollable errors
 - try with different Kernels (e.g. Gaussian [see next part])
 - check different inversion schemes (e.g. GIFT [E.Vitali et al.])

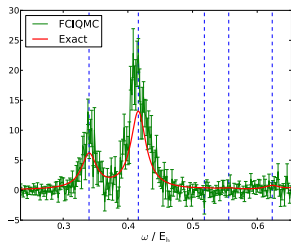
Future perspectives

Inversion of the IT remains an Ill-Posed problem, can we avoid it?

ground-state MC: $e^{-\tau\hat{H}}|\Phi_0\rangle \xrightarrow{\tau\rightarrow\infty} c_0|\Psi_0\rangle$

excited-state MC: $e^{-\tau(\hat{H}-E_k)^2}|\Phi_0\rangle \xrightarrow{\tau\rightarrow\infty} \sum_i \delta(E_i - E_k) c_i |\Psi_i\rangle \propto |\Psi_k\rangle$

$$K(E_k, \hat{H}, N)|\Phi_0\rangle \xrightarrow{N\rightarrow\infty} \sum_i \delta(E_i - E_k) \tilde{c}_i |\Psi_i\rangle \propto |\Psi_k\rangle$$



Expand gaussian for $\tau \rightarrow 0$:

$$e^{-\tau(\hat{H}-E_k)^2} \approx \mathbf{1} - \tau (\hat{H} - E_k)^2$$

Booth & Chan, J.C.P. 137,191102 (2012)

Monte Carlo simulations for χ -EFT interactions

- Chiral-EFT interactions
- Dealing with non-localities with QMC
- Applications to neutron matter

Chiral Effective Field Theory (χ -EFT) interactions

- pions interact weakly at small energies (Goldstone bosons)

low-scales Q, m_π

high-scales $m_\rho, \Lambda_\chi = m_\Delta - m_N$

- expand the interaction in powers of $Q/\Lambda_\chi, m_\pi/\Lambda_\chi$

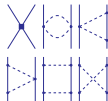
2N Force

3N Force

LO
(Q/Λ_χ)⁰



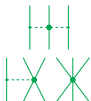
NLO
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NNLO
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+...



- short range contact–interaction + pions
- many–body forces treated in a systematic way

R. Machleidt, D. R. Entem,
Phys.Rept 503,1 (2011)

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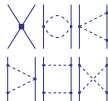
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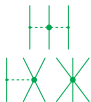
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- short range contact–interaction + pions
- many–body forces treated in a systematic way
- **non–local** in coordinate–space (\geq NLO)

$$V(x, y) \neq V(x)\delta(x - y)$$

R. Machleidt, D. R. Entem,
Phys.Rept 503,1 (2011)

Locality is needed for conventional QMC

Gezerlis et al., PRL 111, 032501 (2013)

Monte Carlo methods

Use a projection operator to filter the ground-state

$$P[\hat{H}]|\Psi_n\rangle = |\Psi_{n+1}\rangle \quad | \quad \lim_{n \rightarrow \infty} P[\hat{H}]^n |\Phi_T\rangle = |0\rangle$$

$$\text{eg. } P_a[\hat{H}] = 1 - \Delta\tau\hat{H} \quad \text{or} \quad P_b[\hat{H}] = e^{-\Delta\tau\hat{H}}$$

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The Standard Way

- work in coordinate-space
- for **local interactions** the projector factors in

$$\langle Y | e^{-\Delta\tau\hat{H}} | X \rangle = \langle Y | e^{-\Delta\tau\hat{T}} | X \rangle e^{-\Delta\tau V(X)} + O(\Delta\tau^2)$$

$$\approx G_0(Y, X) B(X)$$

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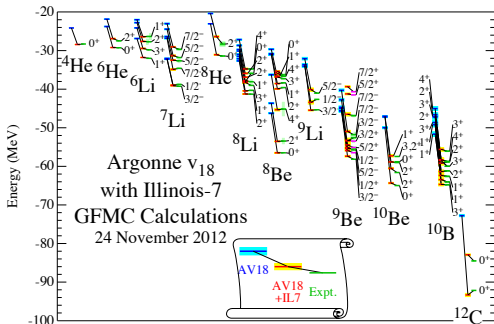
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S. Pieper, R. Wiringa et. al (ANL)

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- work in coordinate-space
- for **non-local interactions** the projector doesn't factor

$$\begin{aligned} \langle Y | e^{-\Delta\tau\hat{H}} | X \rangle &= \int dZ \langle Y | e^{-\Delta\tau\hat{T}} | Z \rangle \langle Z | e^{-\Delta\tau\hat{V}} | X \rangle + O(\Delta\tau^2) \\ &\approx \int dZ G_0(Y, Z) G_V(Z, X) \end{aligned}$$

$$\hat{H} = \sum_a^{\Omega} \epsilon_a \hat{a}_a^\dagger \hat{a}_a + \frac{1}{2} \sum_{ijkl}^{\Omega} V_{ijkl} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l + \dots$$

- Direct Diagonalization possible only for small systems
- A general V_{ijkl} leads to **non-local interactions**

Finite basis version

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- for **any interaction** the projector can be written as

$$\langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle = \left(\frac{\langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle}{\sum_{\mathbf{m}} \langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle} \right) \left(\sum_{\mathbf{m}} \langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle \right) = \rho(\mathbf{m}, \mathbf{n}) w(\mathbf{n})$$

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- Direct Diagonalization possible only for small systems
- A general V_{ijkl} leads to **non-local interactions**

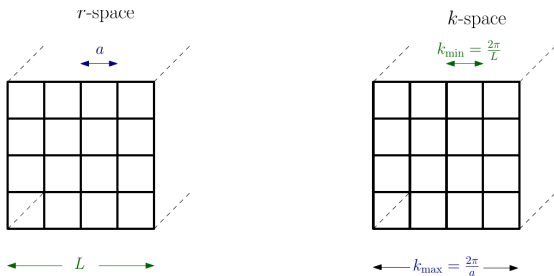
The Finite Basis Way

- work in occupation number basis: $|\mathbf{n}\rangle = |\dots 01100010\dots\rangle$
- for **any interaction** the projector can be written as

$$\langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle = \left(\frac{\langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle}{\sum_{\mathbf{m}} \langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle} \right) \left(\sum_{\mathbf{m}} \langle \mathbf{m} | \hat{P} | \mathbf{n} \rangle \right) = p(\mathbf{m}, \mathbf{n}) w(\mathbf{n})$$

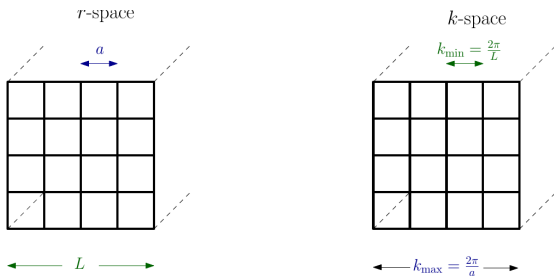
- We can use Coupled-Cluster theory to circumvent the sign-problem

Single-particle basis for bulk systems



- single-particle space $\mathcal{S} = \{ \text{plane waves} \mid k^2 \leq k_{\max}^2 \} \otimes \{S, I\}$

Single-particle basis for bulk systems

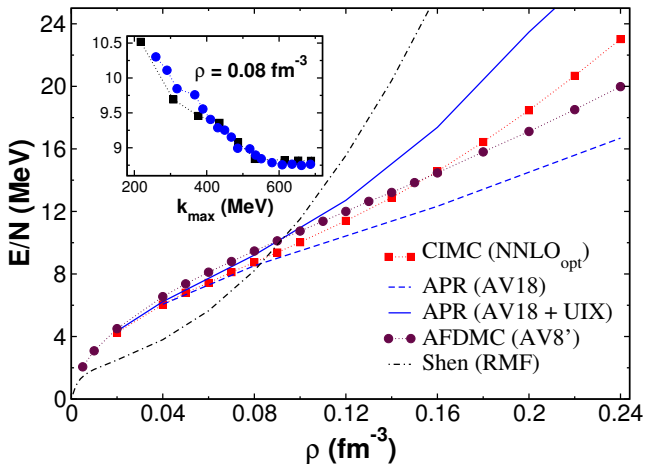


- single-particle space $\mathcal{S} = \{ \text{plane waves} \mid k^2 \leq k_{\max}^2 \} \otimes \{S, I\}$

Coulomb gas \rightarrow good agreement with R-space QMC calculations

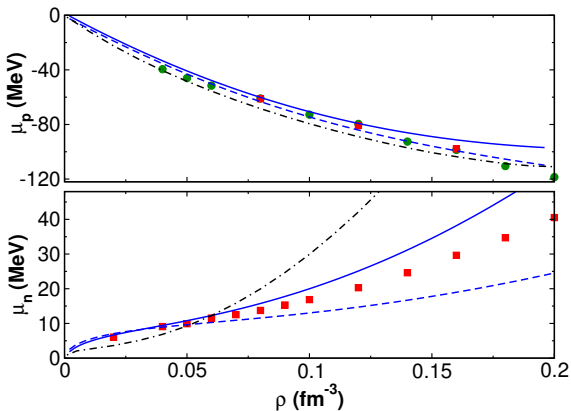
[A. R., A. Mukherjee and F. Pederiva, PRB 88,115138 (2013)]

Equation of State



[A. R., A. Mukherjee and F. Pederiva, PRL 112, 221103 (2014)]

Nucleon chemical potential



[A. R., A. Mukherjee and F. Pederiva, PRL 112, 221103 (2014)]

Summary:

- we have developed a MC method that works for general interactions providing rigorous **upper-bounds** on energy
- the use of Coupled Cluster Wave-functions serves a dual purpose:
 - extremely good guiding wave-function
 - provides **variational energies** for CC solutions

Current & Future work:

- extension to finite systems
- solving sign-problem with cancellation (à la FCI-QMC)
- response functions (Gaussian may be viable)

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Current & Future work:

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Thanks for your attention

Wave-functions for Importance Sampling

A very accurate way to account for correlations in a generic Fock-space is the Coupled Cluster ansatz:

$$|\Psi_T\rangle = e^{-\hat{T}}|\Phi_{HF}\rangle \quad \text{with} \quad \hat{T} = \hat{T}_1 + \hat{T}_2 + \dots$$

Here we will restrict to CCD case: $\hat{T} = \hat{T}_2 = \frac{1}{2} \sum_{ij,ab} t_{ij}^{ab} \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_j \hat{a}_i$.

Is the CCD wave-function even quick to evaluate in SD space?

We need to calculate

$$\Phi_{\text{CCD}}^m \left(\begin{smallmatrix} p_1 p_2 \dots p_m \\ h_1 h_2 \dots h_m \end{smallmatrix} \right) = \Phi_{\text{CCD}}(\mathbf{n}) \quad \text{for} \quad |\mathbf{n}\rangle = a_{p_1}^\dagger \dots a_{p_m}^\dagger a_{h_1} \dots a_{h_m} |\Phi_{\text{HF}}\rangle$$

It turns out that one can write a **recursive formula** ([arXiv:1304.1549])

$$\Phi_{\text{CCD}}^m(\dots) = \sum_{\gamma=2}^m \sum_{\mu < \nu}^m (-)^{\gamma+\mu+\nu} t_{h_1 h_\gamma}^{p_\mu p_\nu} \Phi_{\text{CCD}}^{m-2}(\dots)$$

Singular Value Decomposition (SVD)

We can make a discretization of the Integral transform

$$g(x) = \int_a^b K(x, y) f(y) dy \quad \longrightarrow \quad g_i = \sum_k^N \alpha_k K_{ik} f_k \quad i \in [1, N]$$

$$g_i \equiv g(x_i) \quad K_{ik} \equiv K(x_i, y_k) \quad f_k \equiv f(y_k)$$

The SVD of the matrix K is a factorization of the form

$$K = U \Sigma V^T \quad \text{with} \quad U, V, \Sigma \in \mathbb{R}^{N \times N}$$

with U, V orthogonal and $\Sigma = \text{diag}[\sigma_1, \dots, \sigma_N]$.

The columns \bar{u}_j of U and \bar{v}_j of V can be regarded as orthonormal basis vectors of \mathbb{R}^N and the following holds

$$K \bar{v}_j = \sigma_j \bar{u}_j \quad K^T \bar{u}_j = \sigma_j \bar{v}_j$$

Singular Value Decomposition (SVD) II

In terms of the SVD of the matrix K the direct and inverse problems can be rewritten as

$$\bar{g} = K\bar{f} = \sum_j^N \sigma_j (\bar{v}_j^T \bar{f}) \bar{u}_j \quad \bar{f} = K^{-1}\bar{g} = \sum_j^N \frac{\bar{u}_j^T \bar{g}}{\sigma_j} \bar{v}_j$$

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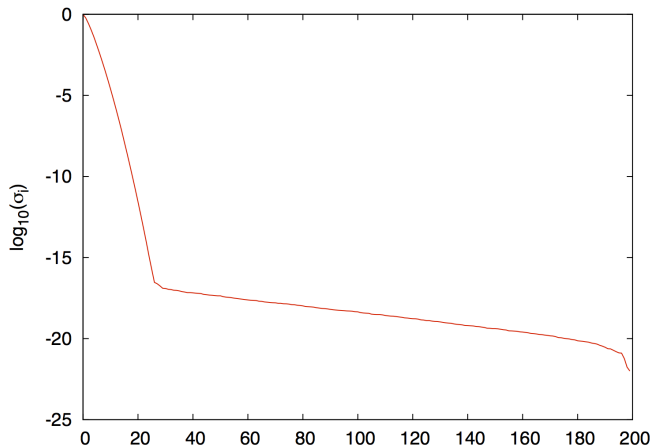
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If the matrix K is the result of discretization of a Fredholm Integral equation of the 1st kind the following basic properties holds

- the singular values σ_j decay fast towards zero
- the singular vectors \bar{u}_j, \bar{v}_j have increasing frequencies

We can use the decay rate of singular values to define a sort of *degree of ill – posedness*

Singular Value Spectrum



Regularization techniques

The idea is to approximate our original ill-posed problem with a well-posed one, constraining the solution with known features.

In most approaches we have minimization problems of the form

$$\min_{\bar{f}} D [K\bar{f}, \bar{g}] + \alpha L [\bar{f}]$$

where

- D is a likelihood function (eg. Chi-squared, euclidean norm)
- L is a penalty functional that enforces eg. smoothness
- α is the regularization parameter

Regularized Least Squared (Tikhonov)

$$\min_{\bar{f}} \|K\bar{f} - \bar{g}\|^2 + \alpha\|\Gamma\bar{f}\|^2$$

where the Tikhonov matrix Γ can be the identity I or a discrete version of a derivative operator D_1, D_2 .

Regularization techniques: some examples

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Cross-Entropy Minimization

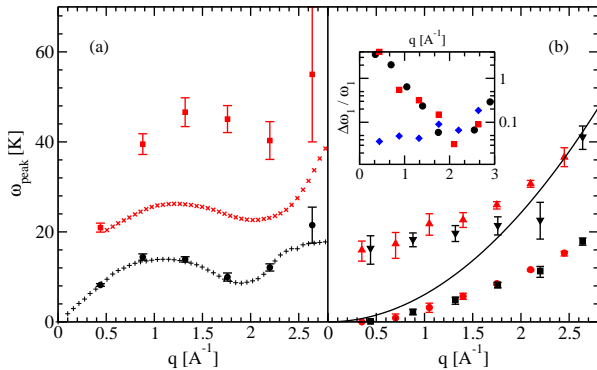
$$\min_{\bar{f}} KL [K\bar{f}, \bar{g}] + \alpha KL [\bar{f}, \bar{f}_0]$$

where KL is the Kullback-Leibler distance

$$KL [\bar{a}, \bar{b}] = \sum_n a_n \log(a_n/b_n) + b_n - a_n$$

and \bar{f}_0 is some prior estimate of \bar{f} (usually a positive constant)

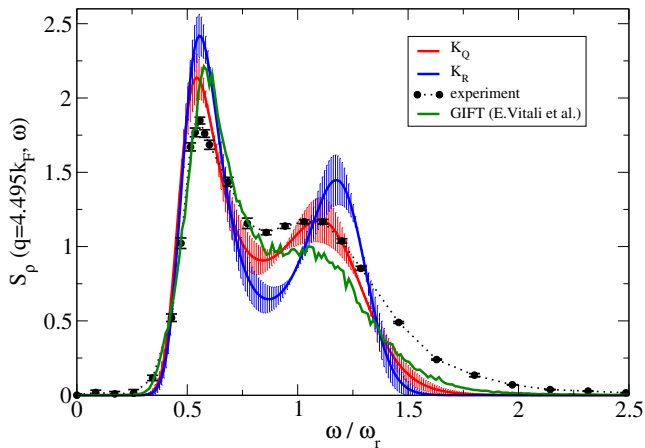
Density response of He4



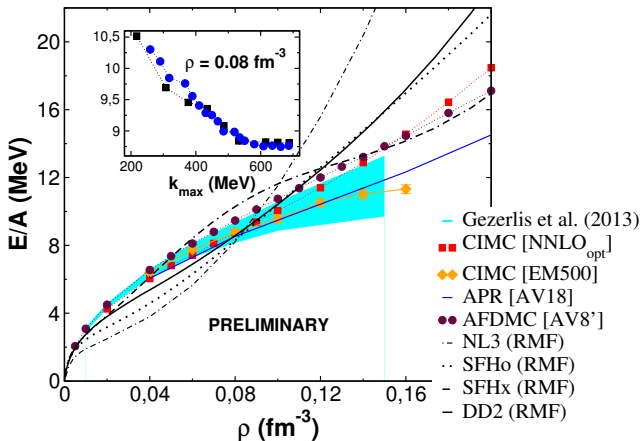
Density response of Unitary Fermi Gas

in collaboration with S.Gandolfi and J. Carlson(LANL)

PRELIMINARY RESULTS

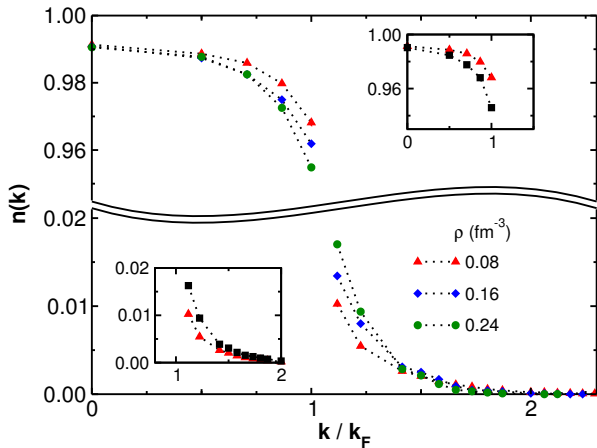


Equation of State



Neutron Matter with χ -EFT interactions at N2LO

Momentum distribution



Constraining Nuclear Energy Density Functionals

Energy density functional for uniform matter:

$$\mathcal{E} = \mathcal{E}_{\text{kin}} + \sum_{t=0,1} \left(C_t^\rho \rho_t^2 + C_t^\tau \rho_t \tau_t + C_t^s s_t^2 + C_t^T s_t T_t \right).$$

- contributions from both **time-even** and **time-odd** components.
- **time-even** part constrained eg. by even-even nuclei
- no effective way to constrain **time-odd** part

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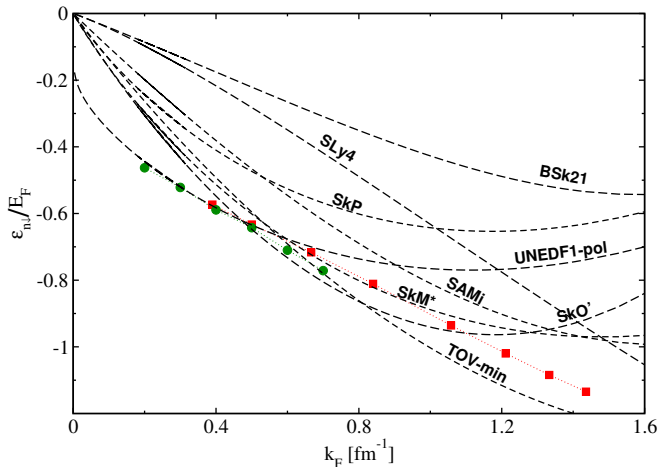
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Idea: [M. M. Forbes et al. PRC 89, 041301(R) (2014)]

Calculate binding energy of an impurity in polarized neutron matter

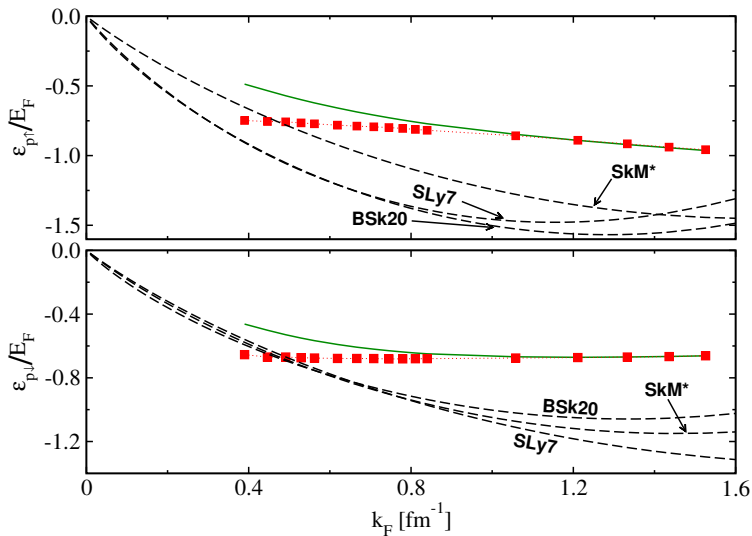
$$\varepsilon_{\tau\sigma} = \left. \frac{\partial \mathcal{E}}{\partial \rho_{\tau\sigma}} \right|_{\rho_{\tau\sigma} \rightarrow 0} \rightarrow \text{eg } \varepsilon_{n\downarrow} \propto (C_0^s + C_1^s), (C_0^T + C_1^T)$$

The neutron polaron



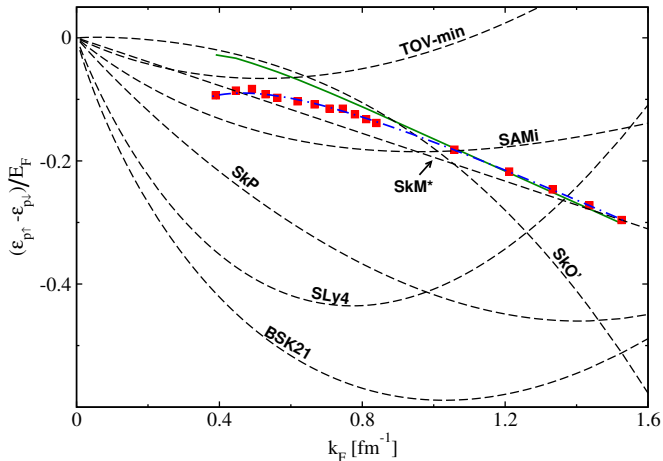
Green pts from: M. M. Forbes et al. **PRC 89, 041301(R) (2014)**

The proton polarons I



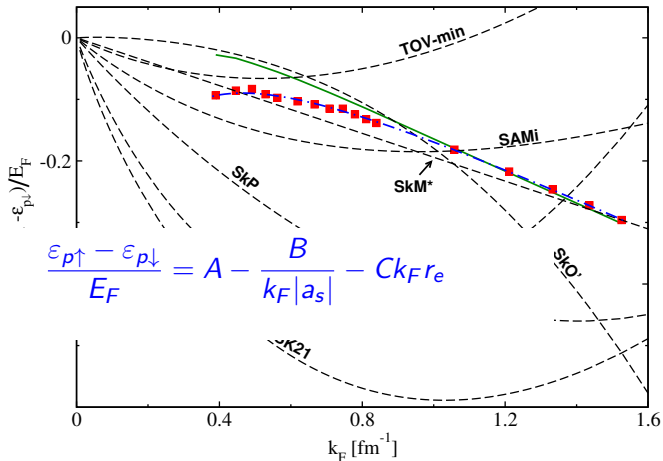
The proton polarons II

$$\frac{\varepsilon_{p\uparrow} - \varepsilon_{p\downarrow}}{E_F} = \frac{4m(C_0^s - C_1^s)}{3\pi^2\hbar^2} k_F - \frac{2m(C_0^T - C_1^T)}{5\pi^2\hbar^2} k_F^3.$$

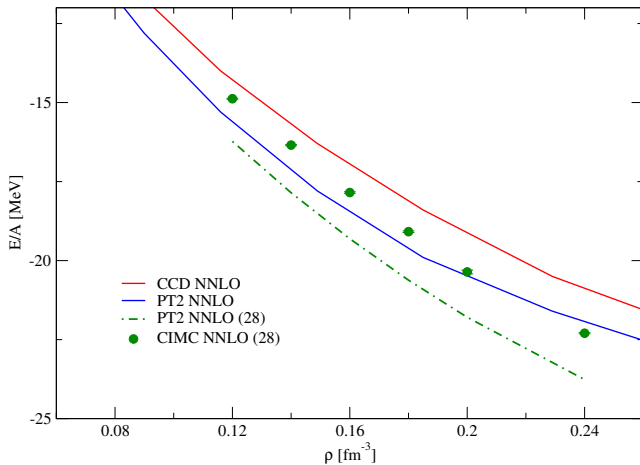


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Symmetric Nuclear Matter - finite size effects

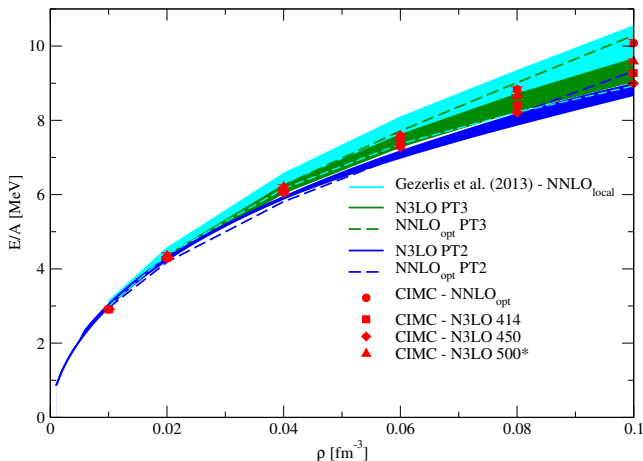


Neutron matter with QMC & χ -EFT NN interactions

NNLO_{opt} Ekström et al. (2013)

N3LO 500* Entem & Machleidt (2003)

N3LO 414-450 Coraggio et al. (2007)

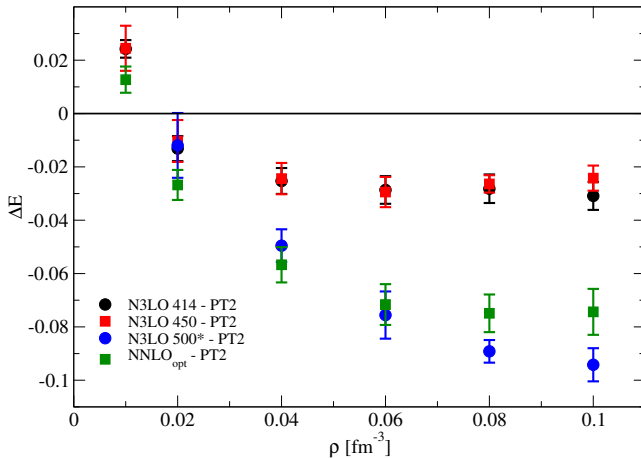


CIMC NNLO_{opt} - A.R, A. Mukherjee & F. Pederiva PRL (2014)

CIMC N3LO - A.R, E. Rrapaj, S. Reddy & J. W. Holt - in preparation

Convergence of MBPT in neutron matter

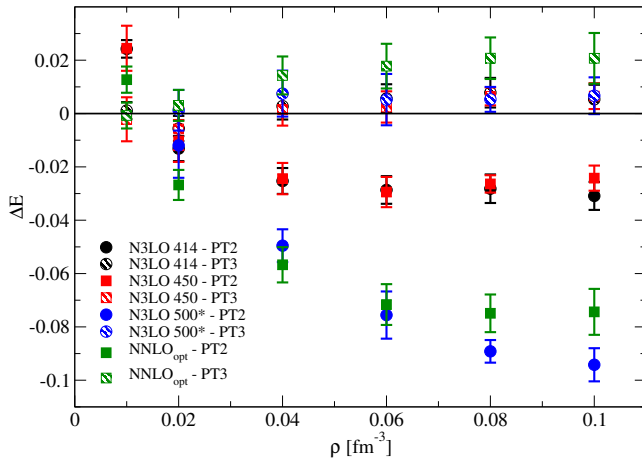
$$\Delta E \equiv \frac{E_x - E_{CIMC}}{E_{CIMC}}$$



A.R, E. Rrapaj, S. Reddy & J. W. Holt - in preparation

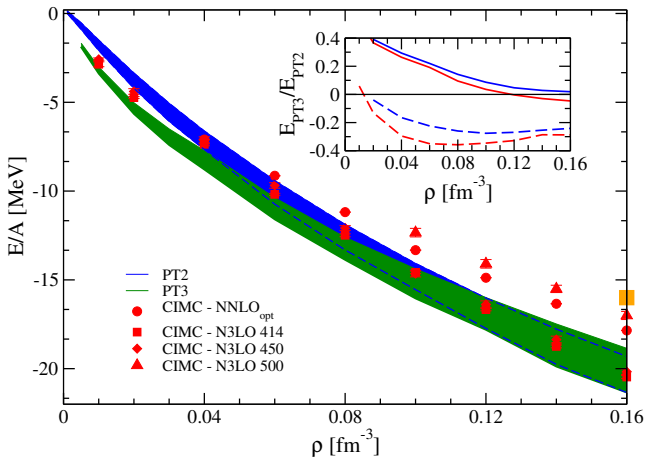
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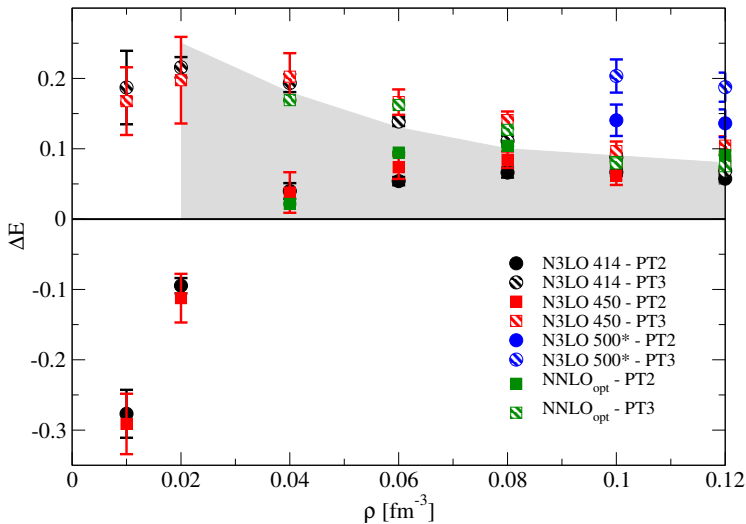
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